

# Fundamentals of Artificial Intelligence



**COMP307/AIML420**

**Search 1**

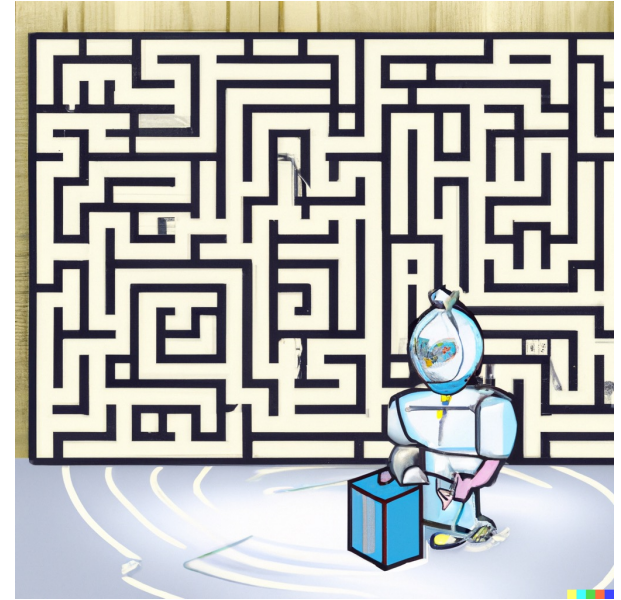
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heitor.gomes@vuw.ac.nz  
<http://www.heitorgomes.com>

# Information

- Assignment 1 (due on week 5 - 27 March 2024)
- Extension requests (use the Submission system)
- Teaching evaluation (Heitor)
- Helpdesks starting from 2pm until 4pm (Thursday until next Wednesday)

# Search in AI

- Why searching is relevant in AI?
- An **agent** in an **environment**
- We are looking for a **solution** to a **problem** and we would like to know the steps (**path**) to reach such **solution**.

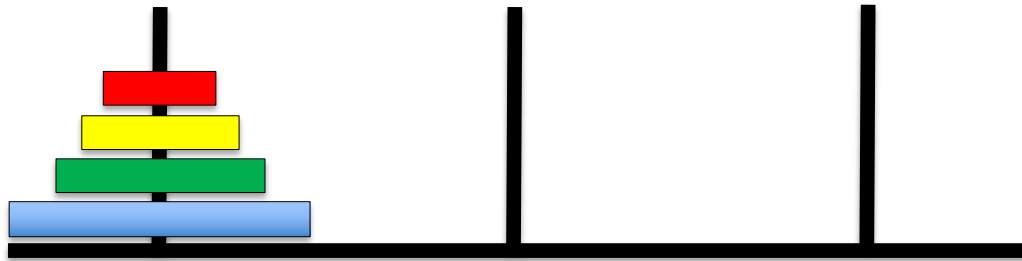


Generated with DALLE-2

- Several complex real-world problems rely on search
  - Robot navigation; University timetabling; Job shop scheduling; ...
- Search is a critical step in several other AI techniques, such as machine learning and evolutionary computation.

# Towers of Hanoi

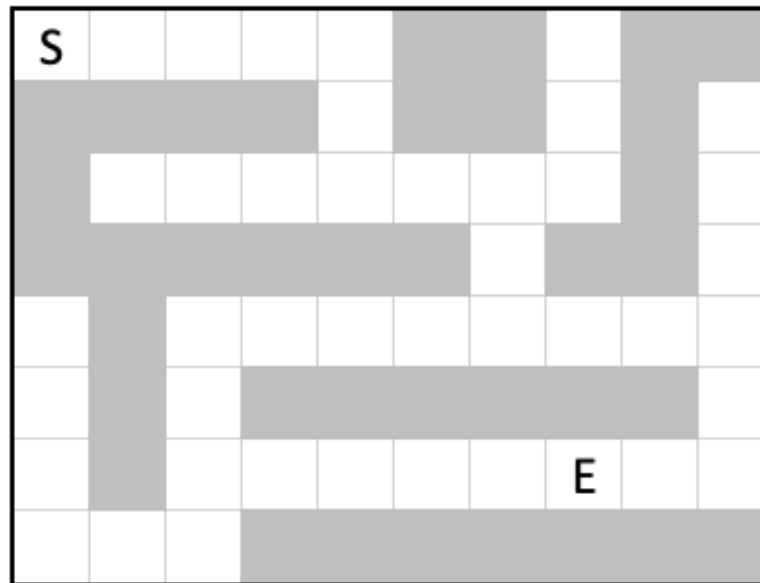
- Puzzle that consists of three pegs and a set of disks of different sizes



- Disks are **initially** stacked on one peg in decreasing order of size, with the largest at the bottom and the smallest at the top
- The **goal** is to move the entire stack to another peg, one disk at a time, without placing a larger disk on top of a smaller one

# Maze

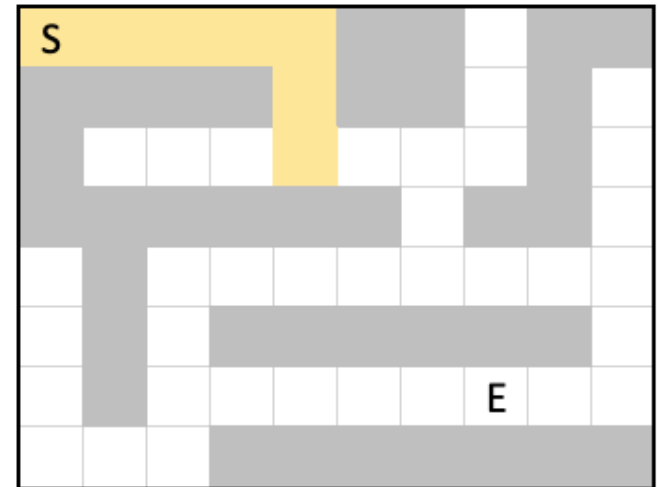
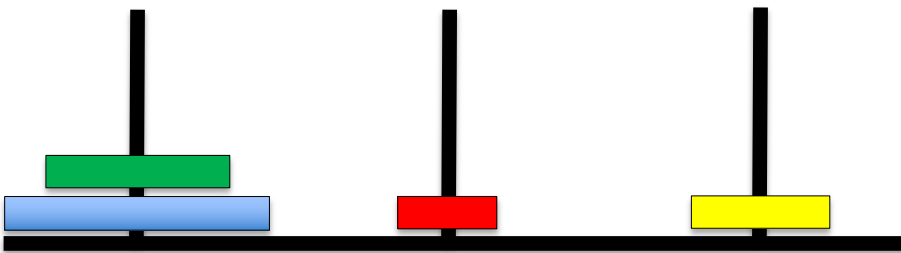
- Find a path from the Start position (S) to the End position (E)



- Can't go through walls, can only move one position at a time
- The **goal** is to move the **initial** position final position, one step at a time

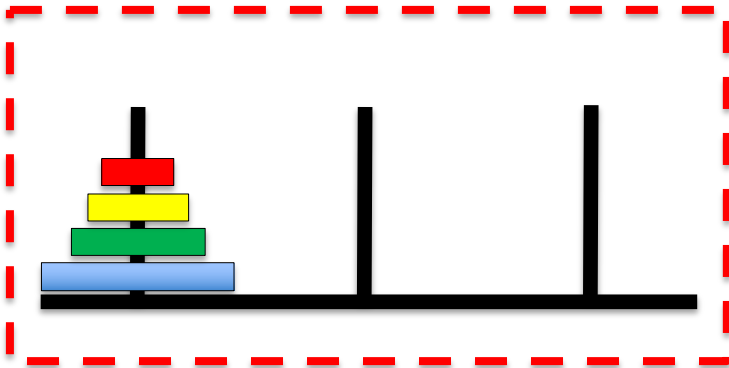
# Abstracting the problem

- **Agent:** entity that perceives the environment and acts upon that environment
- **State:** A configuration of the agent in its environment



# Abstracting the problem

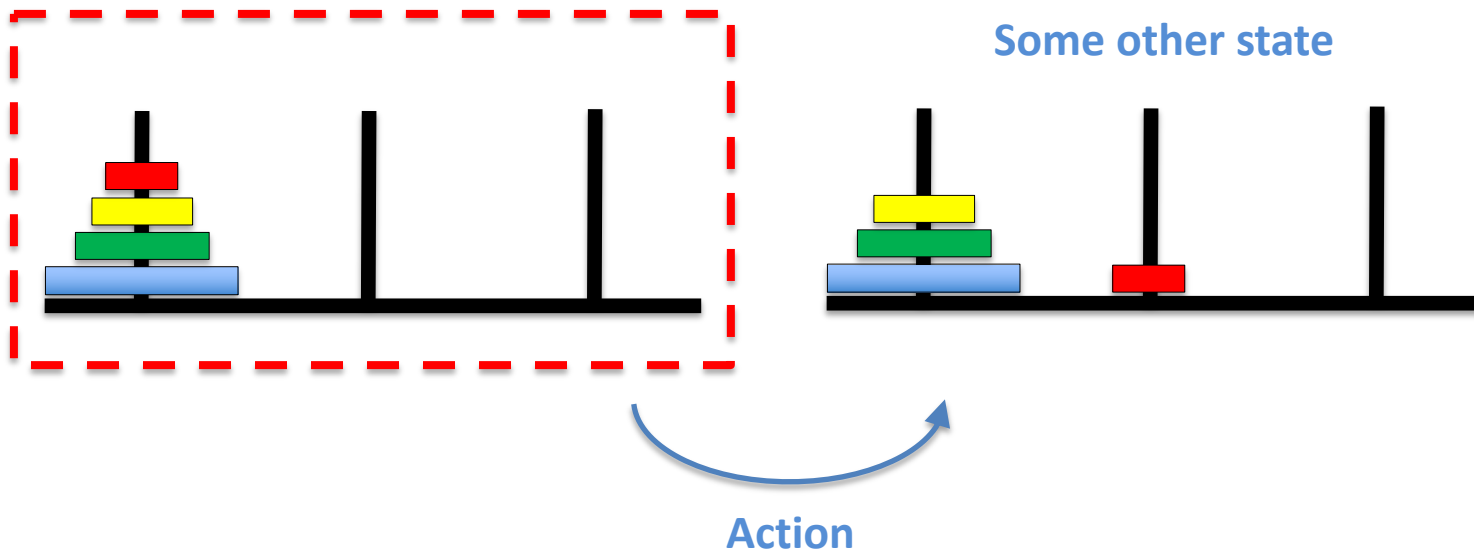
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- **State:** A configuration of the agent in its environment
  - **Initial state**



# Abstracting the problem

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- **State:** A configuration of the agent in its environment

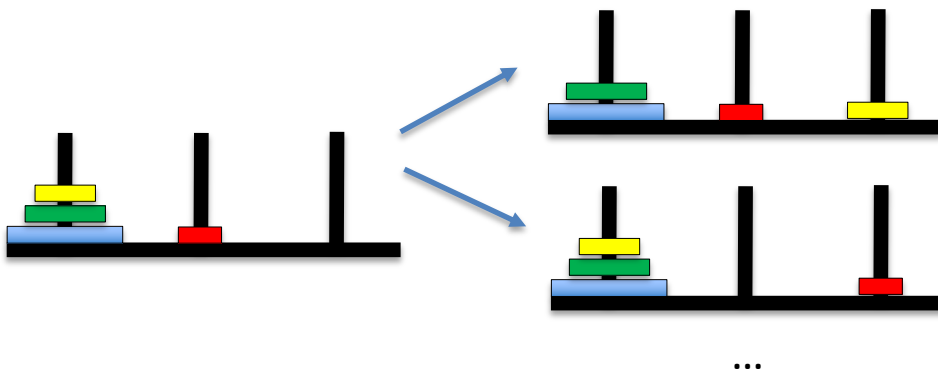
– **Initial state**





# Abstracting the problem

- **Agent:** entity that perceives the environment and acts upon that environment
- **State:** A configuration of the agent in its environment
  - Initial state
- **Actions: Choices that can be made in a state**
  - *Action(s)*: Given a state  $s$ , returns all possible actions from  $s$

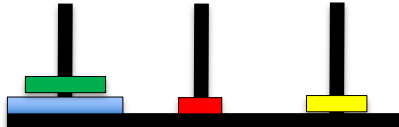



# Abstracting the problem

- **Actions:** Choices that can be made in a state
  - **Action(s):** Given a state  $s$ , returns all possible actions from  $s$
- **Transition state:** a description of the resulting state after action  $a$  is applied in state  $s$ 
  - *Result( $s, a$ ) returns the state  $s'$  after action  $a$  is performed on  $s$*

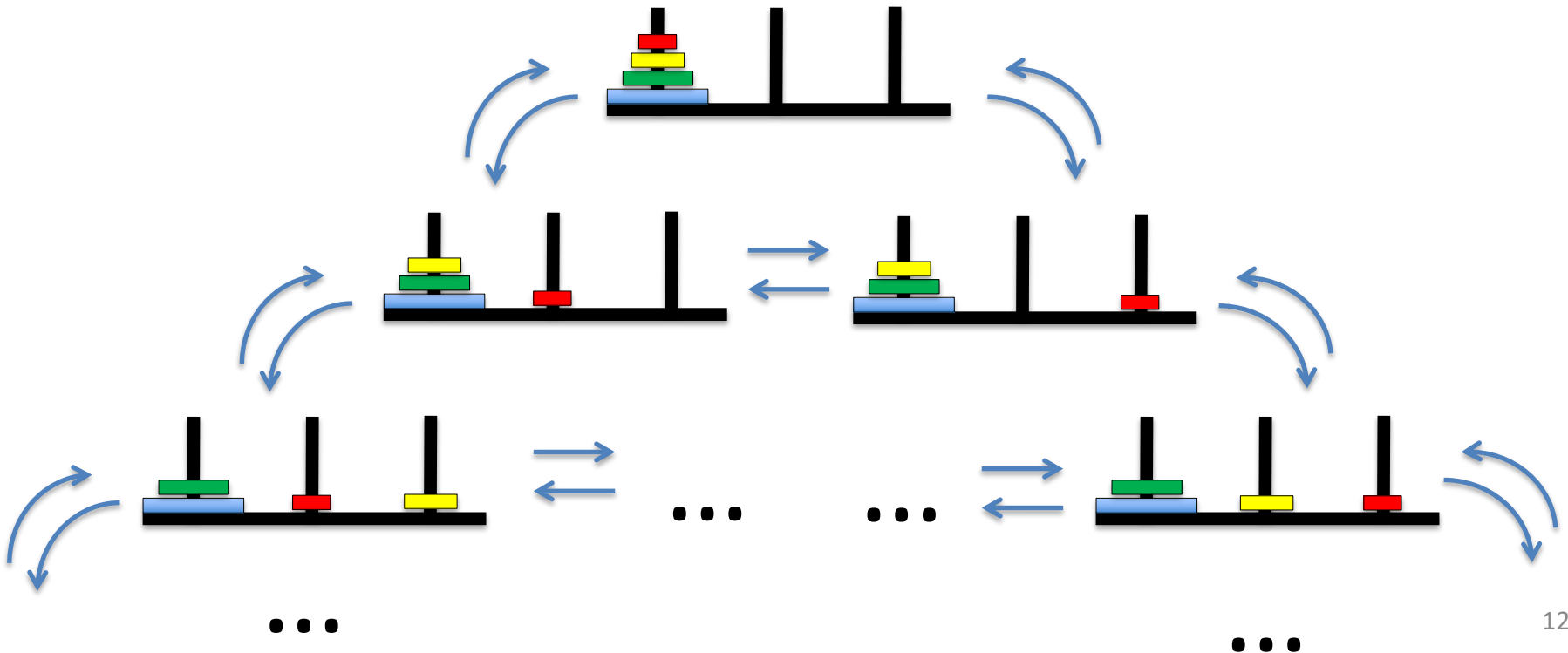
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$$\text{Result}(\text{Diagram 1}, \text{Yellow Block} \rightarrow T3) = \text{Diagram 2}$$


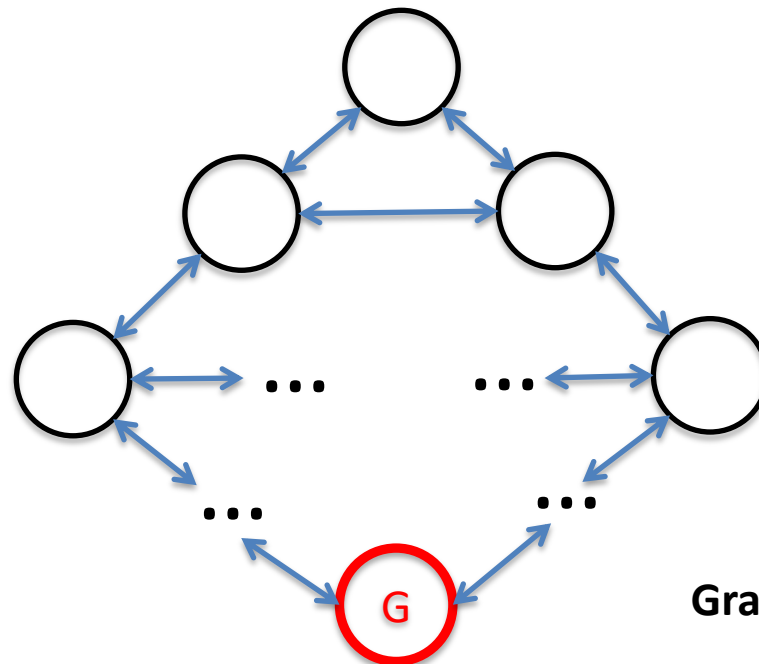
# State space

- Agent, State, Actions, Transition state
- **State space:** set of all possible states reachable from the initial state by any sequence of actions.



