

# Fundamentals of Artificial Intelligence



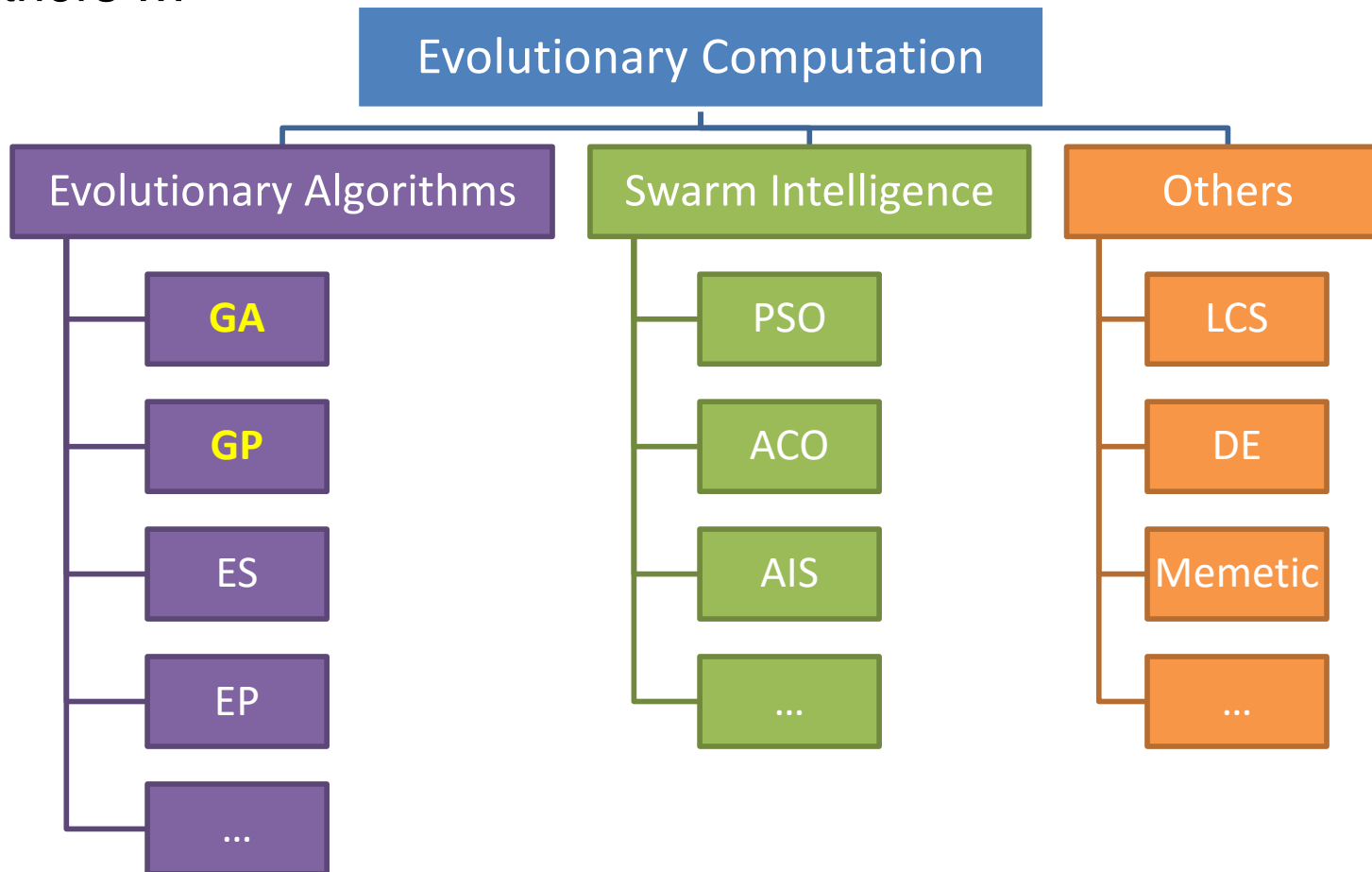
VICTORIA UNIVERSITY OF  
**WELLINGTON**  
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**COMP307/AIML420**

**Evolutionary Computation 2:**  
**Genetic Programming (GP)**

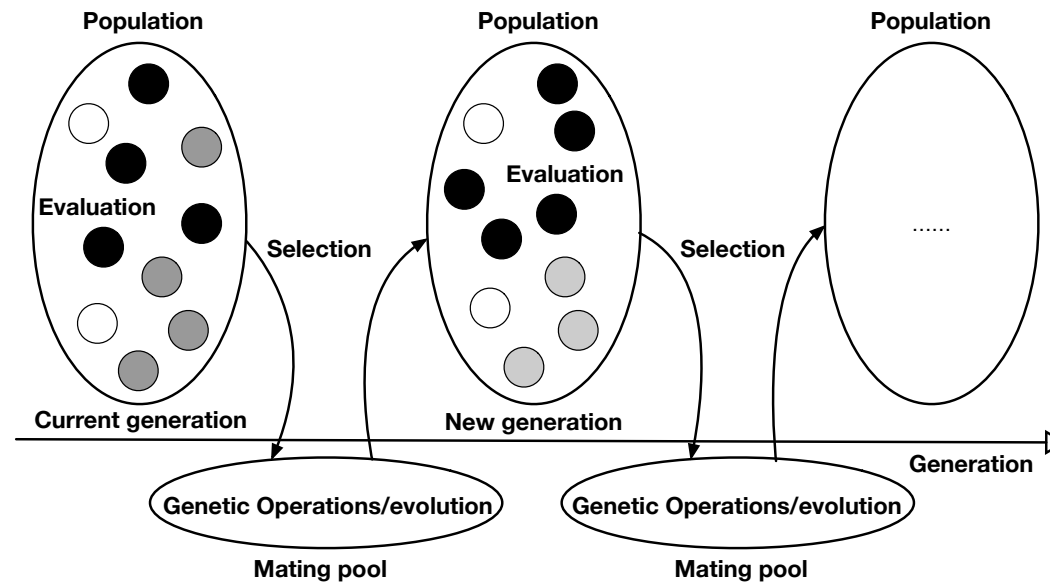
# Review – Evolutionary Computation

- Includes **nature-inspired** techniques and **population-based** approaches
  - **Evolutionary algorithms** (natural evolution-inspired)
  - **Swarm intelligence** (more social-inspired)
  - Others ...



