

# Fundamentals of Artificial Intelligence



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**Evolutionary Computation 1:**  
**Evolutionary Computation and**  
**Learning**

# Outline

- Why evolutionary computation (EC) and learning?
- What is EC?
- EC Techniques
- Key characteristics and design questions
- Genetic algorithms: representation, selection and genetic operators
- Overview of other evolutionary algorithms

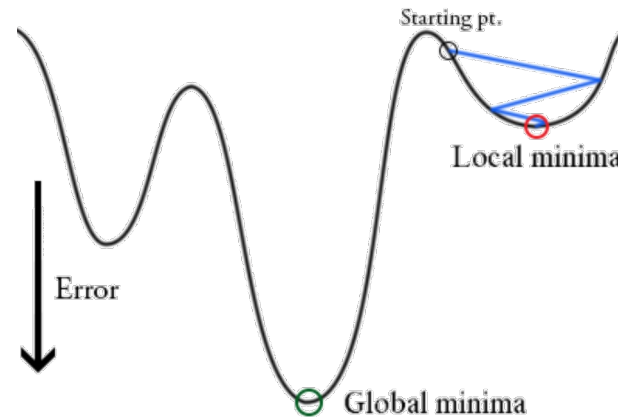


# Why Do We Need Evolutionary Computation?

- We have discussed several methods and algorithms in ML

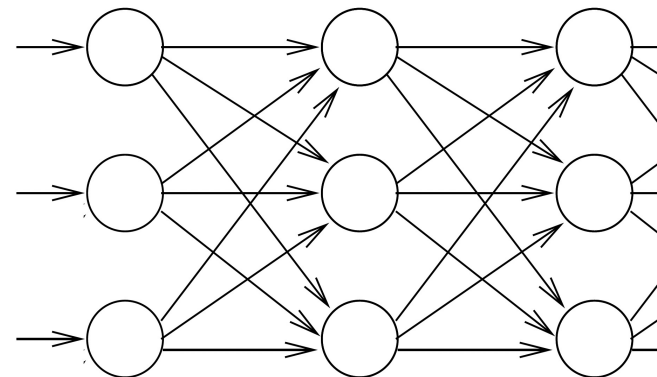
- But they have limitations:

- Local optima



- Needs to predefine/fix the **structure/model** of the solution, and only learns the **parameters/coefficients**

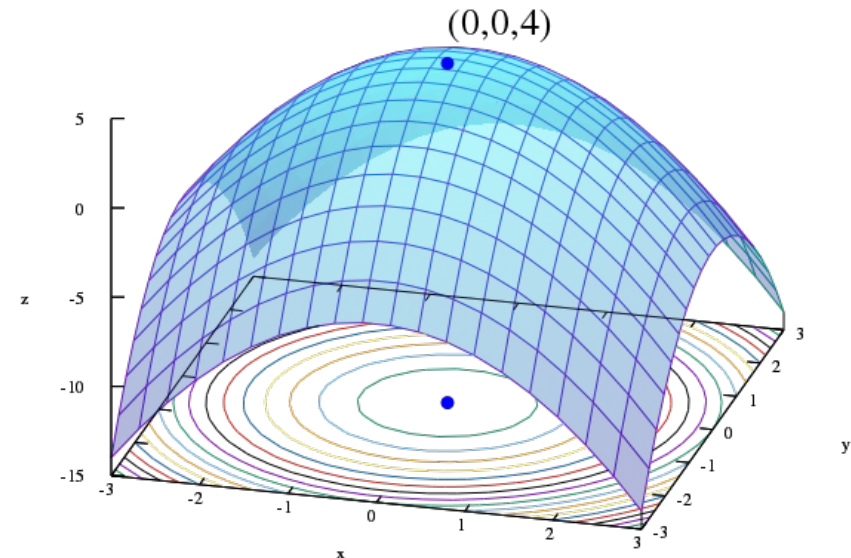
- **Many parameters** to learn  
(high-dimensional optimization)



- Evolutionary Computation (EC) is one technique that can avoid some of the problems

# What is Optimization?

- In an **optimization problem**, we are trying to find the best **values of the variables** that gives the **optimal value** of the **function** that we are optimising.
- E.g., minimize fuel use of courier deliveries: time, distance  
maximize classification accuracy
- **Decision variable(s)**
- **Objective function(s)**
- **Constraint(s)**
- ...