# State space

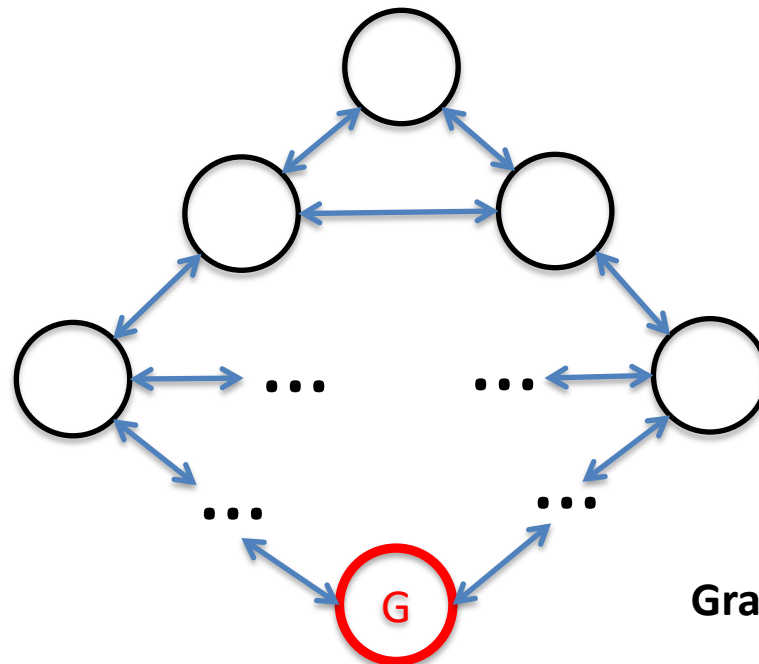
- Agent, State, Actions, Transition state
- **State space:** set of all possible states reachable from the initial state by any sequence of actions.
  - **Goal test:** determines whether a state is a goal



Graph abstraction

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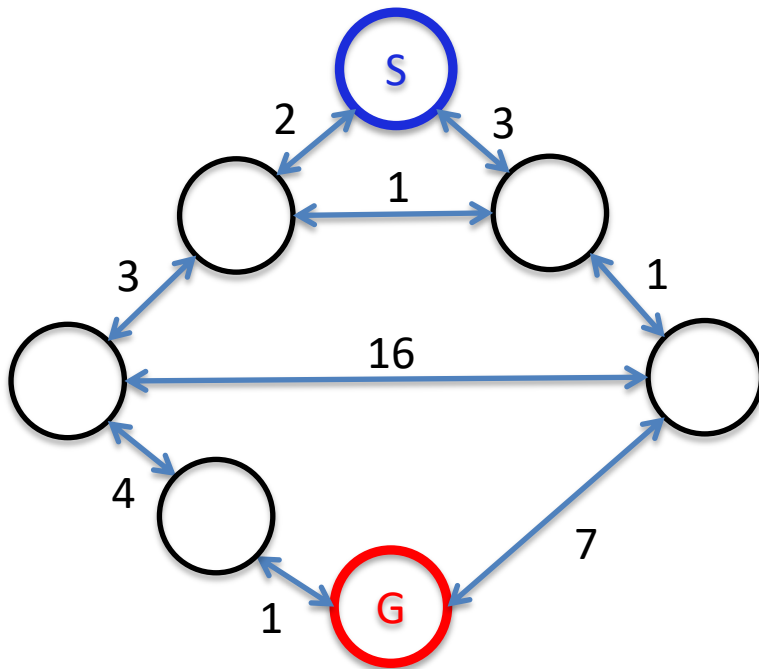


Often we are interested in the “**optimal**” solution

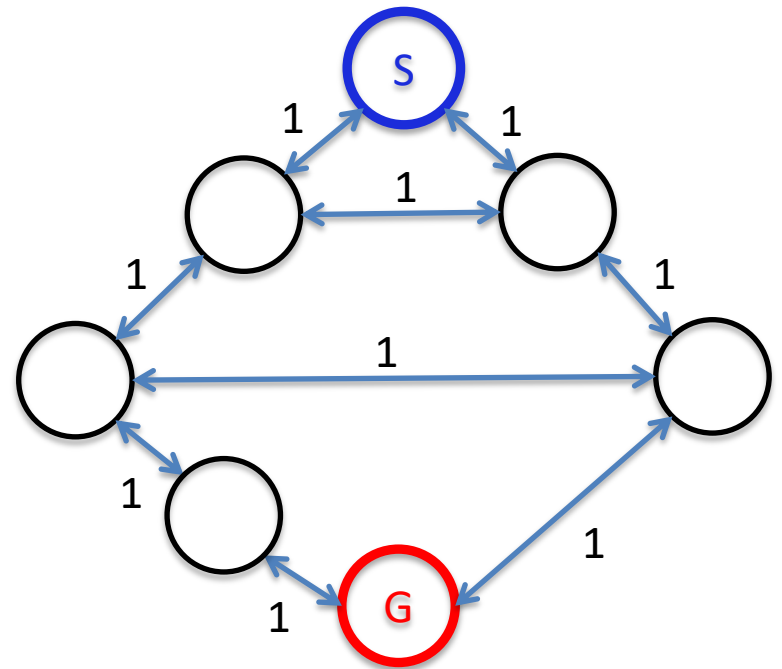
Graph abstraction

# Path cost & Optimal solution

- **Path cost:** numerical cost associated with a path
- **Optimal solution:** a solution that has the **lowest path cost** among all solutions



Weighted



No weights (uniform cost)

# The “Frontier”

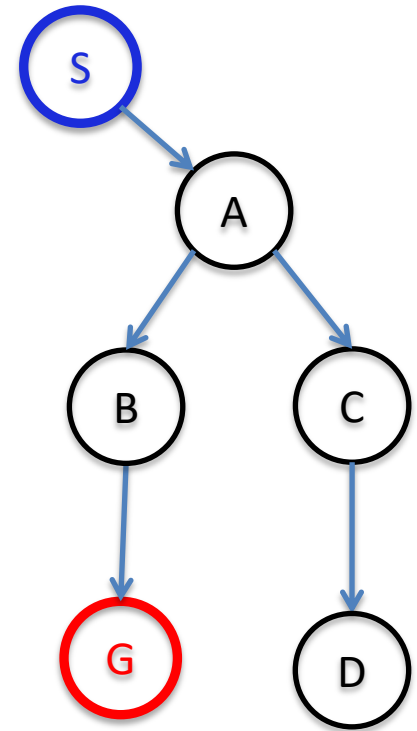
- **Frontier:** All the different options that we can explore next
- Simple algorithm:
  - Starts by adding the initial state to the Frontier
  - **Repeat**
    - If the Frontier is empty, there is no solution
    - Remove a node from the Frontier
    - **Goal test:** Node is goal? Done!
    - **Expand node:** add resulting nodes to the Frontier



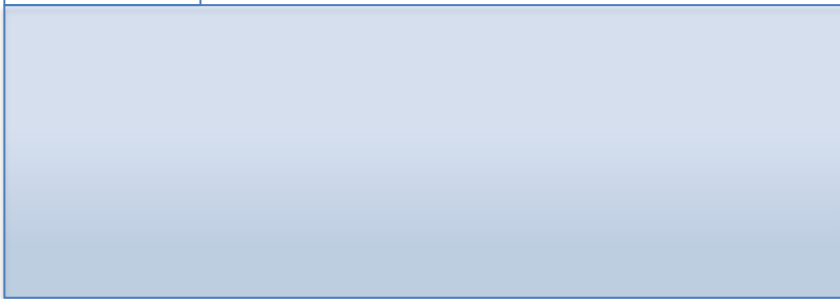
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Find a path from **S** to **G**



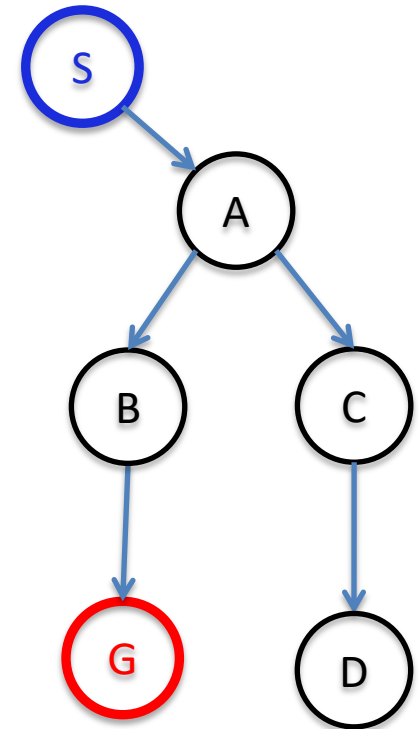
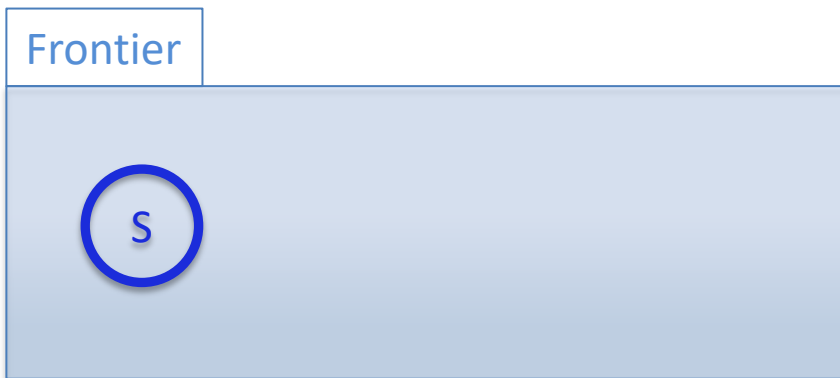
Frontier