# Review – Keys of Genetic Algorithm

- Population based
- Evolution process, i.e., improve solutions generation by generation

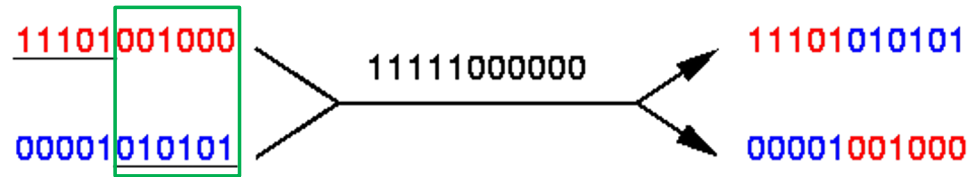


- Representation
- Evaluation
- Parent selection
- Offspring generation (genetic operators)

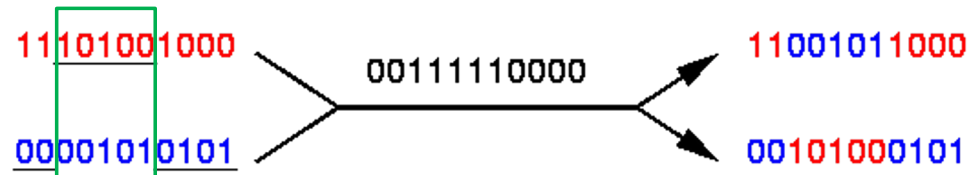
# Review – Genetic Operators

*Initial strings*      *Crossover Mask*      *Offspring*

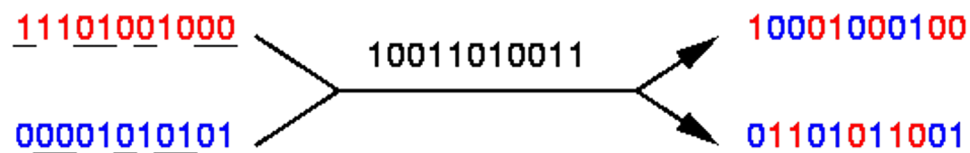
*Single-point crossover:*



*Two-point crossover:*



*Uniform crossover:*



*Point mutation:*



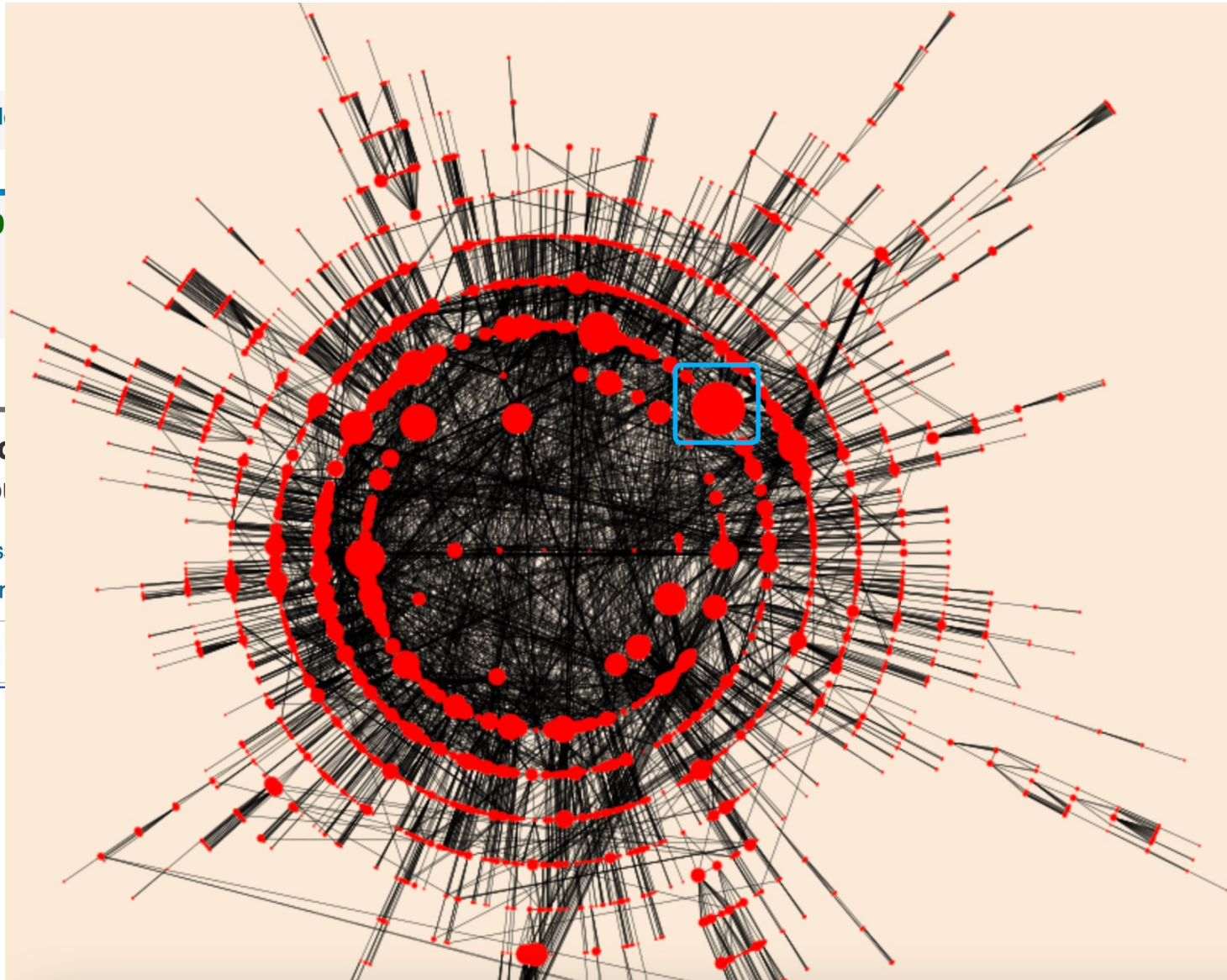
## IEEE Transactions on Evolutionary Computation

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<http://gpbib.cs.ucl.ac.uk/>