# Examples

- In machine learning
  - Optimize the **weights of a neural network**
  - Optimize the **architecture** (#layers, #nodes) of a **neural network**
  - **Feature selection** (select a subset of important features to use)
- Other domains
  - Design the **shape of a racing car/plane wings**
  - **Schedule** lecture rooms (timetabling)
  - **Schedule** jobs in cloud computing
  - **Schedule** trucks for delivery



# Evolutionary Computation: Origin Story

- In the **1950s**, long before computers were widely used, the idea to use **Darwinian principles** for automatic problem solving was first suggested.
- **Good individuals have better chance to survive in the nature.**
- **Three different interpretations** of this idea were developed independently:
  - **Evolutionary programming**: Lawrence Fogel (USA)
  - **Evolution strategies**: Ingo Rechenberg (Germany)
  - **Genetic algorithms**: John Holland (USA)
- These areas developed separately for over 15 or 20 years
- Since the early **1990s**, they have been seen as different representatives of one technology: **evolutionary computation**

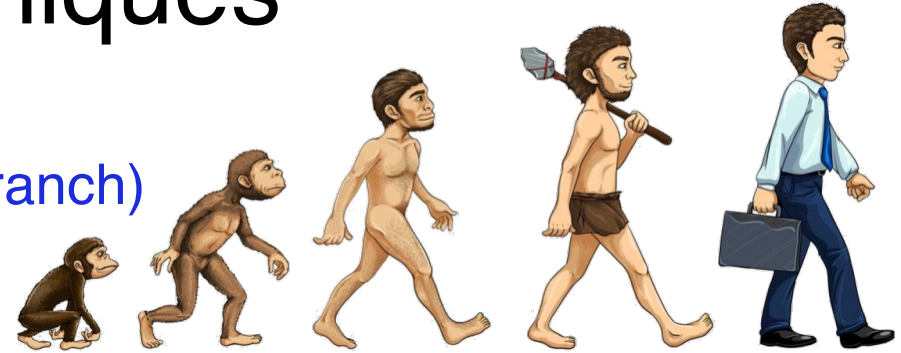
# Evolutionary Computation and Learning

- In **computer science**, **evolutionary computation** is a family of “*nature inspired*” AI algorithms for **global optimization**.
- In **technical terminology**, they are a family of **population-based** trial-and-error problem solvers with a metaheuristic or **stochastic** optimization character.
- **Evolutionary Learning** is the use of **evolutionary computation** methods for tackling **machine learning** tasks

# EC Techniques

- Evolutionary algorithms (EAs)

- Genetic algorithms (the biggest branch)
- Evolutionary programming
- Evolution strategies
- Genetic Programming (Koza, 1990s, fast growing area)