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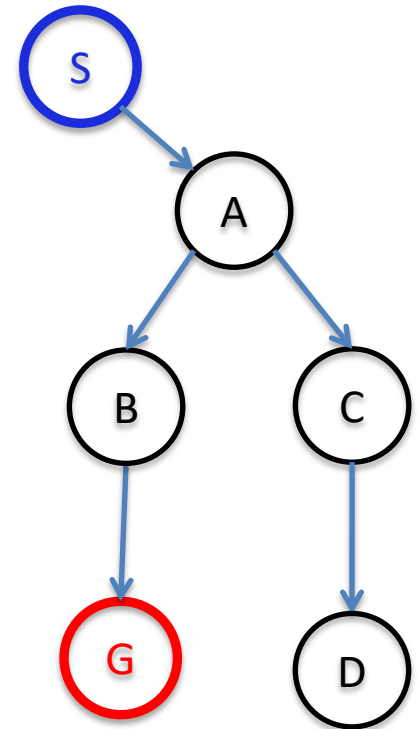
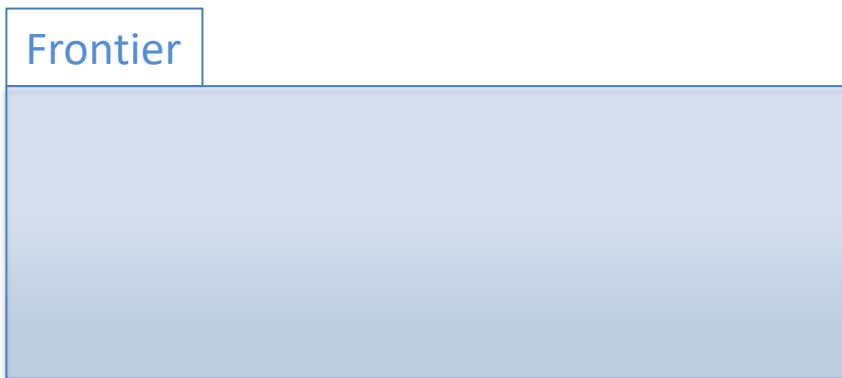
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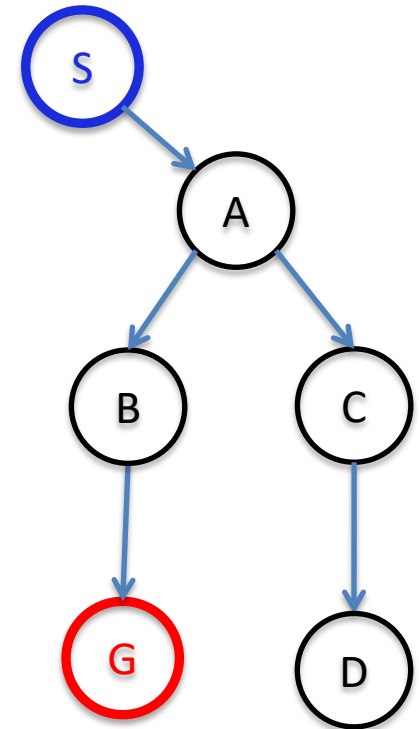
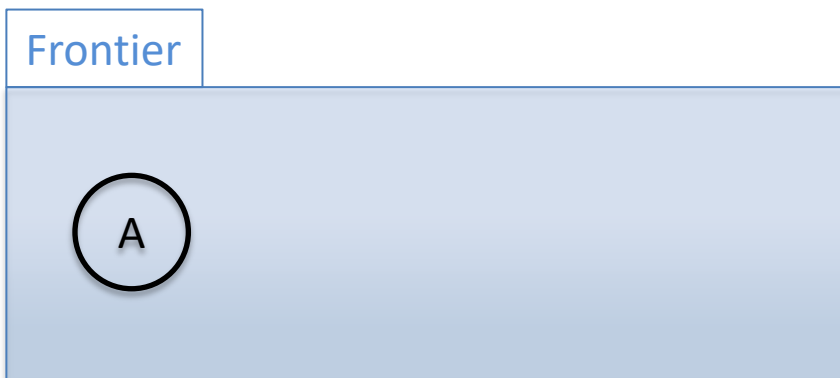
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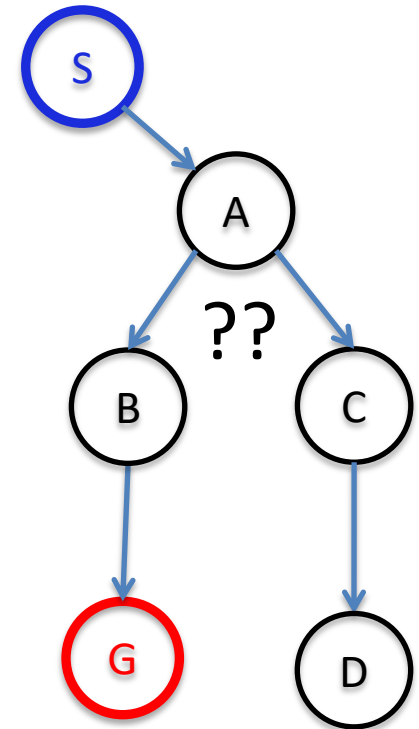
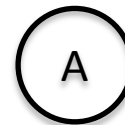
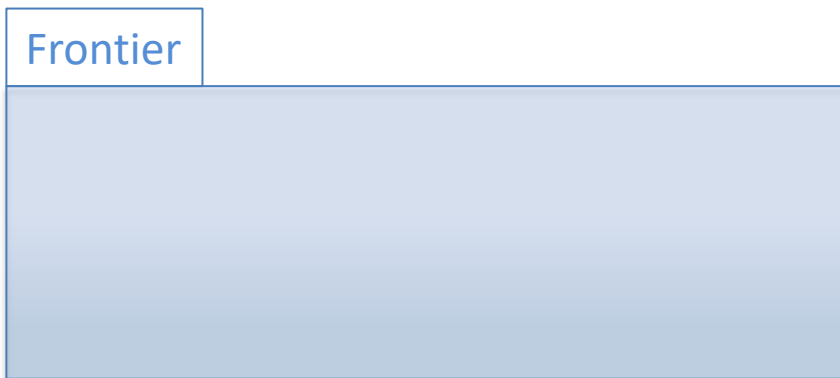
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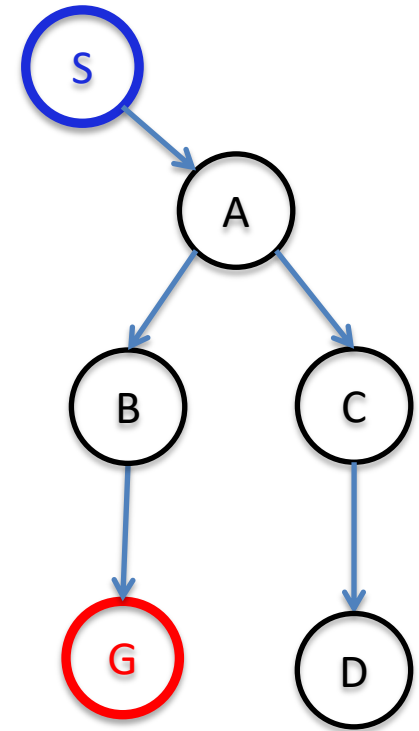
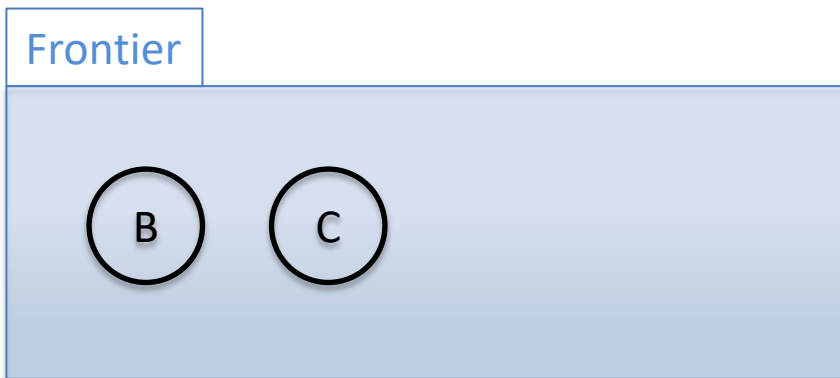
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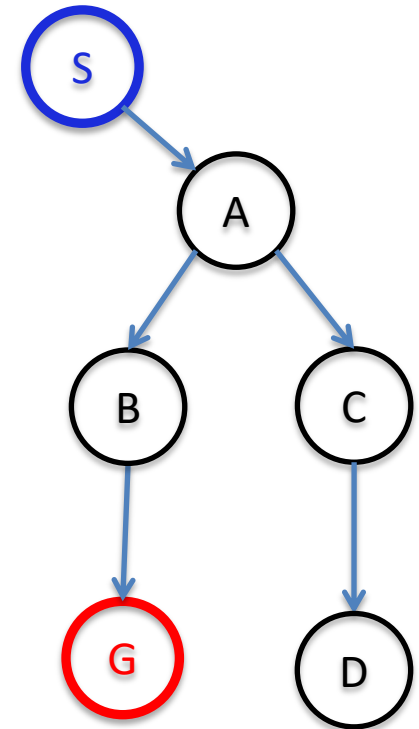
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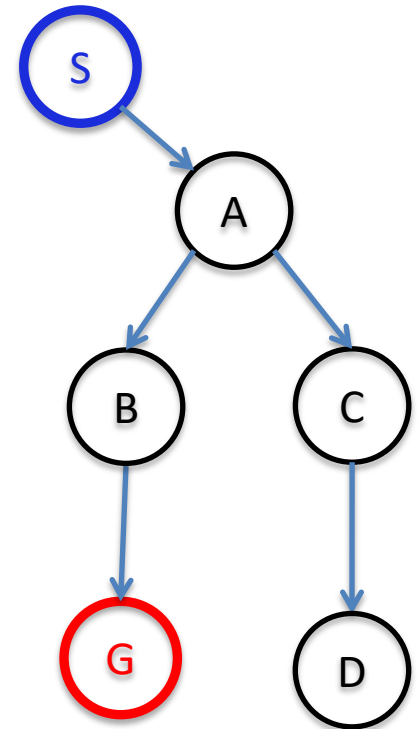
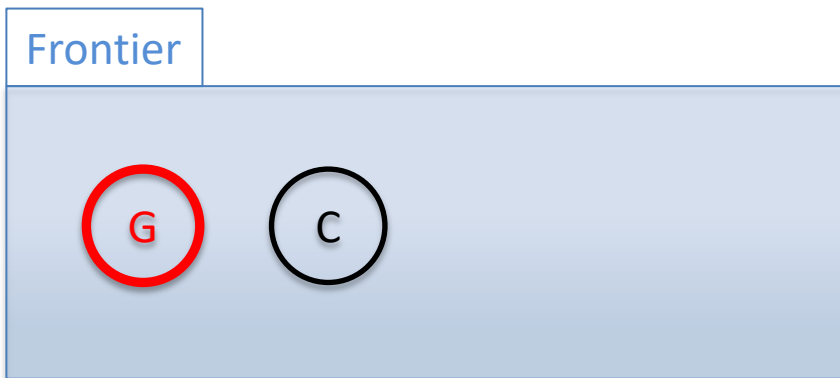
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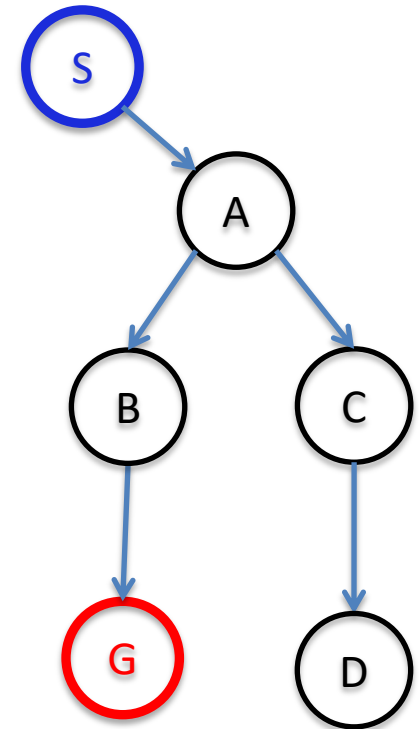
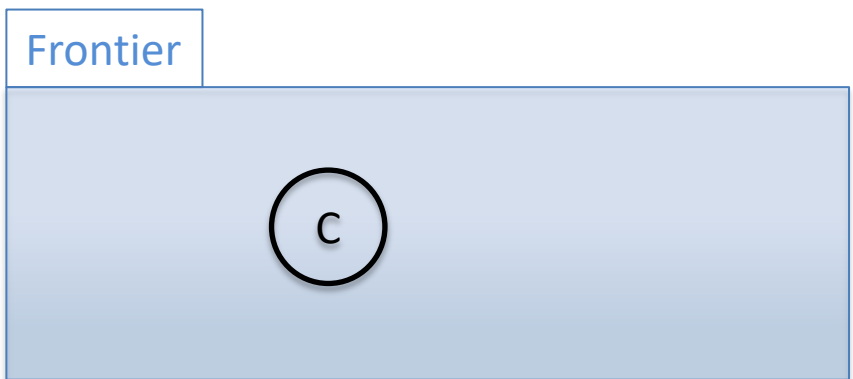




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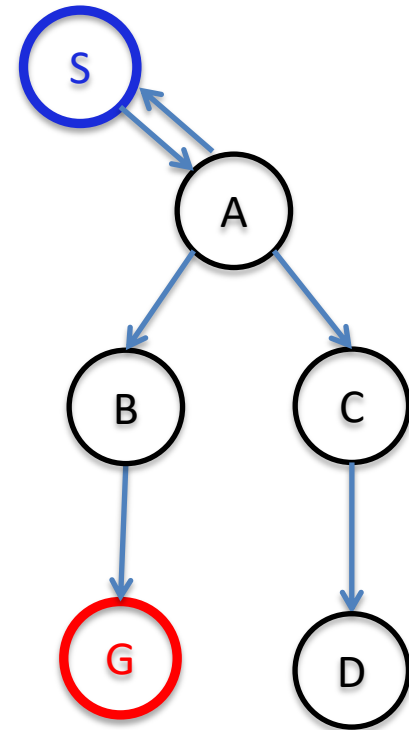
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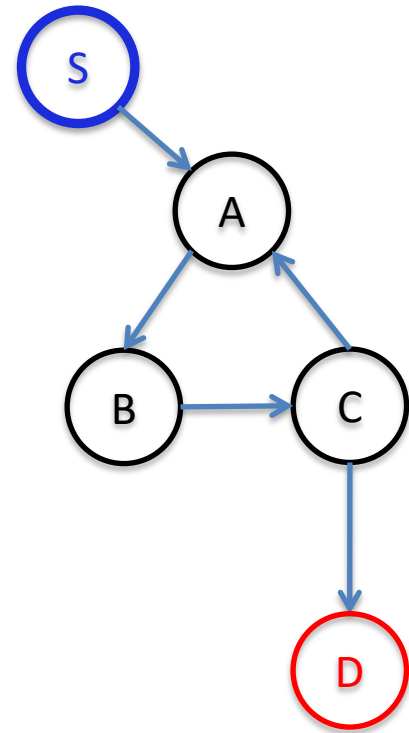
# What can go wrong?

- S is removed and A is added
- A is removed and S is added
- S is removed and A is added
- A is removed and S is added
- ...
- ...
- ...
- ...



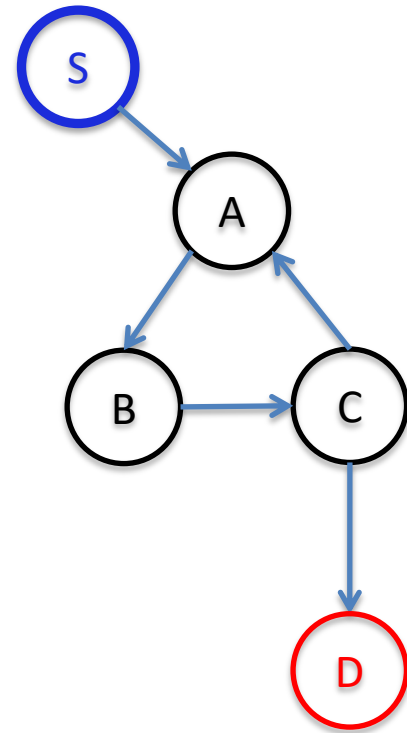
# What can go wrong?

What about now?



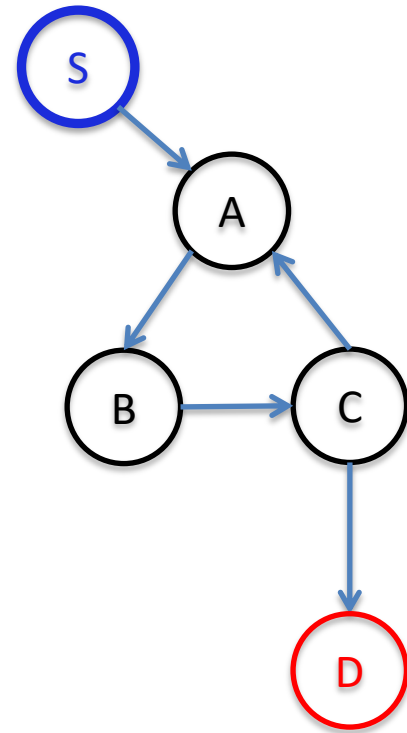
# What can go wrong?

- A is removed and B is added
- B is removed and C is added
- C is removed and A and **D** are added
- A is removed and B is added
- B is removed and C is added
- ...
- ...
- ...



# What can go wrong?

- A is removed and B is added
- B is removed and C is added
- C is removed and A and **D** are added
- A is removed and B is added
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- ...
- ...



We would like to avoid infinite loops!

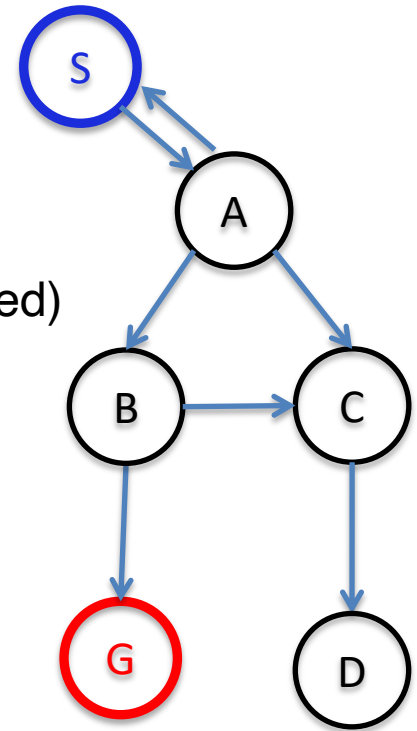
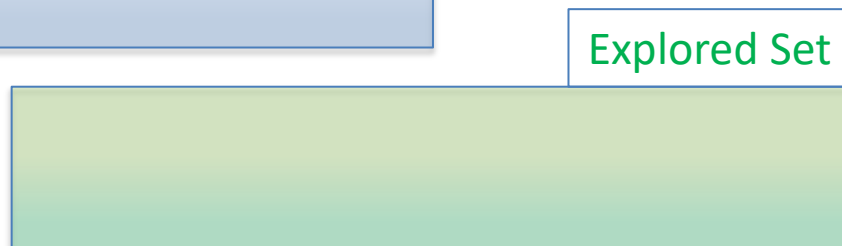
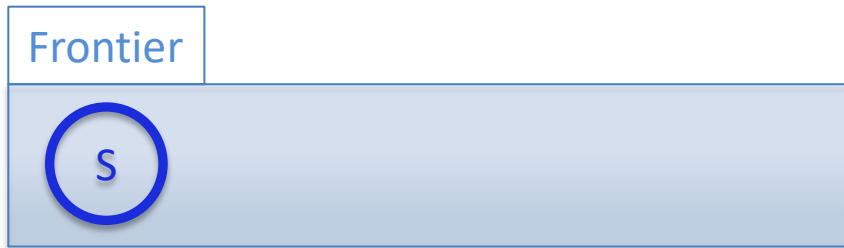
# Explored set

- **Explored set:** maintains a list of already explored nodes
- This allow us to avoid cycles in our basic algorithm