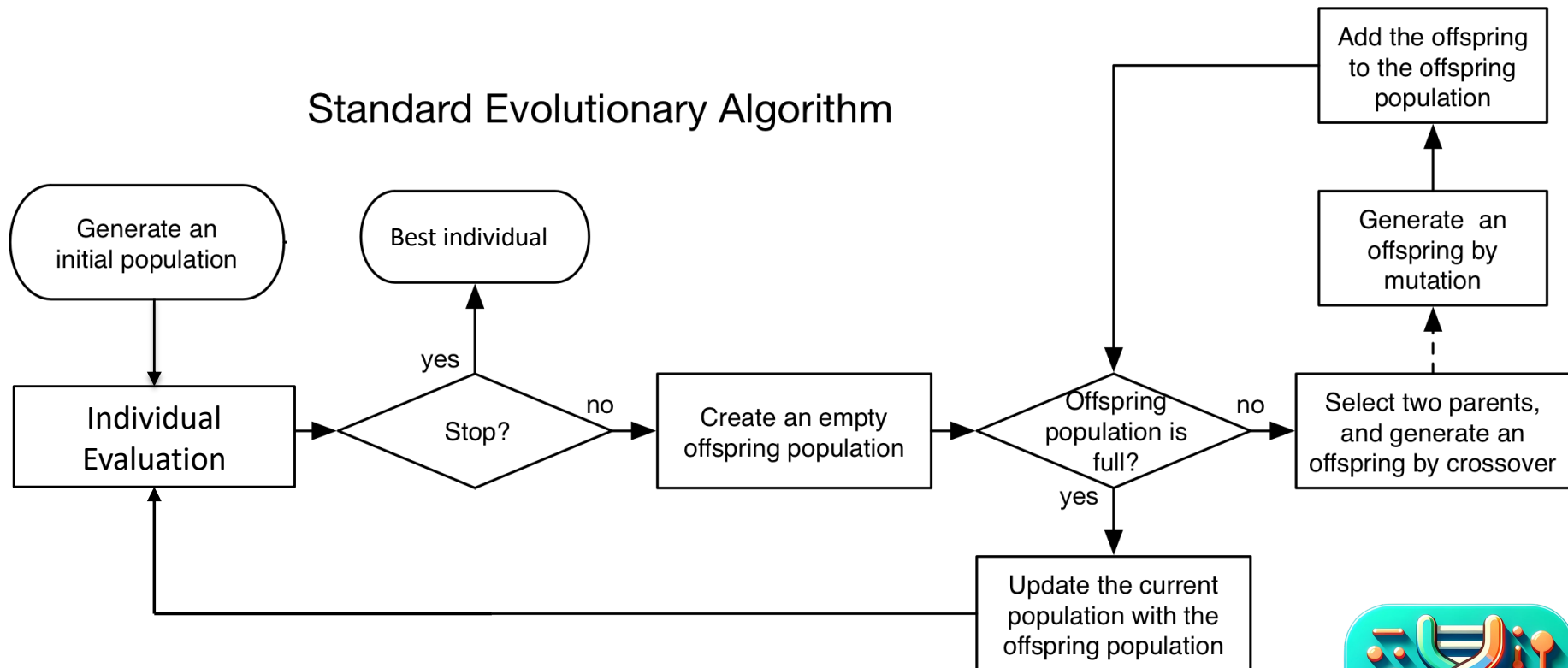
# Outline

- GP representation: Terminals and functions
- Program generation
- Evaluation: Fitness functions
- Parent selection
- Offspring generation: Genetic operators
- A basic GP algorithm
- Tackling a problem with GP



# Genetic Programming

- GP follows the process of a standard evolutionary algorithm



# Genetic Programming

- **Genetic programming (GP)** inherits properties from **Evolutionary Algorithms** (e.g., GAs) and **automatic program learning**
- **Automatically learning** a set of **computer programs** for a particular task is a dream of computer scientists
- The term GP originates from the notion that computer programs can be represented as a **tree-structured genome**
- GP uses a **similar** evolutionary process to the general evolutionary algorithms (e.g., GAs)
  - GA uses **bit strings** to represent solutions;  
GP uses **tree-like** structures that can represent **computer programs**
  - GA bit strings use a **fixed** length representation;  
GP trees can **vary in length**



# LISP S-Expressions



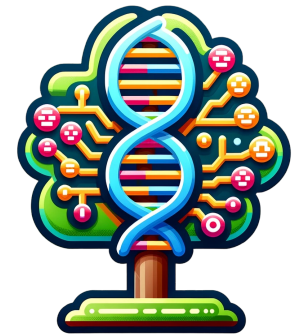
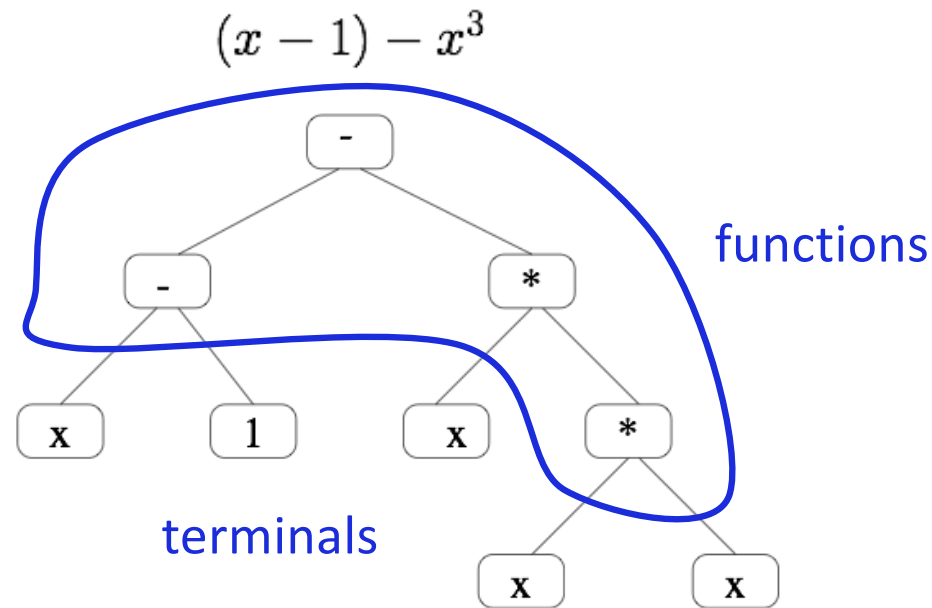
- Form of a LISP function:  
*(FUNCTION-NAME ARG1 ARG2 ARG3, ...)*

The arguments are evaluated, the function is applied to the arguments and then the output returned

- $(+ 1 2 3)$  evaluates to 6
- $(+ (- 3 2) (* 2 4))$  evaluates to  $(+ 1 8)$  which is 9
- $(IF (> TIME 10) 3 4)$  evaluates to 3 if TIME is 11 or more, and to 4 if time is 10 or less
- If TIME=20, what is the value of  $(+ 1 2 (IF (> TIME 10) 3 4))$ ?