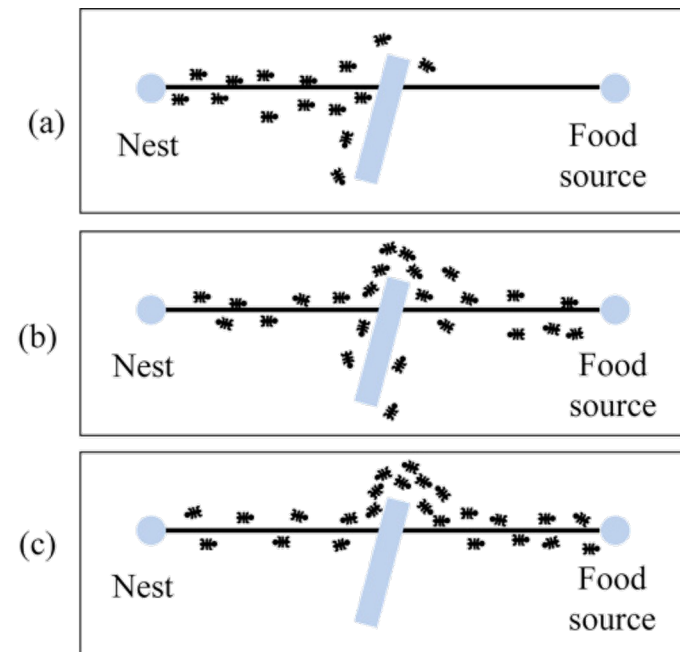


- Swarm intelligence (SI)

- Ant colony optimization
- Particle swarm optimization (PSO)
- Artificial immune systems

- Other techniques

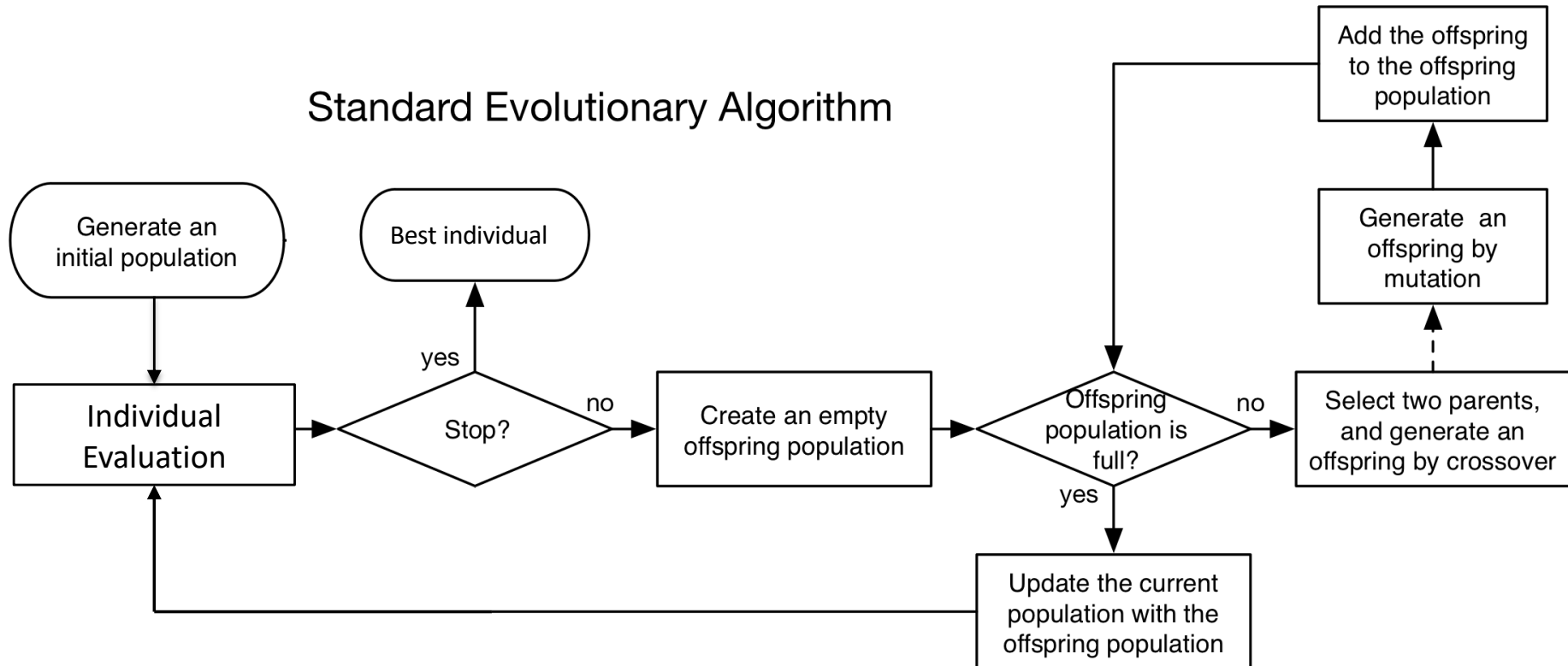
- Differential evolution
- Estimation of distribution algorithms
- ...