# Example with Explored Set

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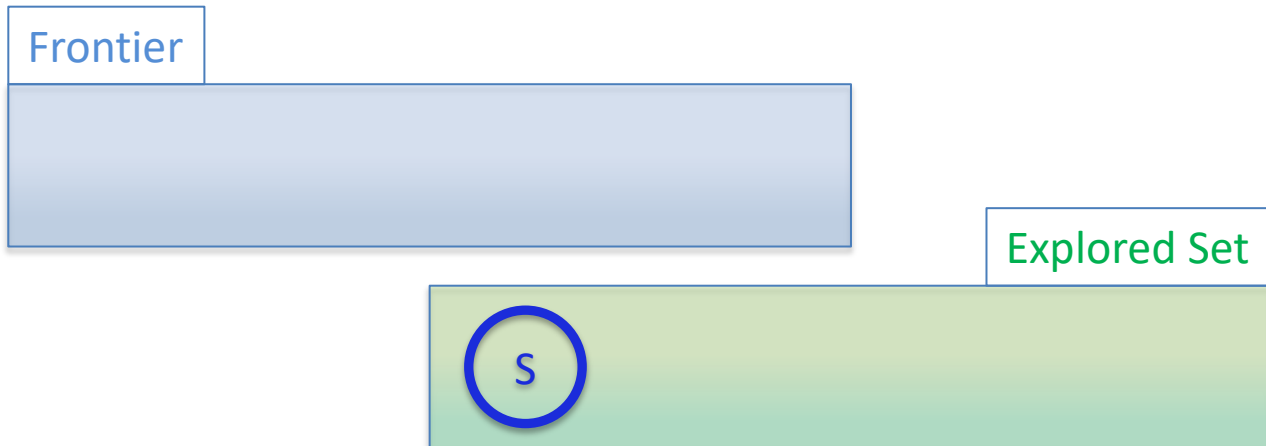
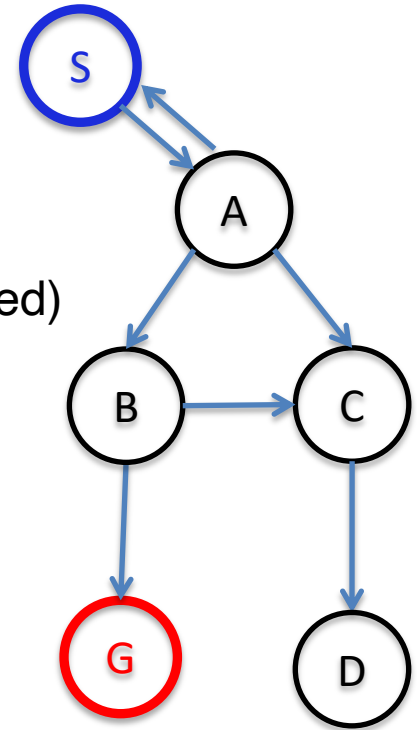
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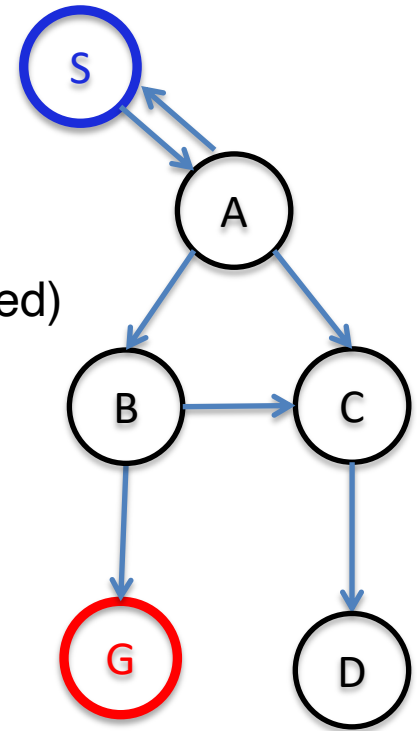
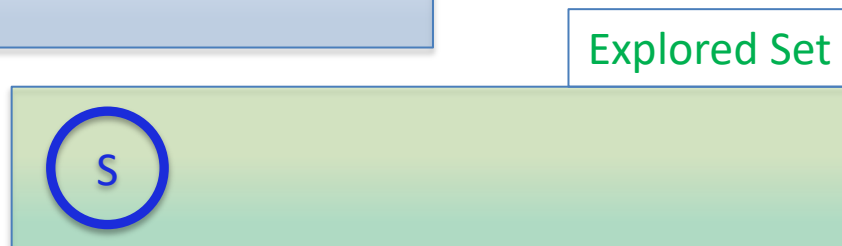
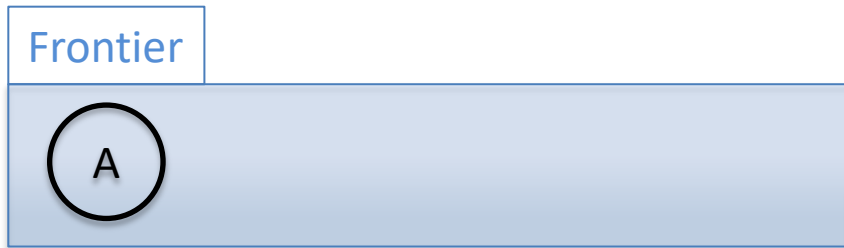




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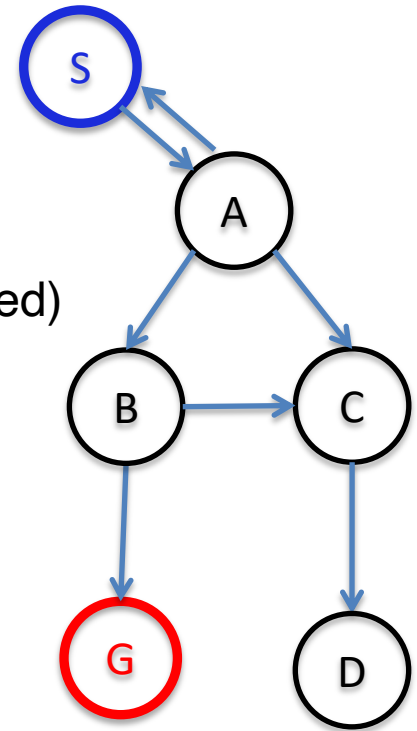
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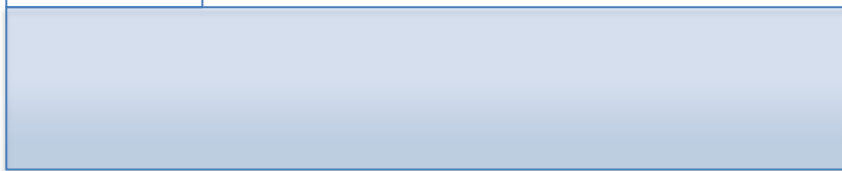
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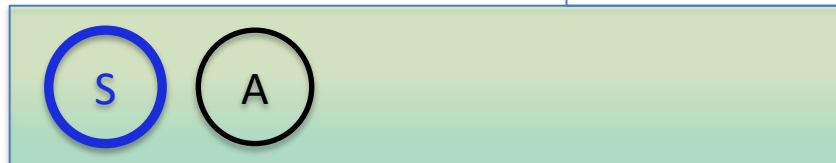
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Frontier



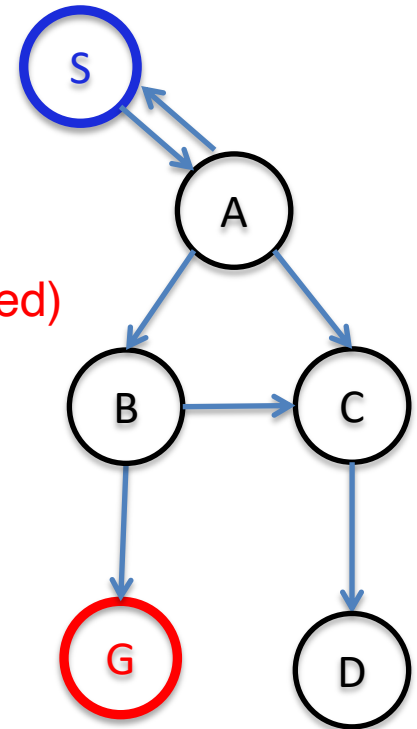
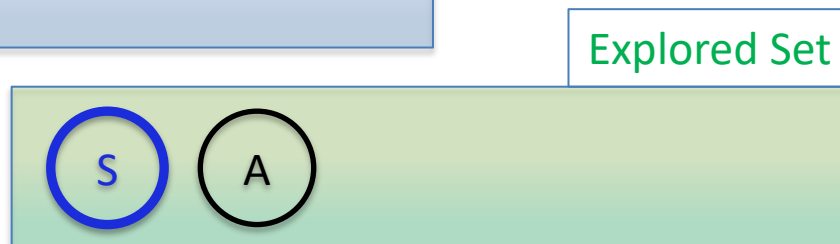
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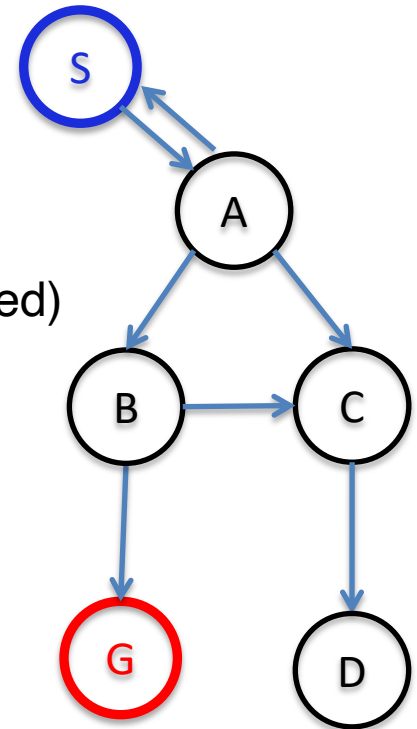
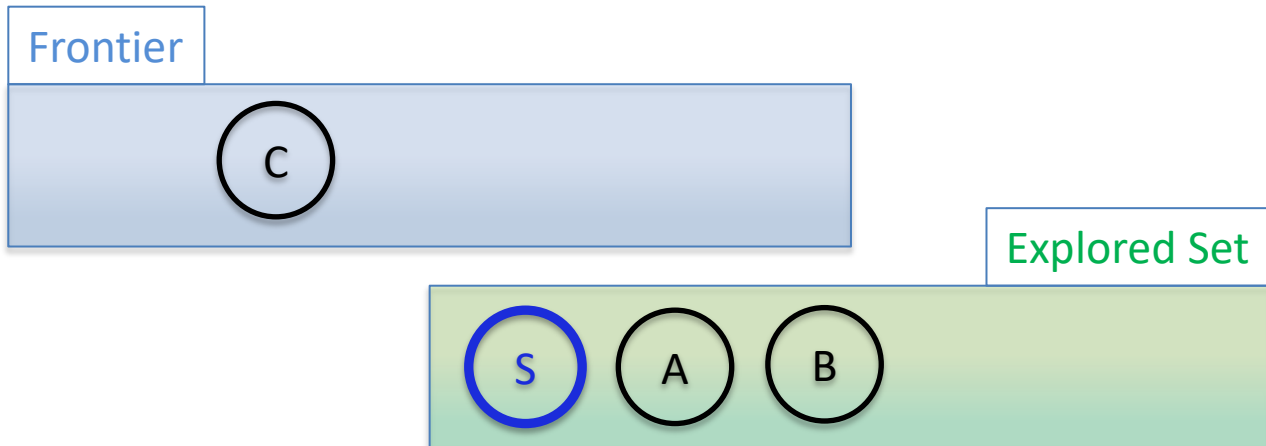
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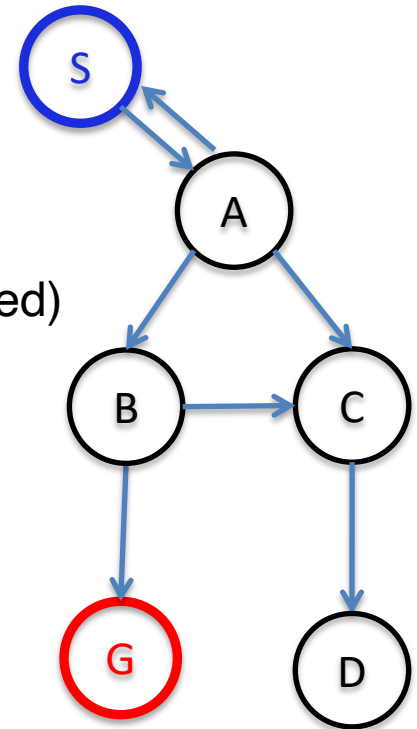
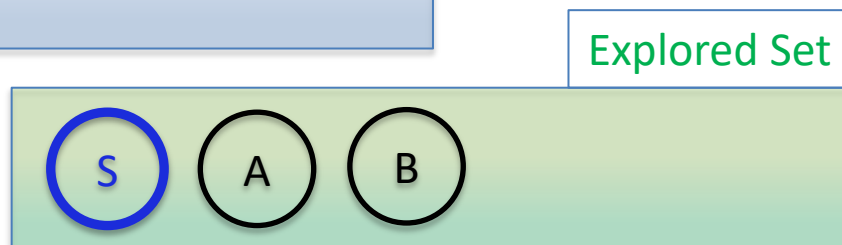
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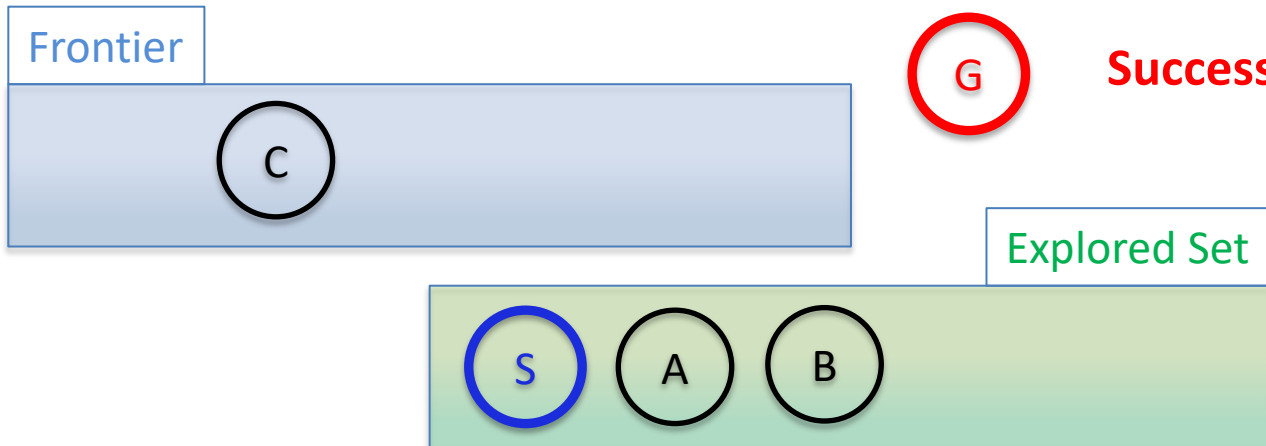
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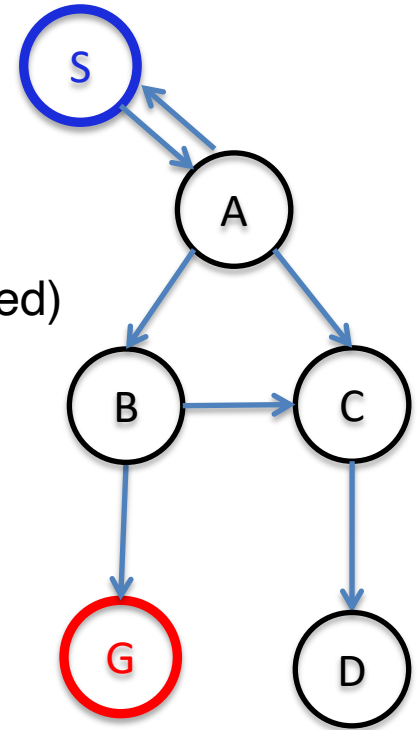
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**G** **Success!!**