# Programs as Tree Structures

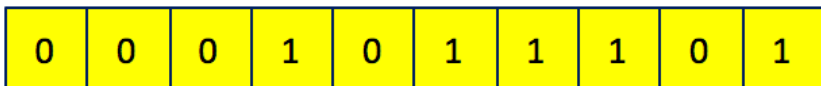
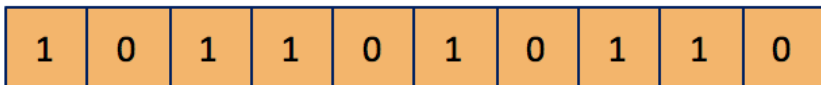
- Representation: Tree Structures
- Programs are constructed from a *terminal* set & *function* set
- Terminals and functions are also called *primitives*



# GA vs GP: Representation

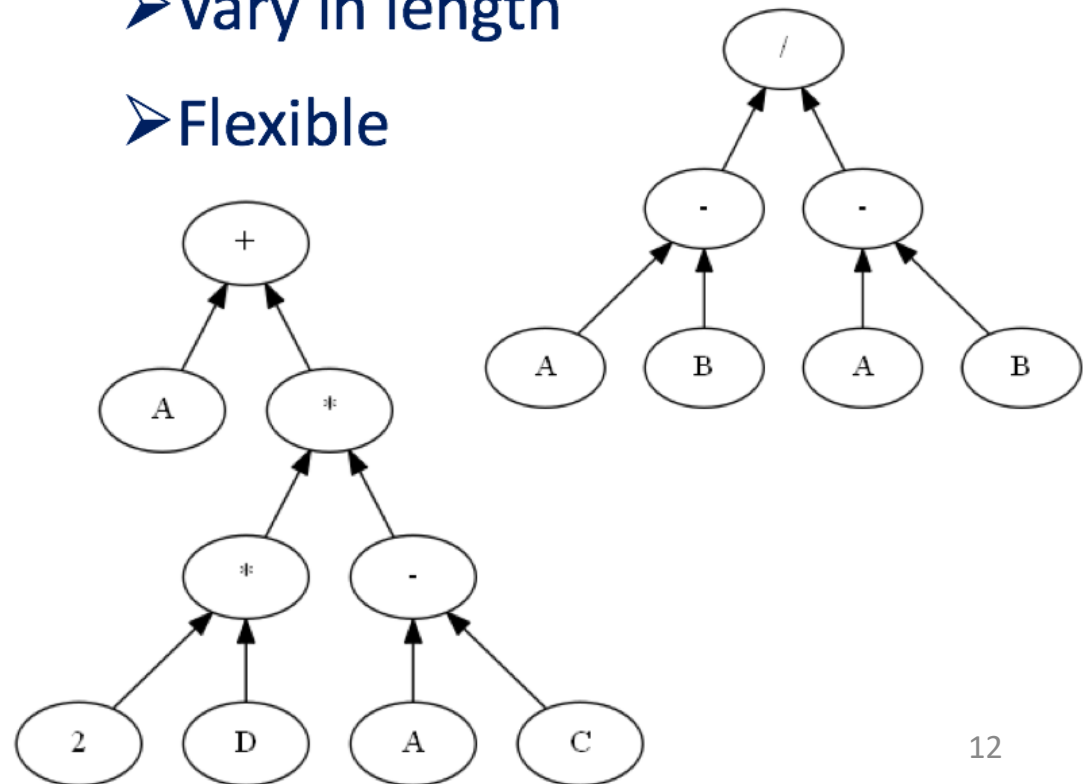
## Genetic Algorithm

- Bit string representation
- Fixed in length
- Inflexible



## Genetic Programming

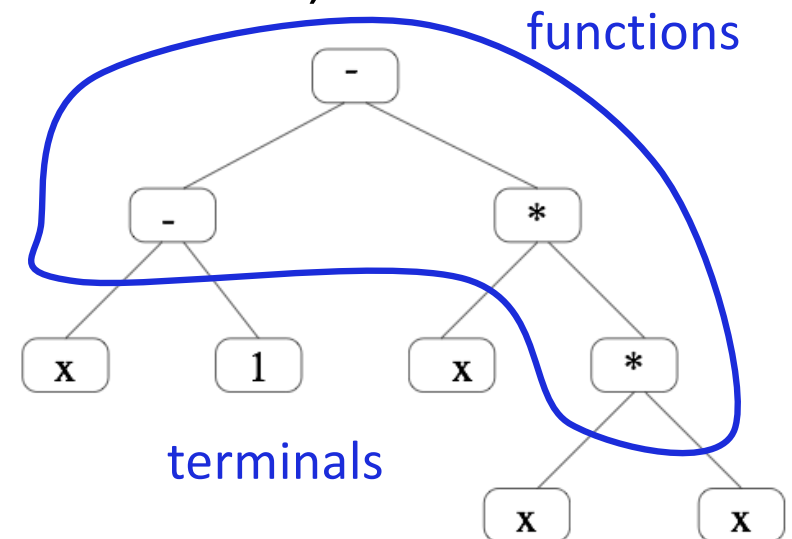
- Tree-like structure
- Vary in length
- Flexible



# Terminal Set

- A terminal set consists of a set of terminals:
  - attributes/features
  - “Constants” (randomly generated, but don’t change)
- Terminals have **no arguments** & form the **leaves** of the tree
- Terminals represent the **inputs** of a GP tree/program, i.e. input from the environment (**a specific task**)