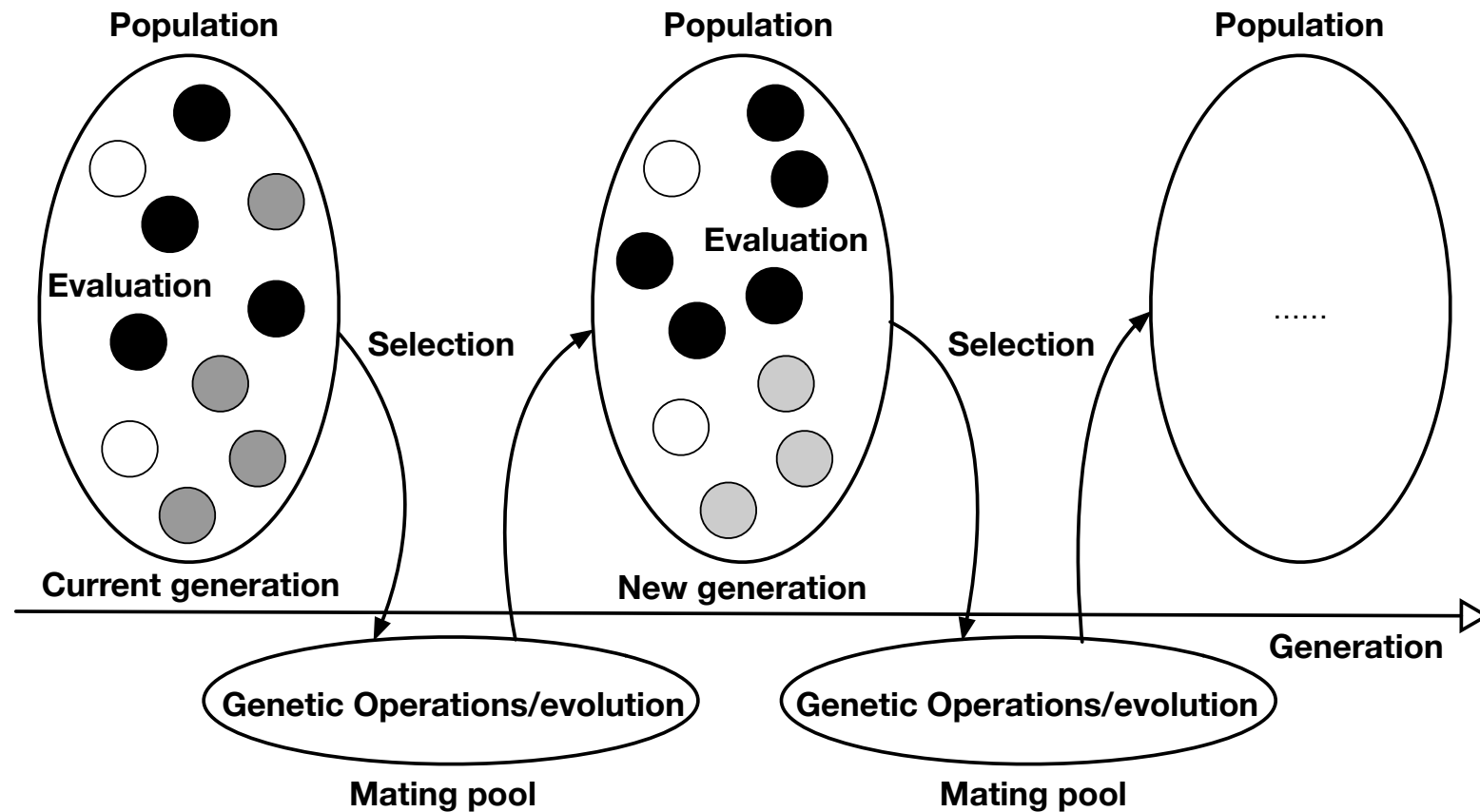
# Evolutionary Algorithm

Standard Evolutionary Algorithm



# Evolutionary Algorithms

- Search for the **best individual** by **evolving a *population*** with **genetic operators** (e.g., reproduction, crossover, mutation)



# Key Characteristics

- One (or more) *populations of individuals*
- Dynamically changing populations due to the *birth and death of individuals* (through *crossover, mutation, ...*)
- A *fitness function* which reflects the ability of an individual to survive and reproduce (“*survival of the fittest*”)
- *Variational inheritance*: offspring closely resemble their parents, but are not identical

## Population VS Individual

### Evolution

- *Final solution (individual)*: the one with the best *fitness*
- *Fitness* could be accuracy, cost, error, ...

# Key Design Questions



- Representation
  - How can we **represent** individuals (solutions)?
- Evaluation
  - How can we **evaluate** individuals (**fitness function**)?
  - A **fitter** individual should have a **better objective value** (e.g. smaller error)
- Selection
  - How to **select** individuals into the mating pool (**selection scheme**)?
  - **Fitter** individuals should be **more likely to survive/reproduce**
  - **Selection pressure**
- Genetic Operators
  - How to **generate** new individuals (**crossover, mutation operators**)?
  - Children **inherit strong parts** of parents
  - **Maintain diversity** (jump out of local optima)
- Other **parameters**
  - population size, mating pool size, stopping criteria, ...

# Individual Representation

- Problem dependent
- Binary string (e.g., feature selection)

1	0	0	1	1
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0	0	0	1	1
---	---	---	---	---

- Continuous vector (e.g., ANN weight optimization)

-0.73	0.10	0.35	-0.06	0.23
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-0.13	0.10	0.35	-0.06	-0.29
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- Permutation (e.g., traveling salesman problem)

1	3	5	2	4
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1	3	5	4	2
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- Variable length (e.g., symbolic regression)