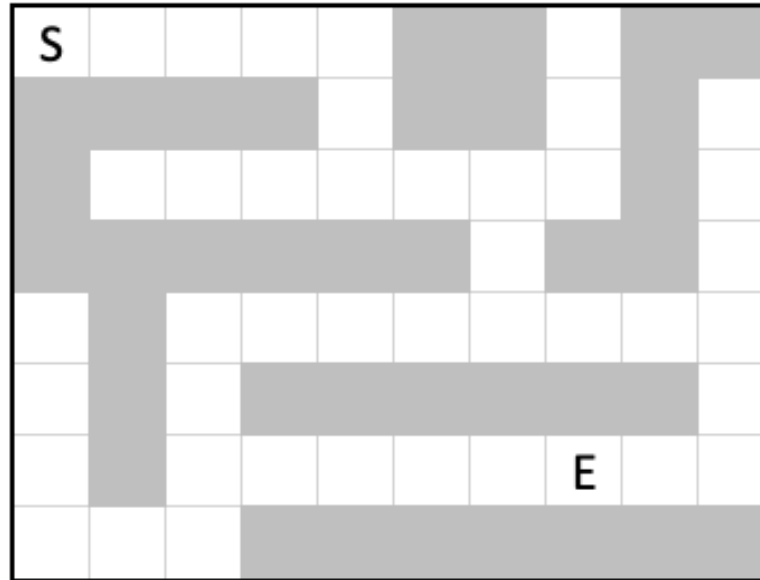


# Search algorithms

- **How** we explore the frontier matters!
- There are classical approaches and their variations:
  - Depth-first Search (DFS): Stack (Last in, First out)
  - Breadth-first Search (BFS): Queue (First in, First out)
- DFS variants
  - Depth Limited Search (DLS)
  - Iterative Deepening Search (IDS)
- BFS variants
  - Uniform Cost Search
  - Bidirectional Search

# DFS x BFS - Back to the Maze

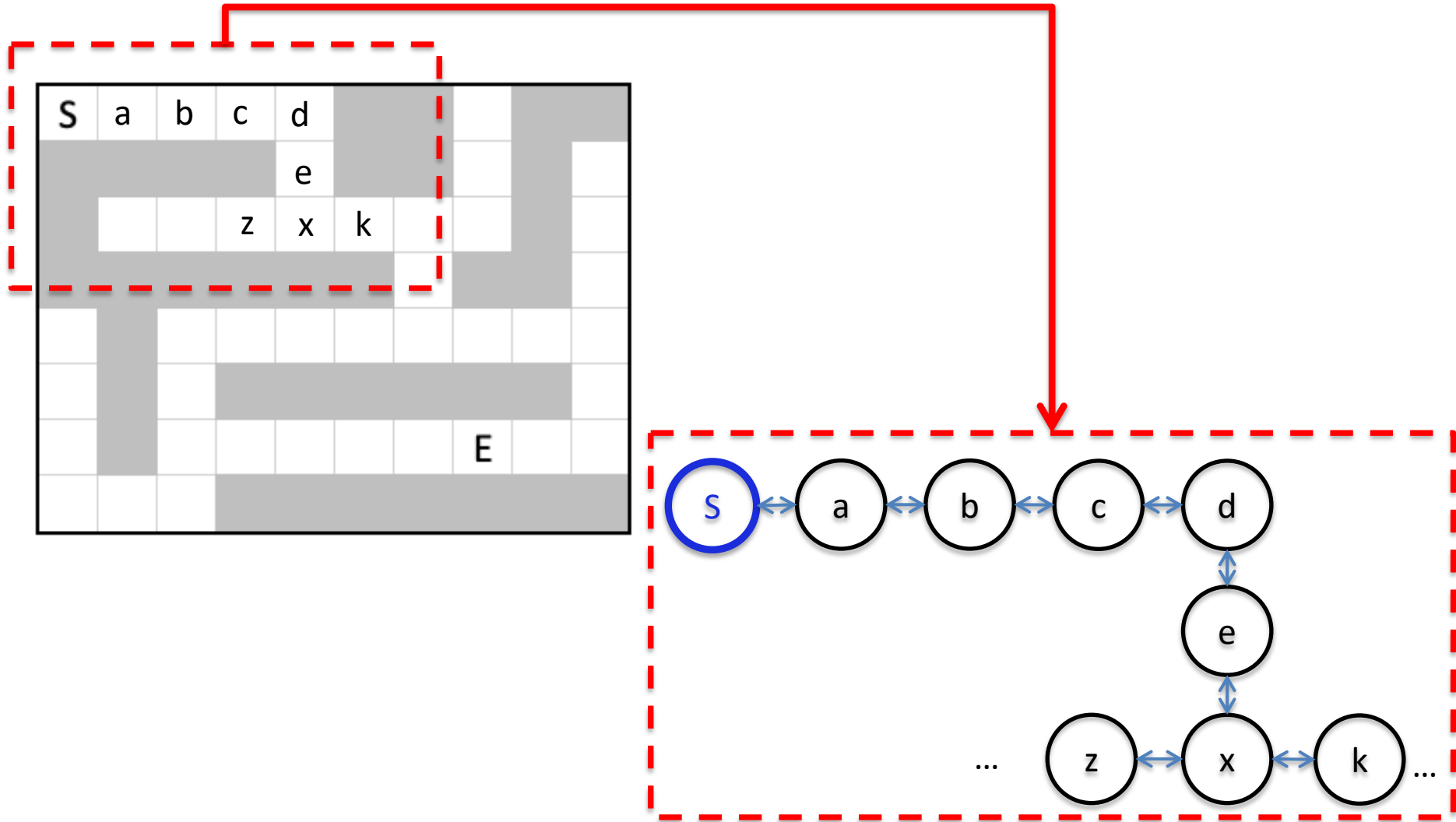
- Find a path from the Start position (S) to the End position (E)



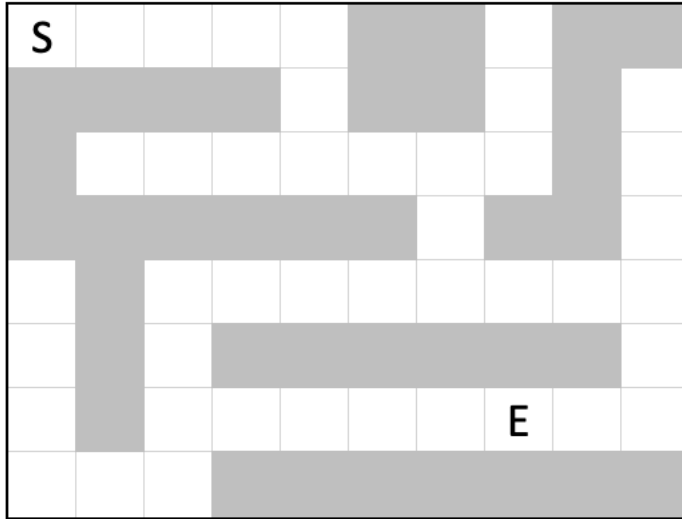
- Can't go through walls, can only move one position at a time
- The **goal** is to move the **initial** position final position



# DFS x BFS – Graph abstraction

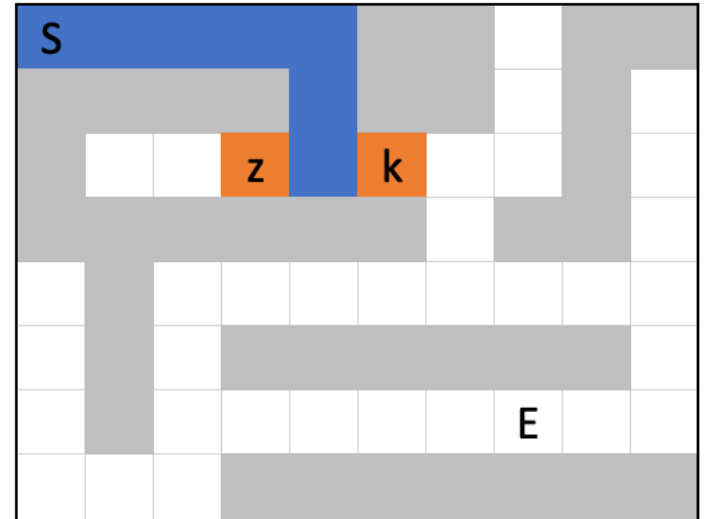
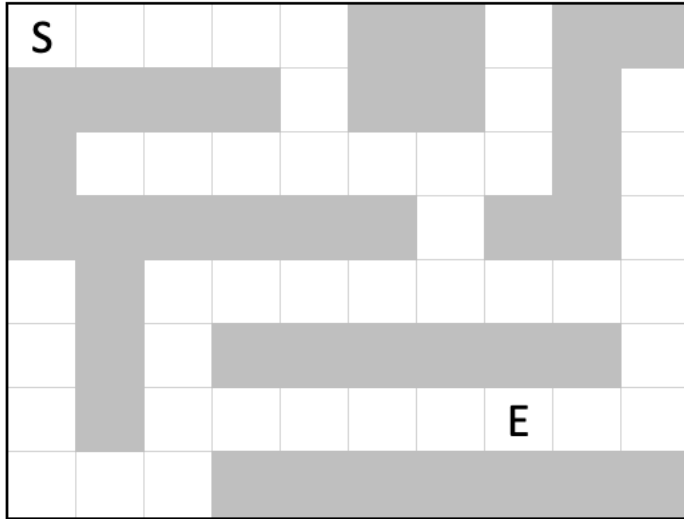


# DFS example

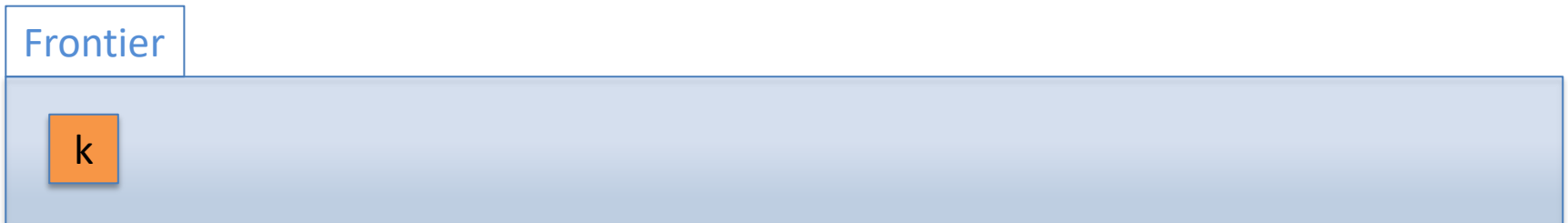


\* Explored Set not shown for simplicity; assuming nodes are added as follows: right, down, left, top.

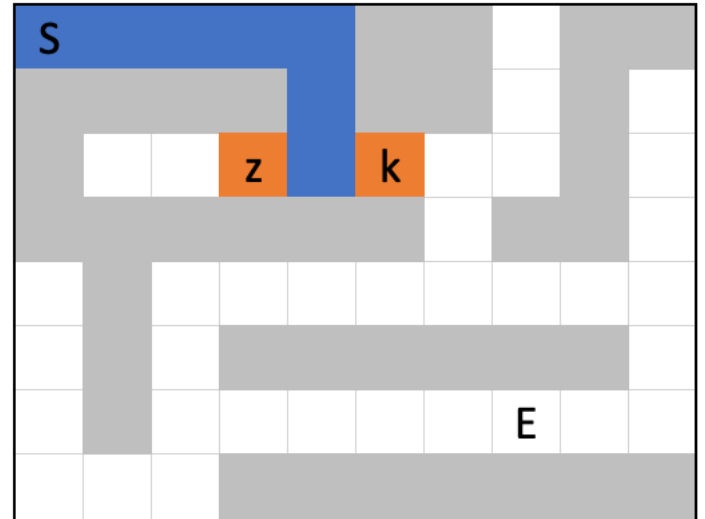
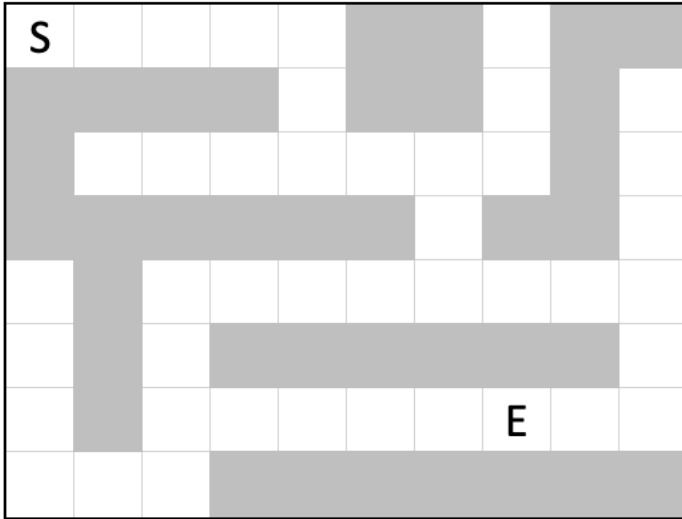
# DFS example



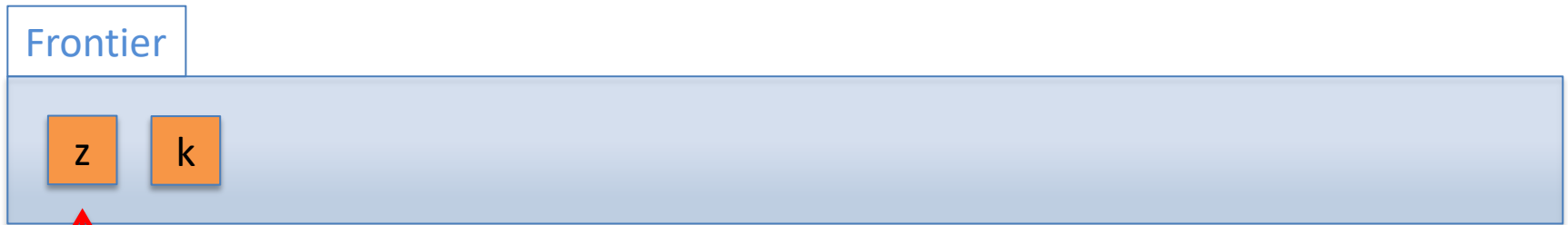
Adding **k** to the **Frontier**



# DFS example

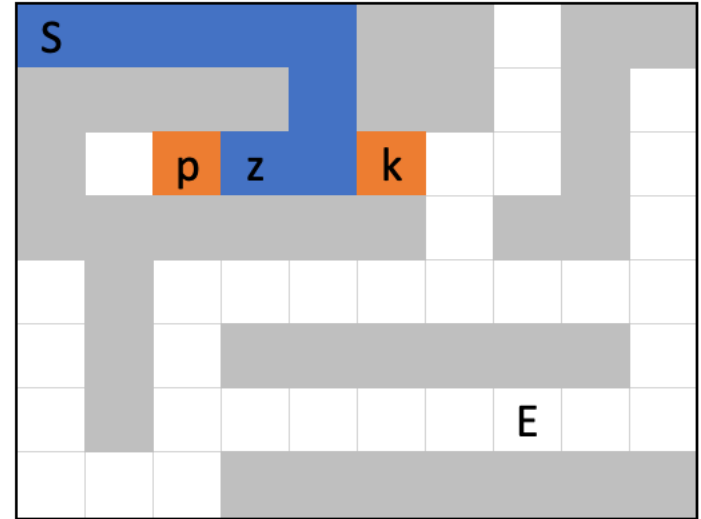
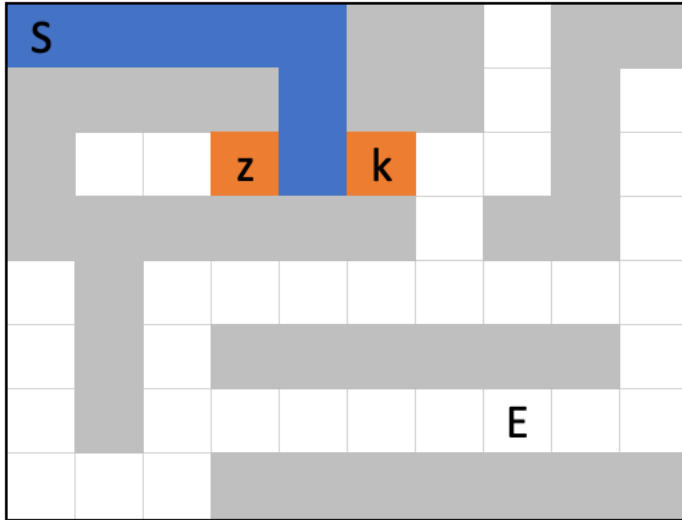