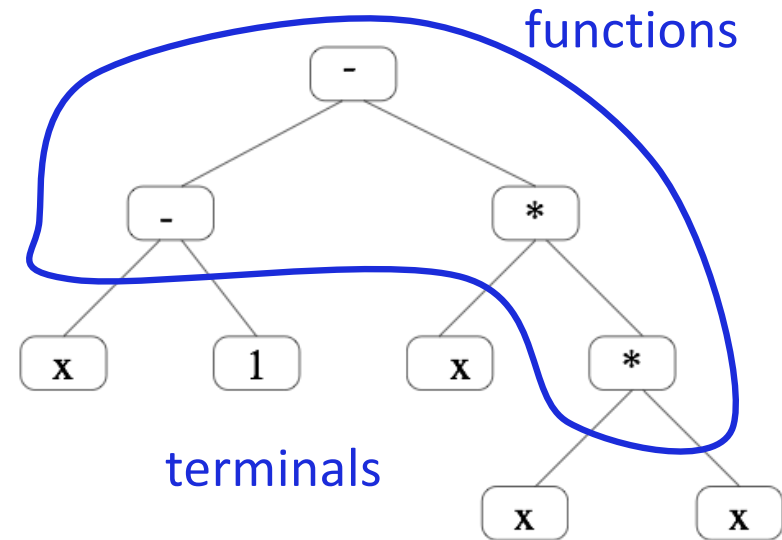
- **Attributes or features** of a problem domain are usually used as terminals



# Function Set

- A function set consists of a set of **functions or operators**
- Functions form the **root and the internal nodes** of the tree representation of a program

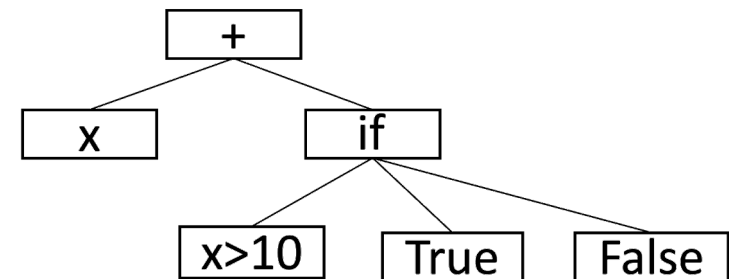
- Two kinds of functions: **general functions**, and **domain-specific functions**



- **General functions:**
  - **Arithmetic functions:** +, -, \*, %.
  - **Protected division (%)**: returns 0 (or 1) if denominator is 0
  - Other functions: sin, cos, exp, log, abs, ...
- **Domain Specific functions:** e.g., image processing operators

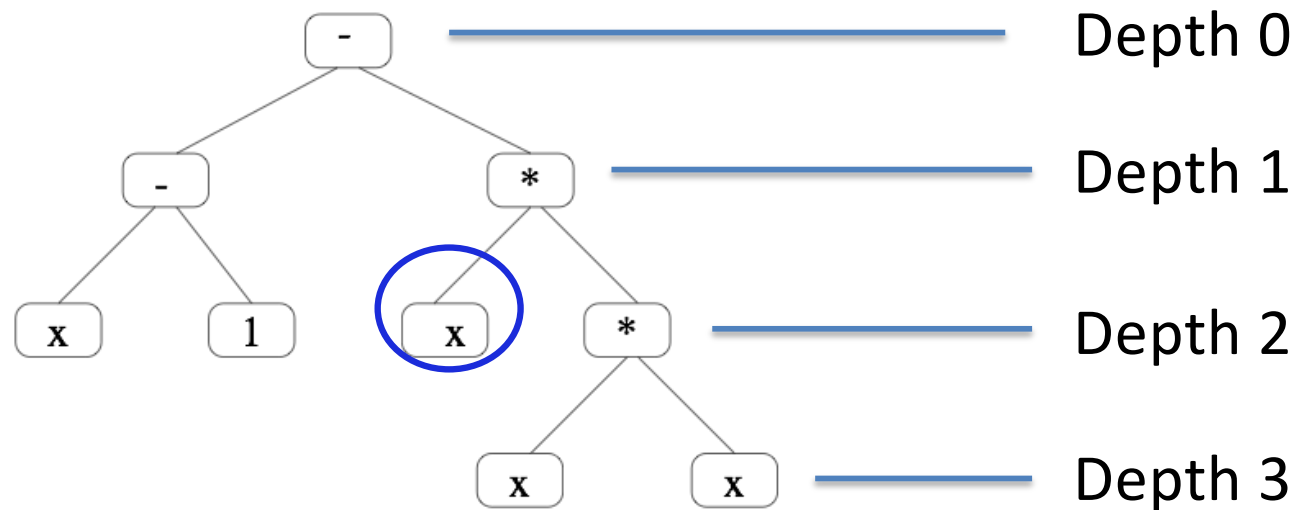
# Sufficiency and Closure

- Selection of the functions and terminals is **critical** to success
- The terminal set and the function set should be selected to satisfy the requirements of **sufficiency** and **closure**
- **Sufficiency**: There must be some **combination** of terminals and function symbols that can **solve the problem**
- **Closure**: Any function can **accept any input value** returned by any function (and any terminal)
  - NB: “strongly-typed GP” violates this!



# Program Generation

- For **initializing** a population, or performing
- **Maximum program size**: the **maximum depth** of a tree
- **Depth**: The depth of a node is the **minimum number of edges** that must be traversed from the root of the tree to it

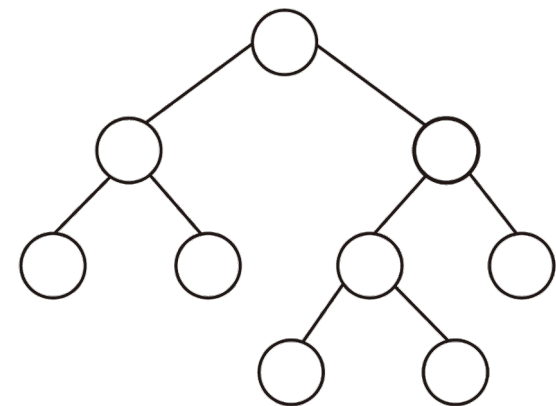






# Program Generation

- **Grow method:**
  - Nodes are selected from **both functions and terminals**
  - If a **terminal is selected**, the branch with this terminal is **terminated** and we move on to the next **non-terminal branch** in the tree
- **Ramped half-and-half method:**
  - Both the full and grow methods are combined
  - **Half of the population** are created by using the **grow** method and the other **half** using the **full** method
- **Ramped half-and-half** is widely used in many GP systems
  - Good balance of the benefits of each!