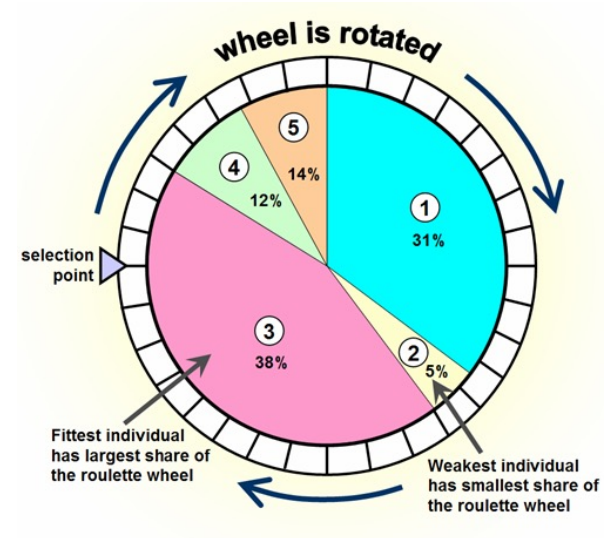
# Fitness Evaluation



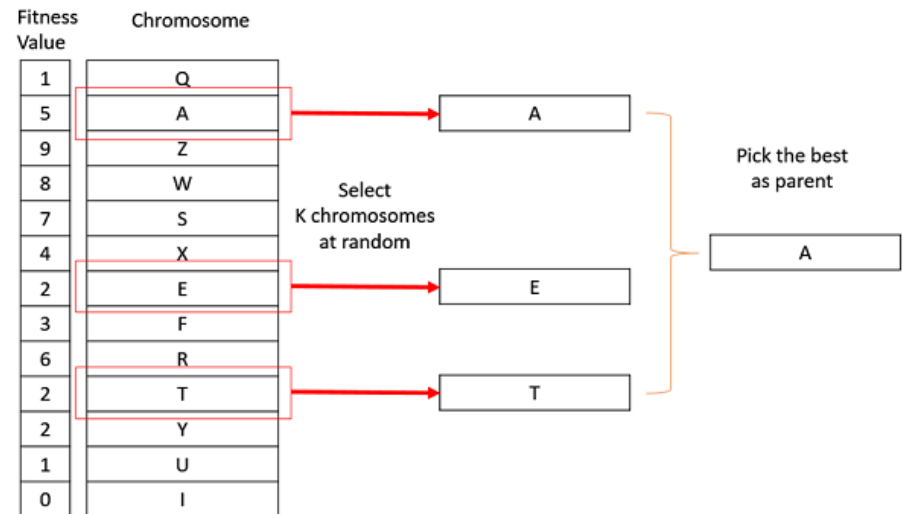
- **Fitness function**: reflect the **quality** of individuals
  - Must correspond to **optimality** property
  - Must be **computable**
  - **Smoothness (in general)**:
    - Small changes to candidate -> small changes to quality/fitness
    - Large changes to candidate -> large change
- Depending on the problem, the fitness function could be:
  - the larger, the better — **maximization**
  - the smaller, the better — **minimization**
  - e.g., for classification, maximizing accuracy or minimizing error

# Selection

- Uniform selection
  - Each individual has the **same** chance to be selected
- Roulette wheel selection (GA)
  - The probability of being selected is **proportional** to the fitness
  - Assume fitness is to be maximized



- **K**-tournament selection (GP)
- Truncate selection
  - Select the best k individuals