Adding **z** to the **Frontier**



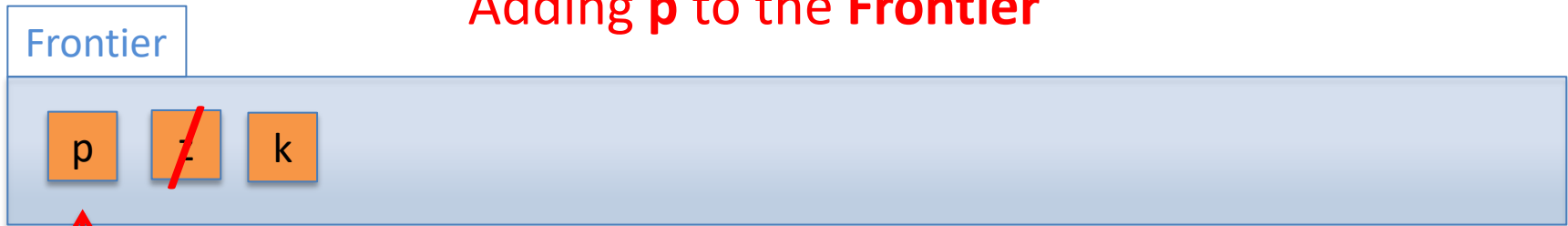
Top of the **stack**

# DFS example



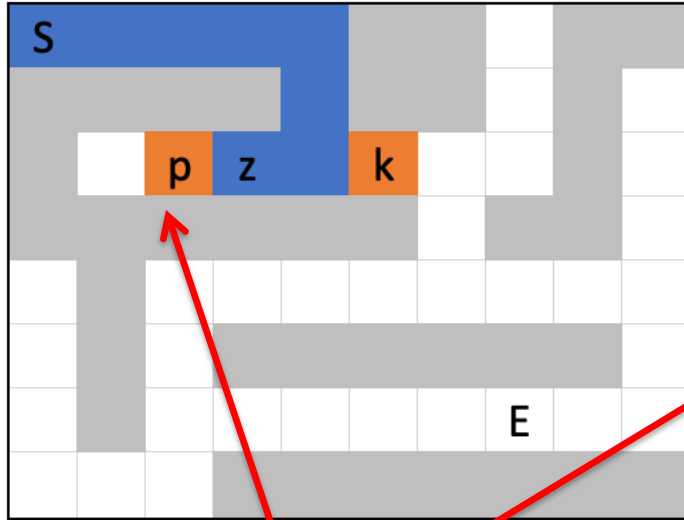
Removing **z** to the **Frontier**

Adding **p** to the **Frontier**

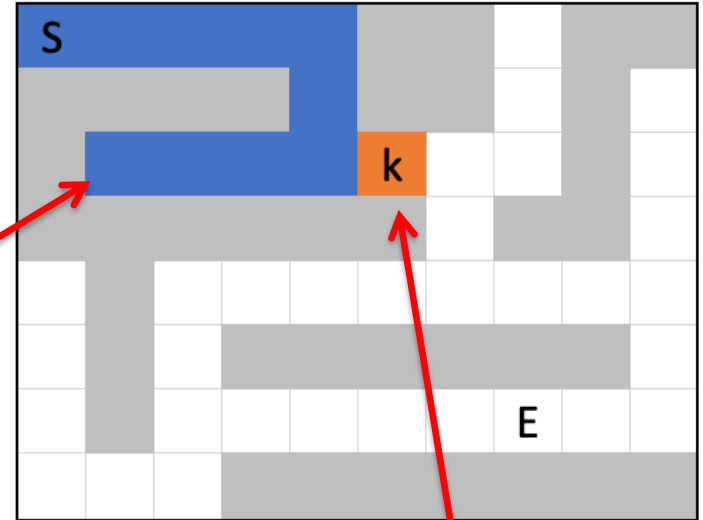


Top of the **stack**

# DFS example

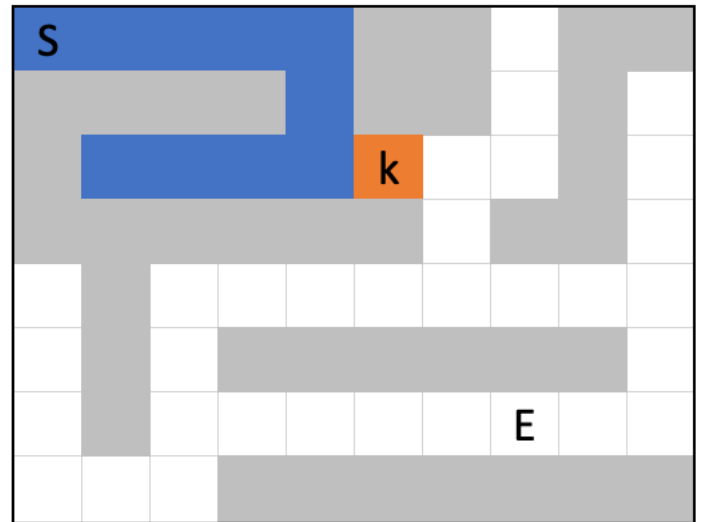
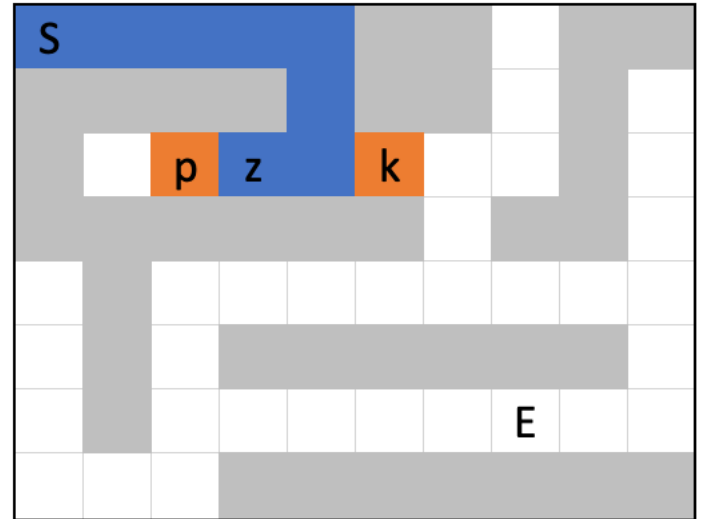
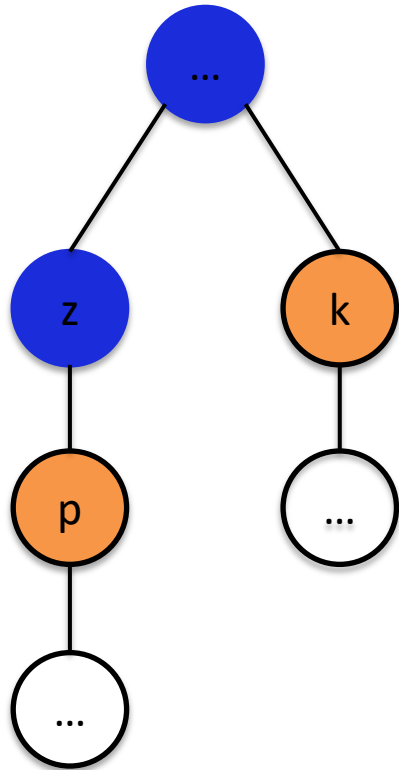


We explore everything here

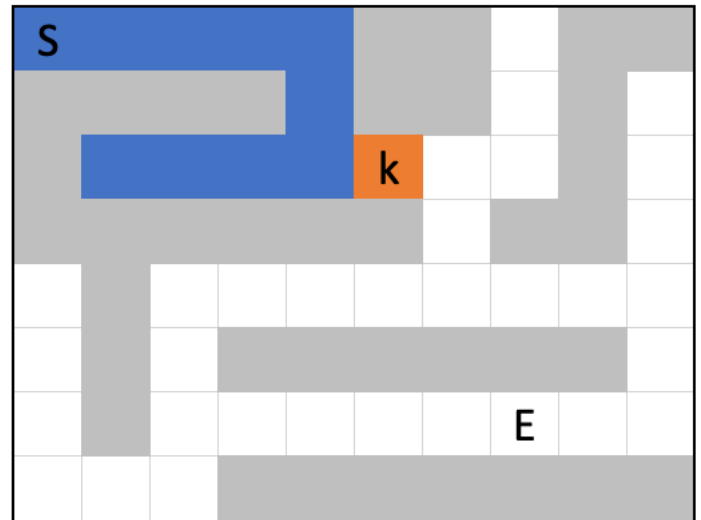
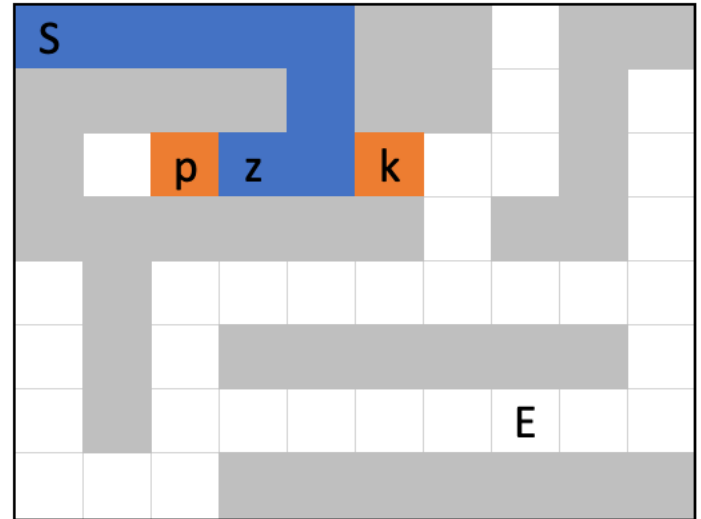
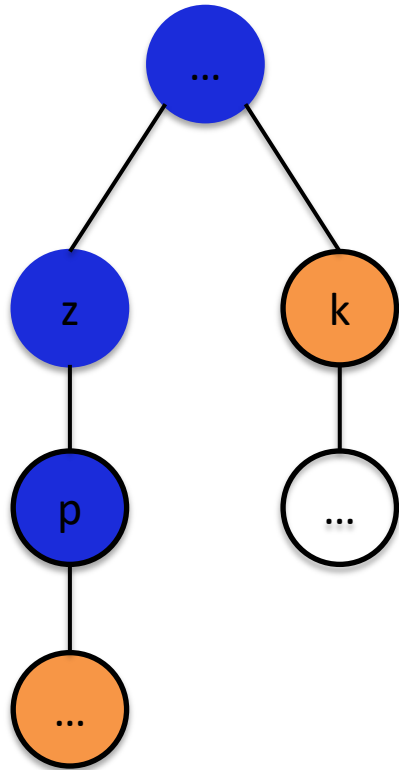


before exploring  
from here

# DFS example (graph view)

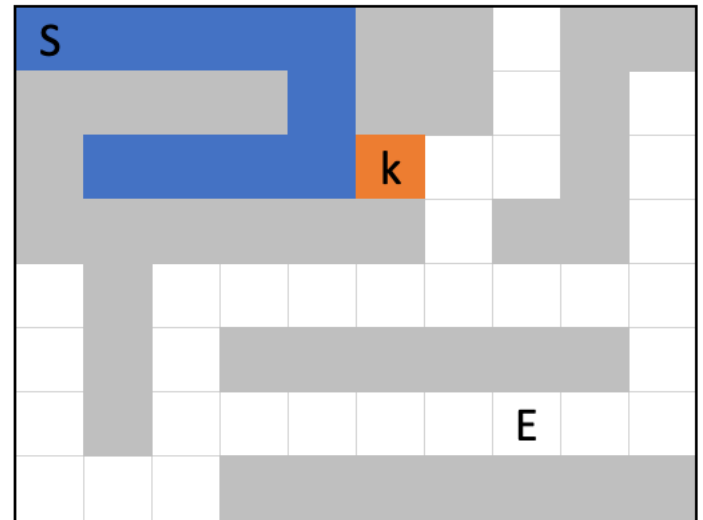
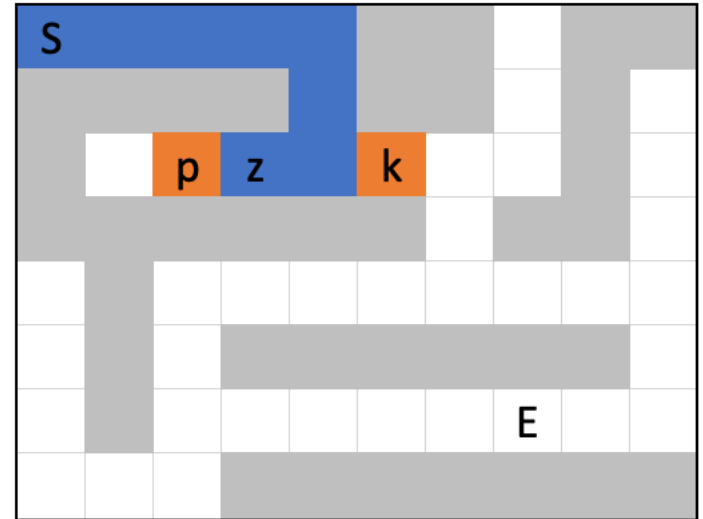
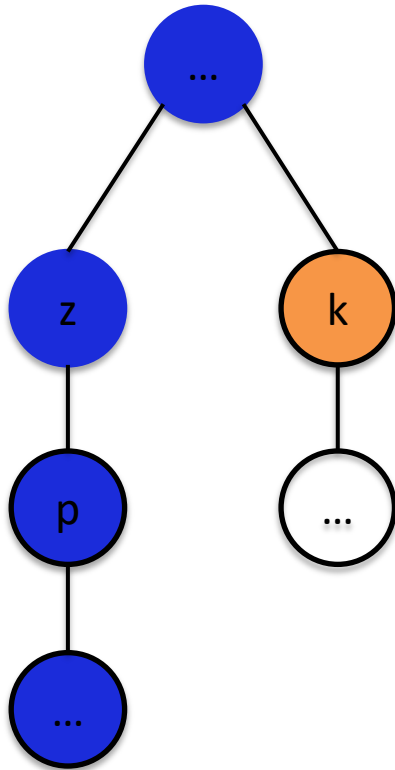