*(b) grow*

# Fitness Evaluation

- The **fitness** of a program generated by the evolutionary process is **evaluated** according to the **fitness function**
- The fitness function should give **graded** and **continuous** feedback on **how good** a program is on the **training set**
- The fitness function plays a **very important** role in the evolutionary process and **varies** with the problem domain
- **Fitness cases**: instances used for fitness evaluation
  - **Training cases**: training instances used for learning
  - **Test cases**: test instances used for performance evaluation



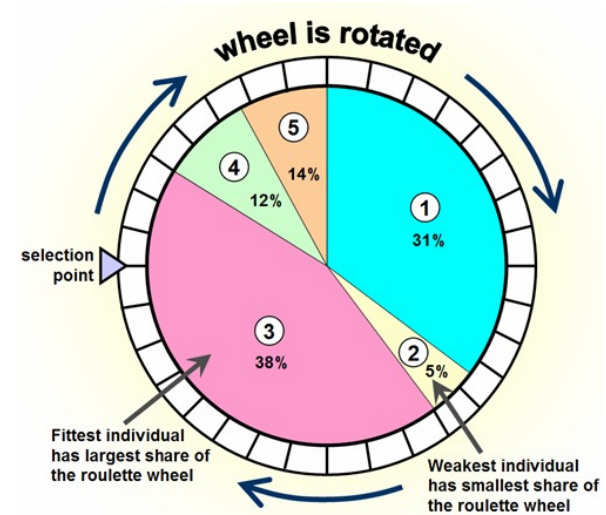
# Fitness Function Examples

- Image matching: the number of **matched pixels**
- Robot learning obstacle avoidance: the number of **walls hit** for a robot
- Classification task: the number of **correctly classified** examples, error rate, or classification accuracy
- GP-controlled gambling agent: the amount of **money** won
- Artificial life application: the amount of **food** found and eaten

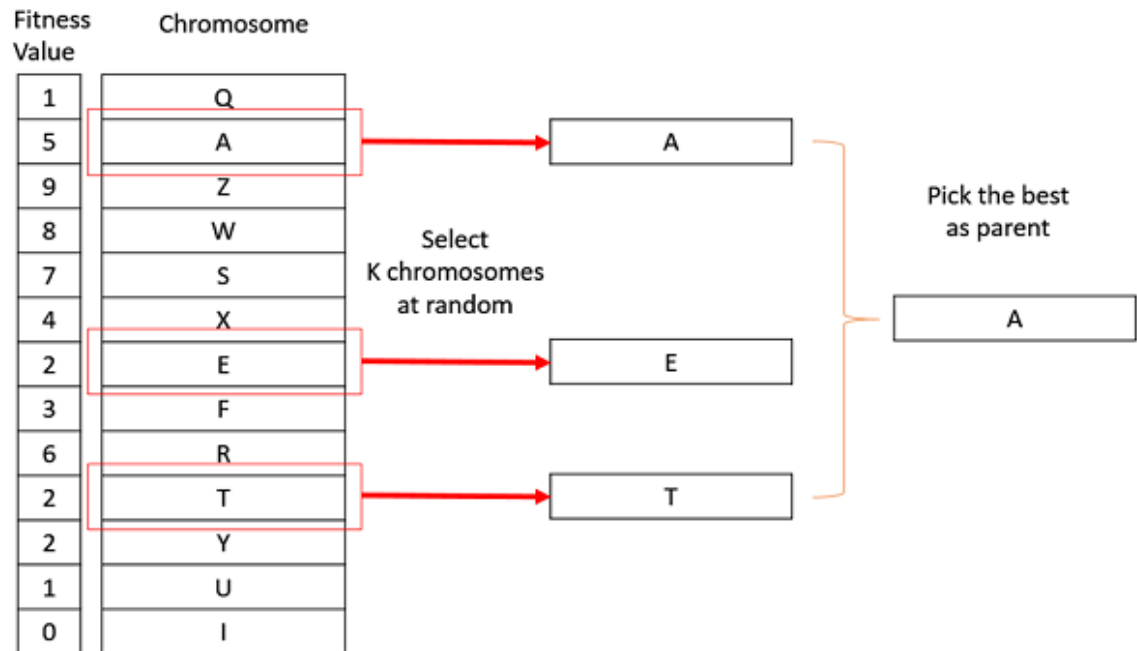


# Parent Selection

- Roulette wheel selection (popularly used in GA)
  - The probability of being selected is **proportional** to the fitness
  - Assume fitness is maximized

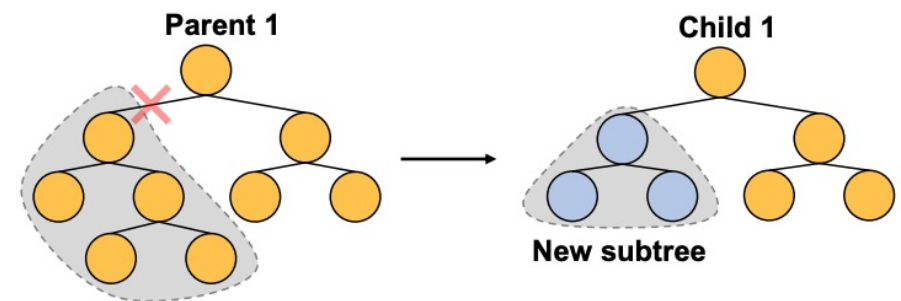


- **K**-tournament selection (popularly used in GP)
- Larger K, greedier?
- Small K, greedier?