Selection pressure VS diversity

# Genetic Operators

- Depends on the problem – individual representation

A representative: Genetic Algorithms

- Relocate a bit of a binary vector



- Resample an element of a continuous vector



- Shuffle a part of a sequence

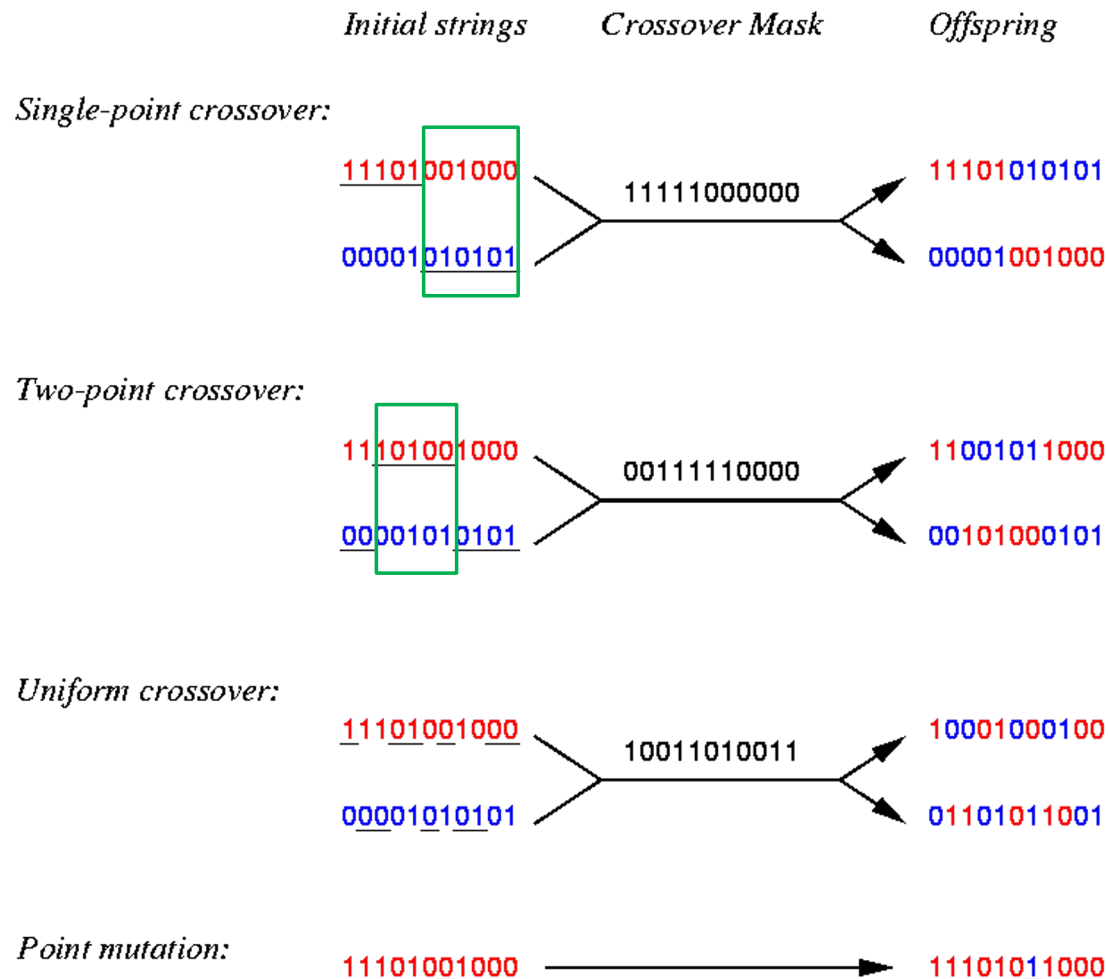


- ...



# Genetic Algorithm

- Representation: individuals are binary strings
- An individual is also called a chromosome
- Elitism (keep best  $k$  individuals to the next generation, e.g., 1)



# A Basic Genetic Algorithm



- Randomly **initialize** a population of chromosomes
- **Repeat until stopping criteria** are met:
  - Construct an empty new population
  - **Repeat until** the new population is full:
    - **Select two parents** from the population by roulette wheel selection
    - Apply **crossover** to the two parents to generate two children
    - Each child has a probability (**mutation rate**) to undergo **mutation**
    - Put the two children into the **new population**
  - **End Repeat**
  - **Move to the new population** (new generation)
- **End Repeat**
- Output the best individual from the final population

# A Simple GA Example



- **OneMax Problem**

- Target to (11111...1)
- More zeros means worse: far away from the target
- Simple “benchmark” problem!