# DFS example (graph view)

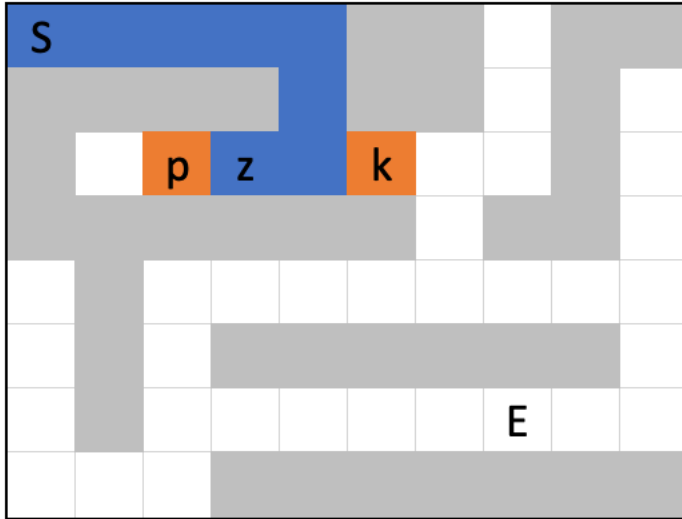




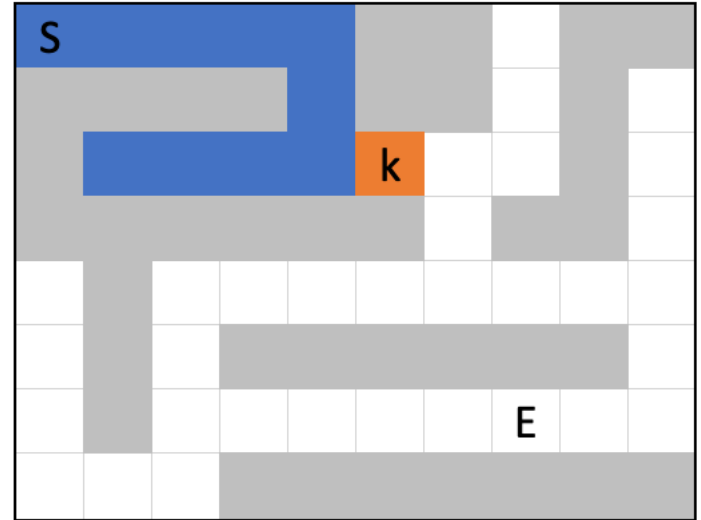
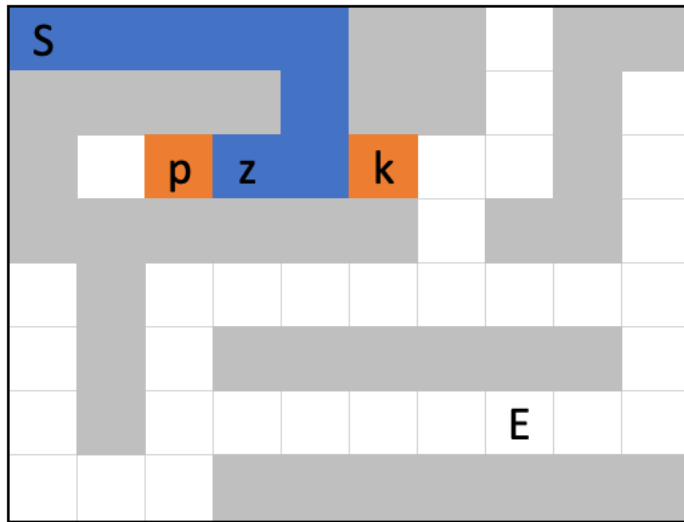
# DFS example (graph view)



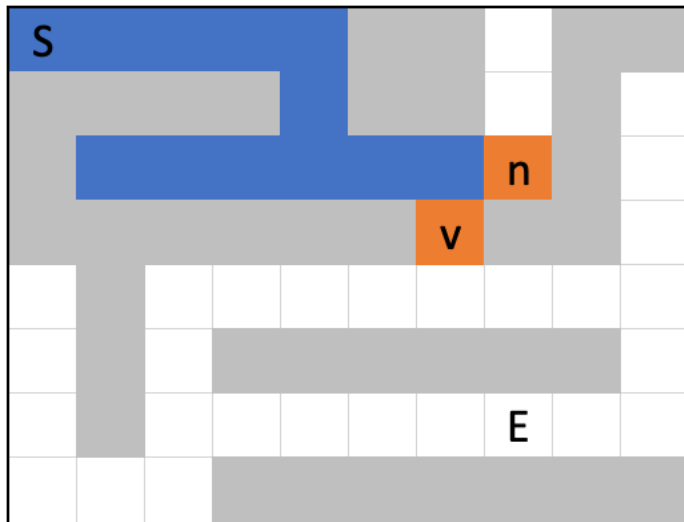
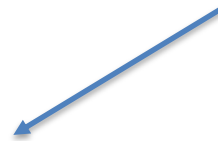
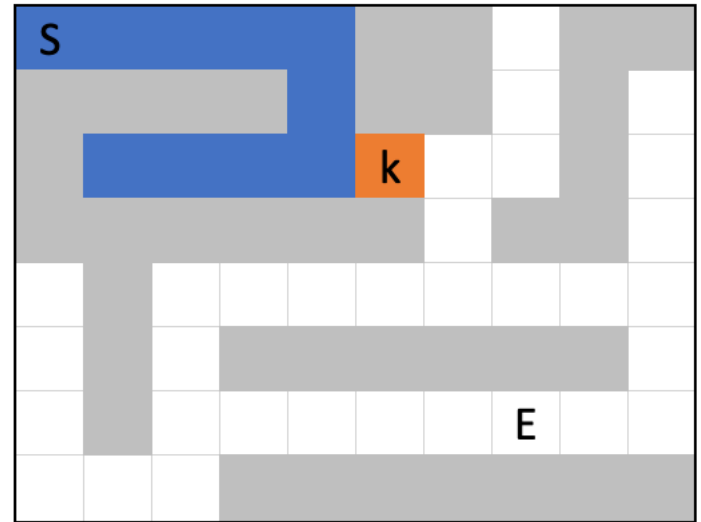
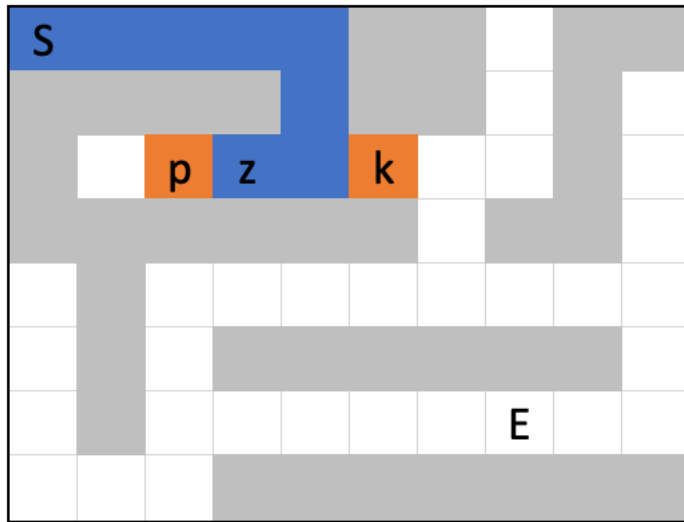
# DFS example



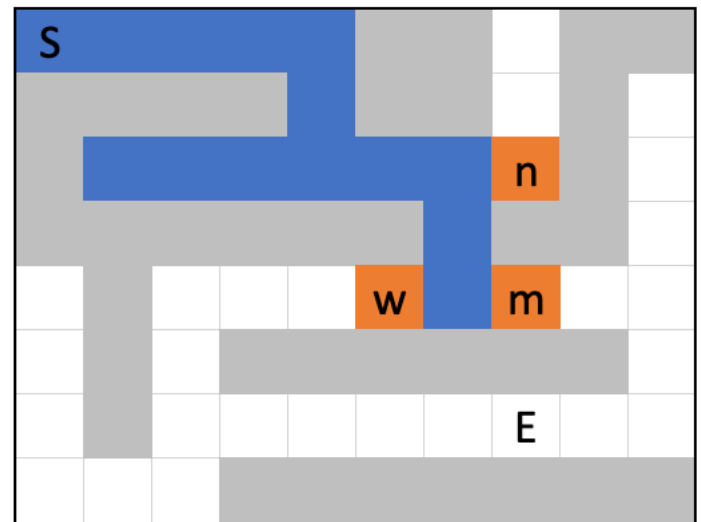
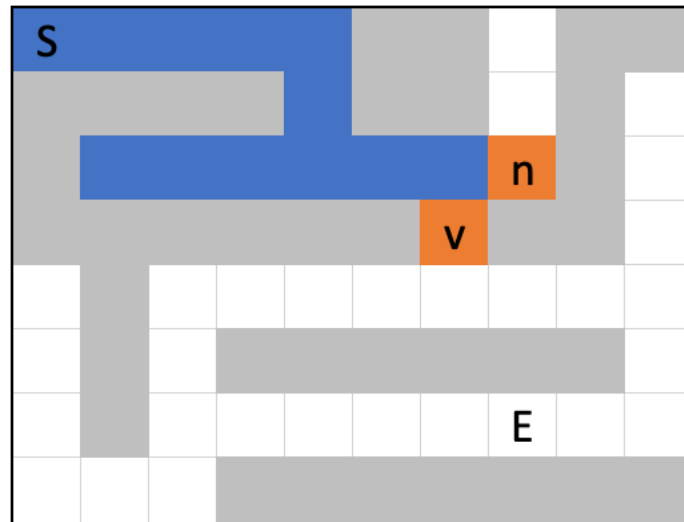
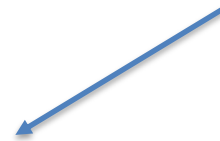
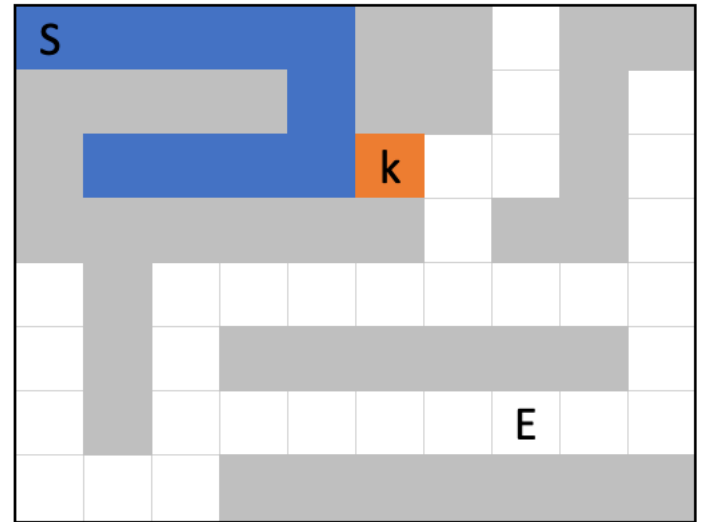
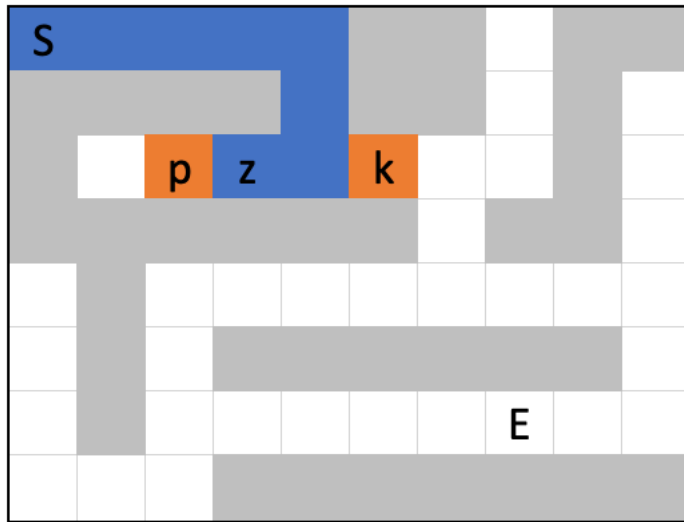
# DFS example



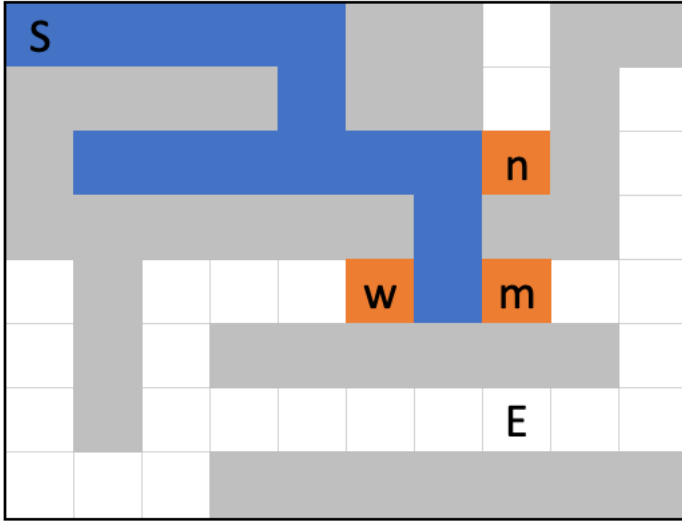
# DFS example



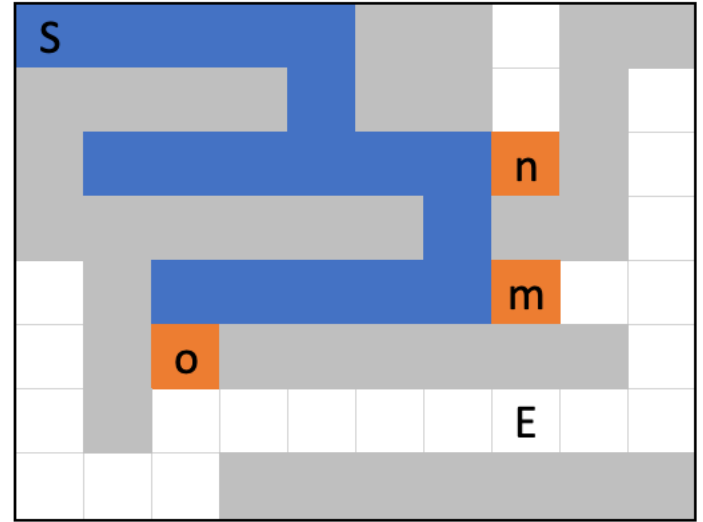
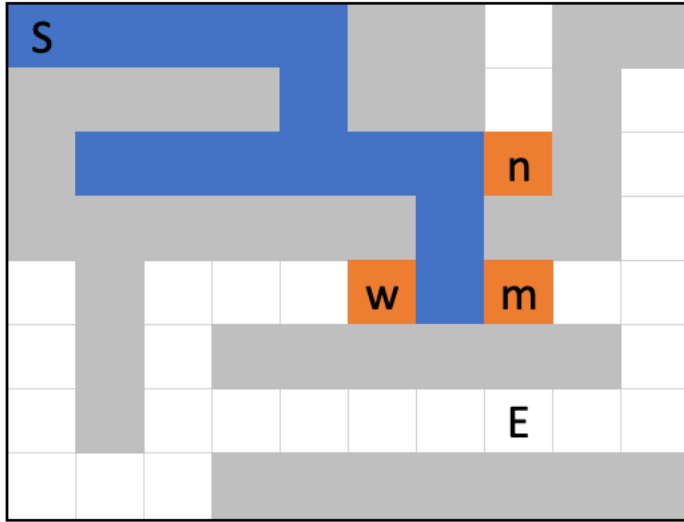
# DFS example