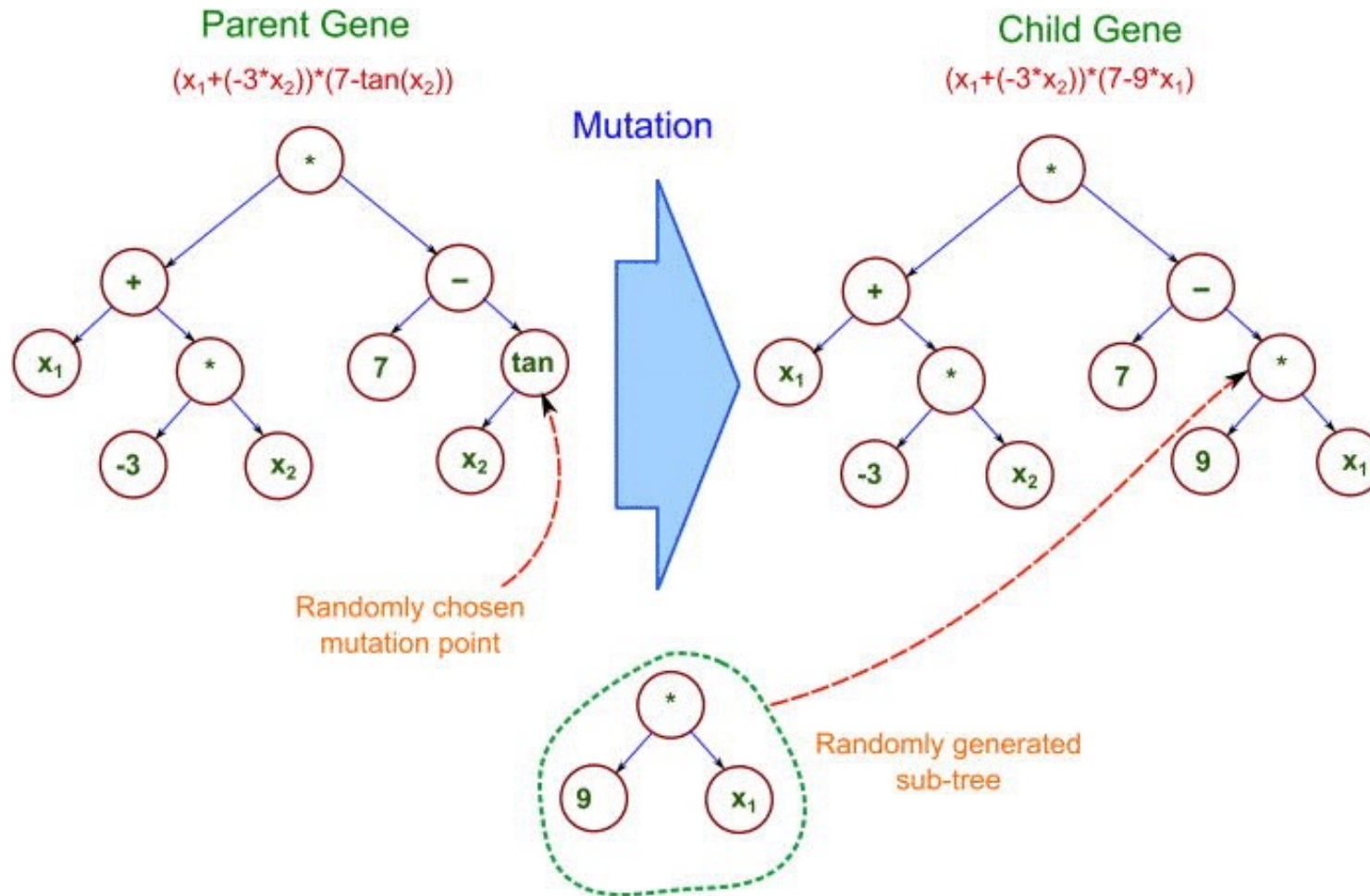
# Genetic Operators in GP

- Evolution proceeds by **updating** the **initial** population by the use of **genetic operators**
  - An **initial** population usually has very **bad** fitness
  - Three main operators in GP: **reproduction, mutation, and crossover**
- **Reproduction:**
  - **Simply copy** a **selected** program to the new generation
  - Allow good programs to survive
  - **Elitism:** keep only the best one or several
- **Mutation:**
  - Operate on a **single** selected program
  - **Remove** a **random subtree** of the program
  - Generate a **new subtree** in the same place



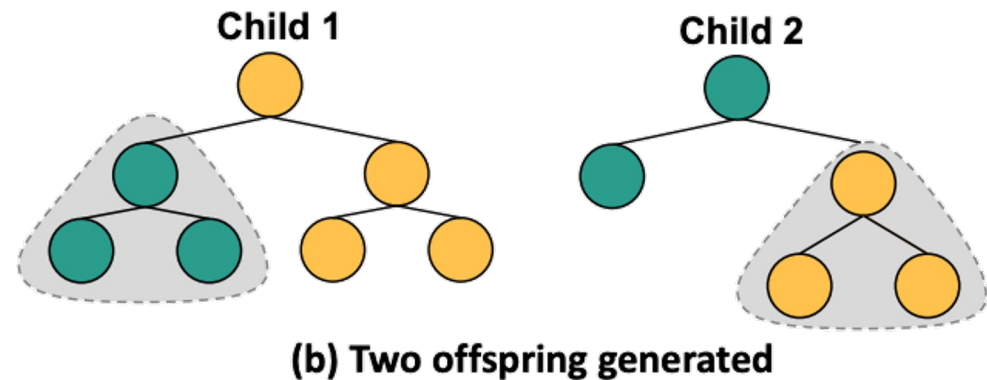
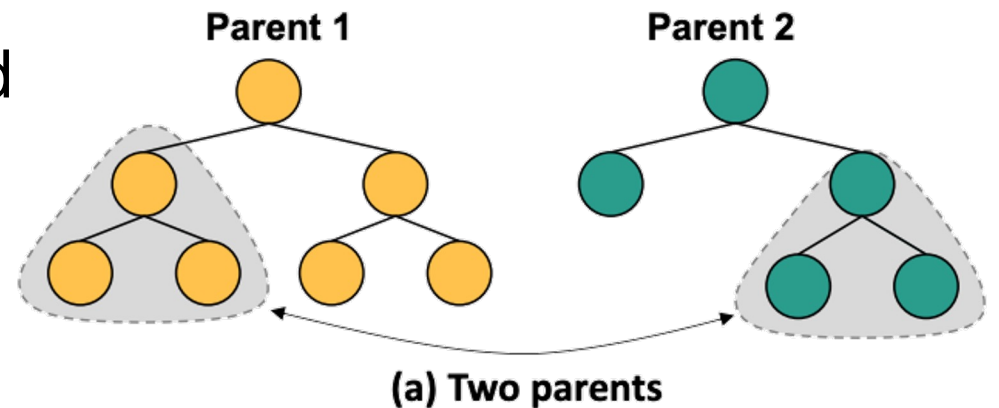
Mutation