- **Representation:** bit string

- **Fitness function:**  $1 + \sum_i x_i$  (the larger the better)

Or just  $\sum x_i$  (more greedy), Or  $100 + \sum x_i$  (any constant number)

- **Selection:** Roulette wheel selection

- **Genetic operators:** **Crossover:** single-point crossover

**Mutation:** point mutation

# A Simple GA Example

- 10 bits (Optimal fitness = 11)
- population size = 20
- mutation rate = 0.1 (10%), crossover rate = 0.8 (80%), reproduction rate = 0.1 (10%)
- Run for 10 generations

```
At generation 0 average fitness is 6.0, best fitness is 9
At generation 1 average fitness is 6.65, best fitness is 10
At generation 2 average fitness is 6.8, best fitness is 11
At generation 3 average fitness is 6.9, best fitness is 9
At generation 4 average fitness is 6.45, best fitness is 9
At generation 5 average fitness is 6.95, best fitness is 9
At generation 6 average fitness is 7.3, best fitness is 11
At generation 7 average fitness is 6.65, best fitness is 10
At generation 8 average fitness is 6.25, best fitness is 8
At generation 9 average fitness is 6.6, best fitness is 8
```

Keep elites (i.e., best ones) to the next generation!!!

# Summary

- Evolutionary computation
- Representations
- Selection and genetic operators
- Genetic algorithms
  
- **Next Tutorial:** EC and its applications