# Mutation in GP



# Crossover in GP

- **Swap** a subtree of one parent with a subtree of the other
- Put the two newly-formed programs into the next generation



Crossover

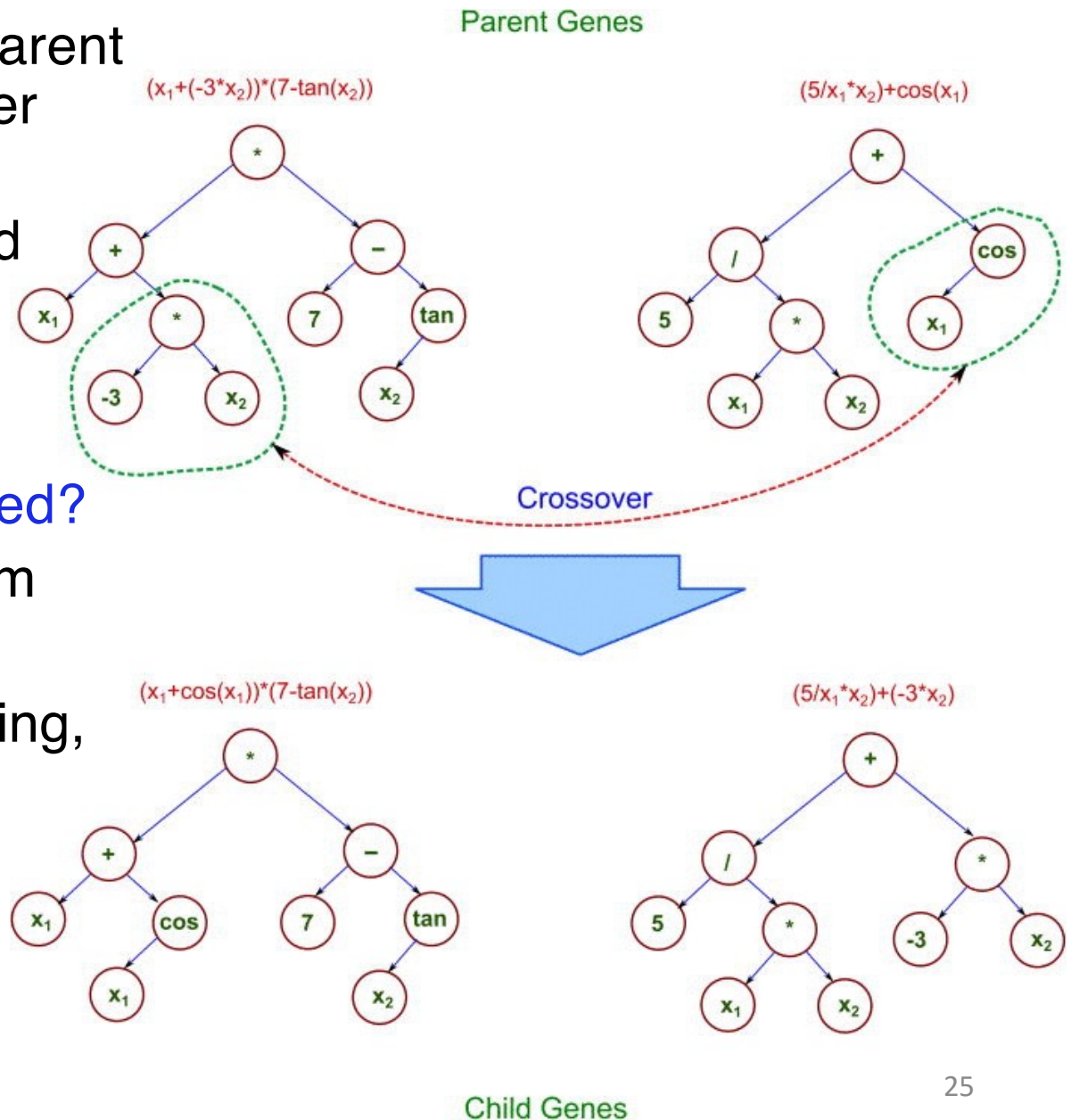
# Crossover in GP

- **Swap** a subtree of one parent with a subtree of the other

- Put the two newly-formed programs into the next generation

- **How is the tree depth used?**

After we get offspring from crossover/mutation, we check the depth of offspring, if  $\text{depth}(\text{new}) > \text{depth}$ , throw away; Otherwise, keep them;





# A Basic GP algorithm



- **Initialise** the population
- **Repeat** until the stopping criteria is met:
  - **Evaluate the fitness** of each program in the current population
  - Create an **empty new population**
  - **Repeat** until the new population is full:
    - **Select** programs in the current generation (often *tournament selection*)
    - Apply **genetic operators** to the selected programs to generate offspring (e.g., *80% crossover, 15% mutation, 5% reproduction*).
    - **Insert** the children programs into the new generation.
- Output the **best individual program** in the population.

# Tackling a Problem with GP

- What **terminals** should be used in the program trees?
- What **functions** are needed to represent the program tree?
- What is the **fitness function/measure**?
- Parameters values for controlling the evolutionary process:  
e.g. what **population size**, **tree depth** and **tournament size**?
- When to **terminate** a run?
- Which **genetic operators** should be used, and how frequently should they be applied?



# Popularly used GP libraries

- DEAP in Python

<https://github.com/DEAP/deap>

- ECJ in Java

<https://cs.gmu.edu/~eclab/projects/ecj/>

can check more details at [src/ec/app/tutorial](#)



# Summary

- Overview of EC (GA) process
- GP basics: representation, terminals, functions, fitness, genetic operators, selection
- GAs vs GP
- Basic GP algorithm
- **Next lecture:** GP regression and classification