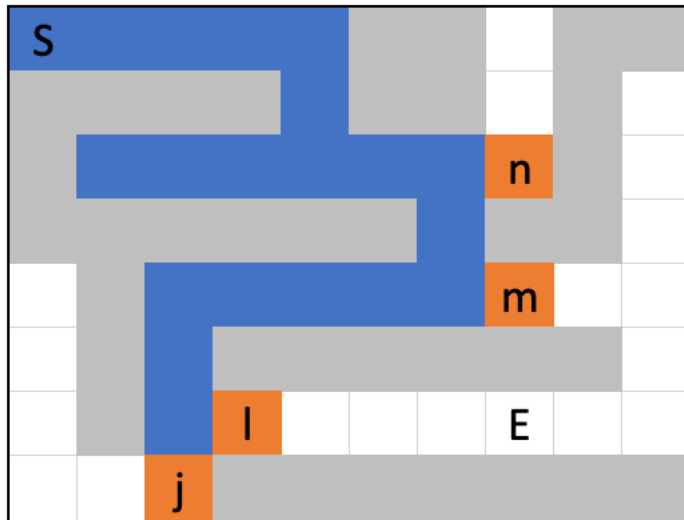
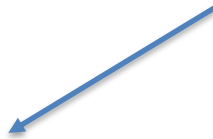
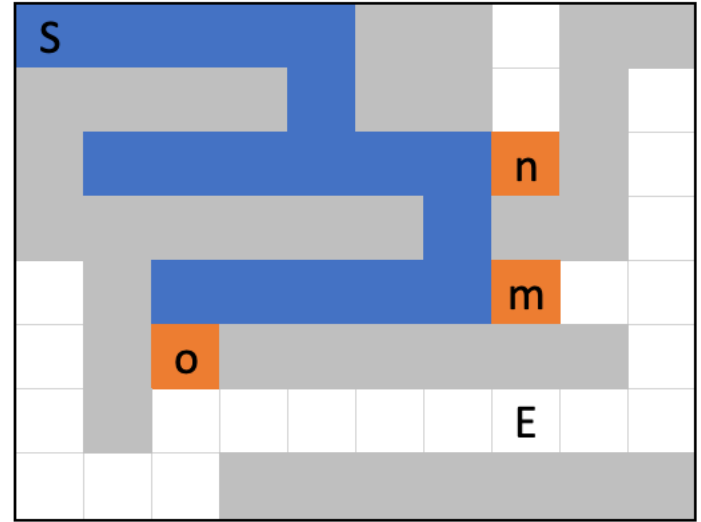
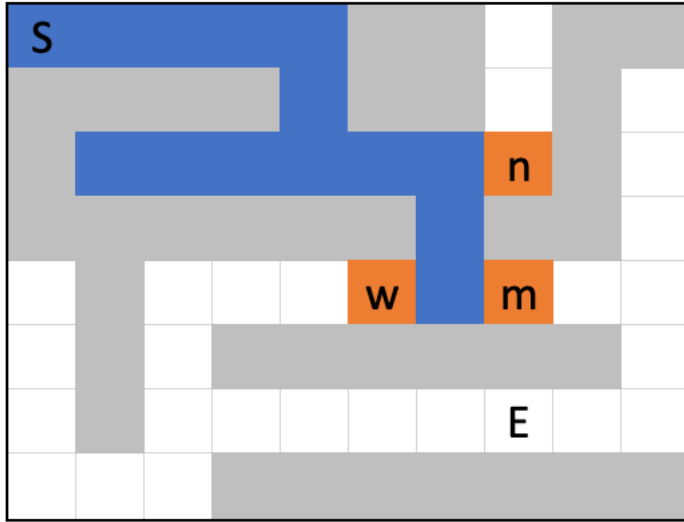
# DFS example



# DFS example

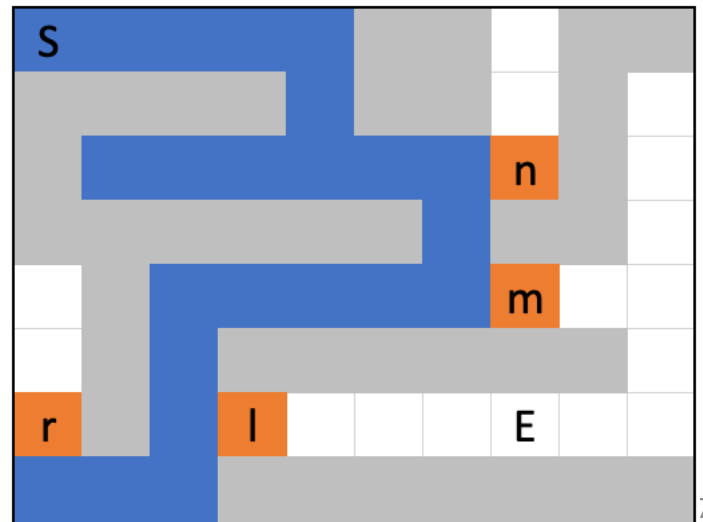
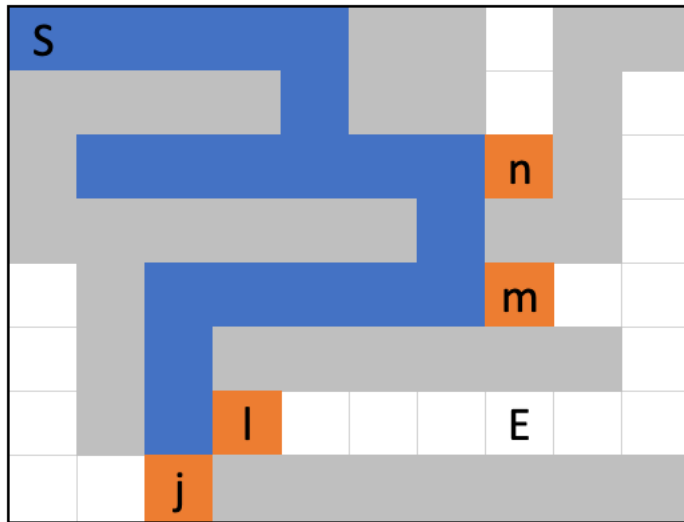
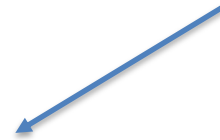
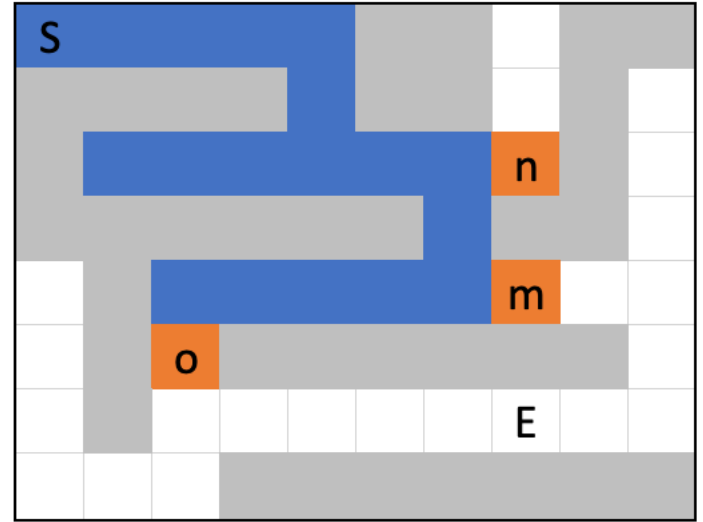
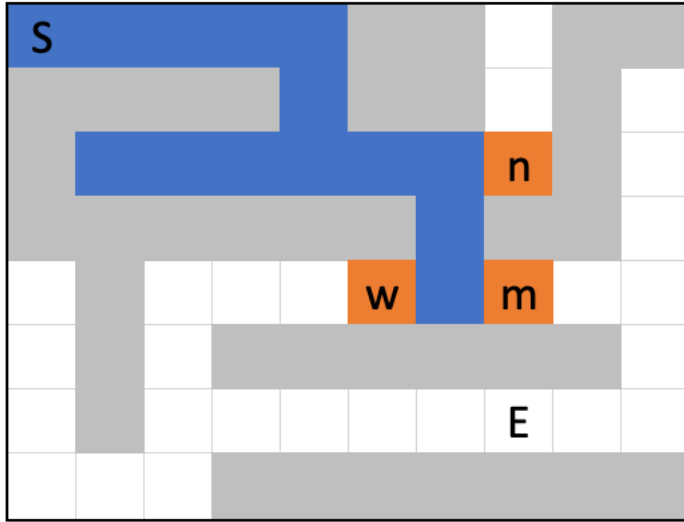


# DFS example

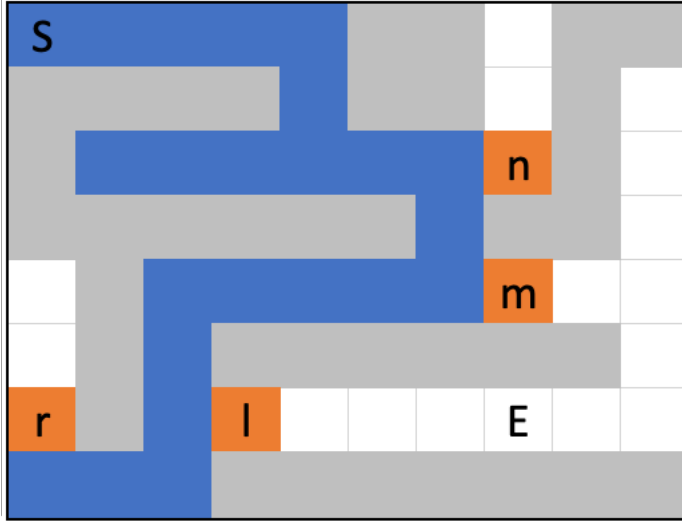




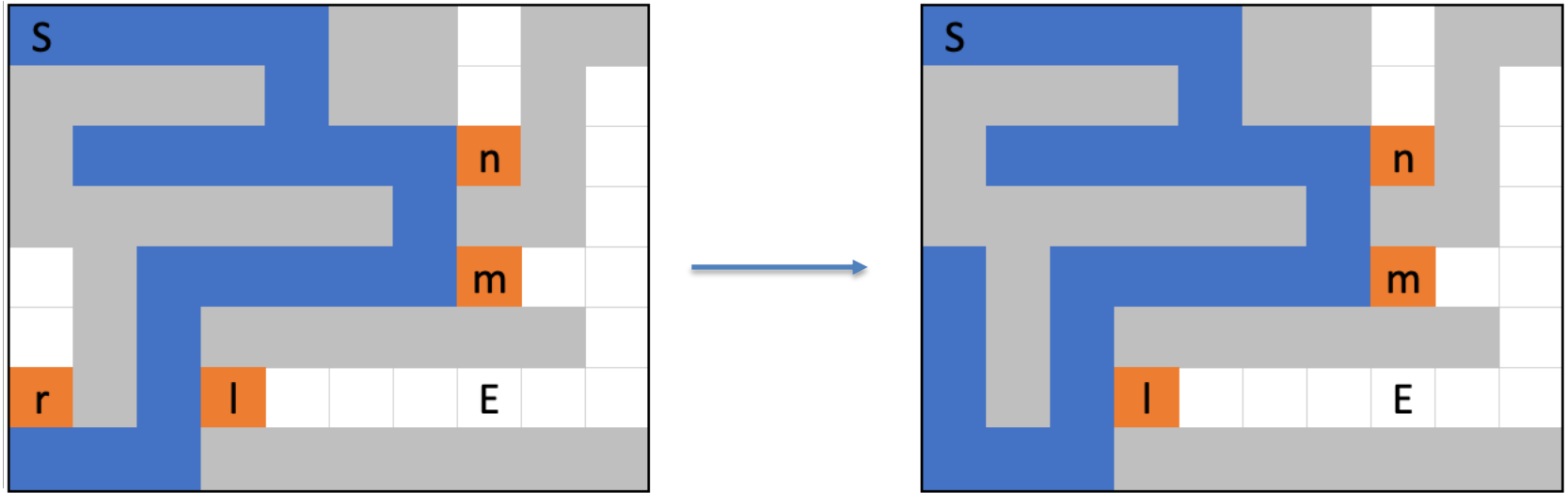
# DFS example



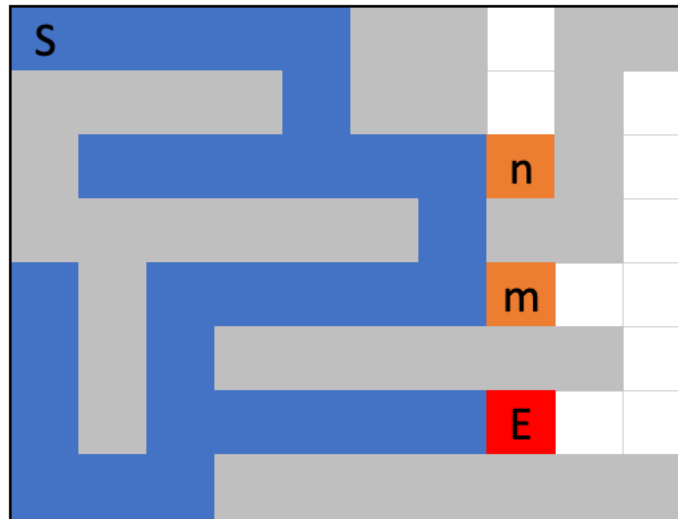
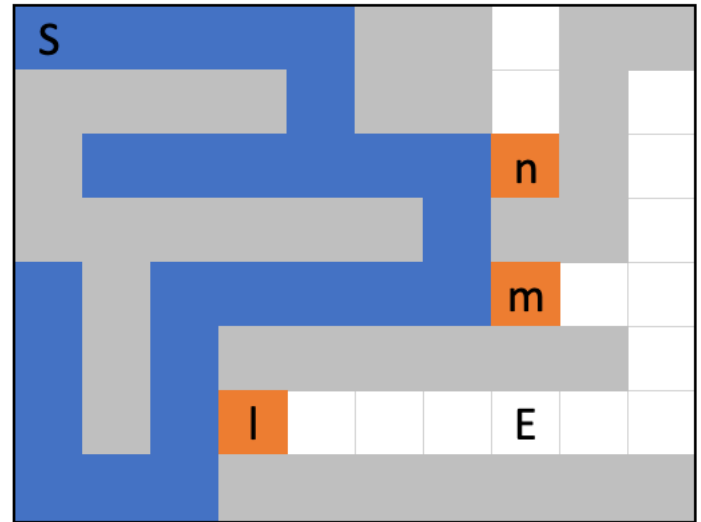
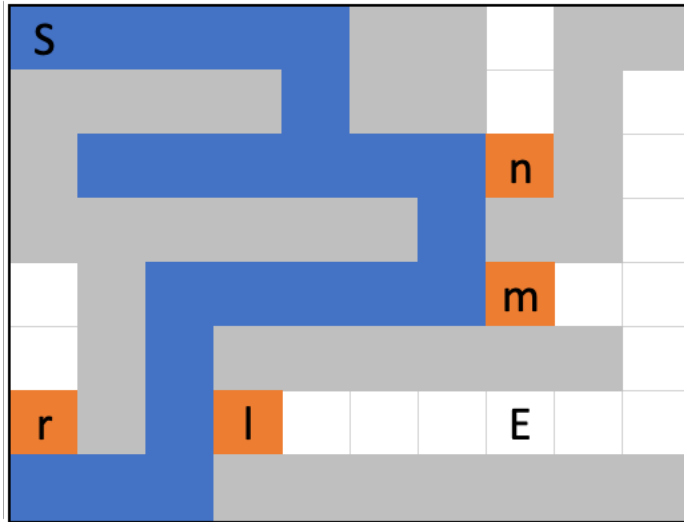
# DFS example



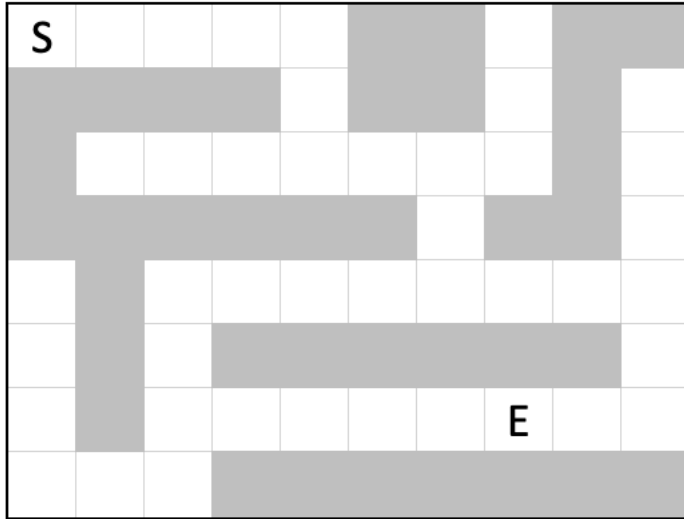
# DFS example



# DFS example

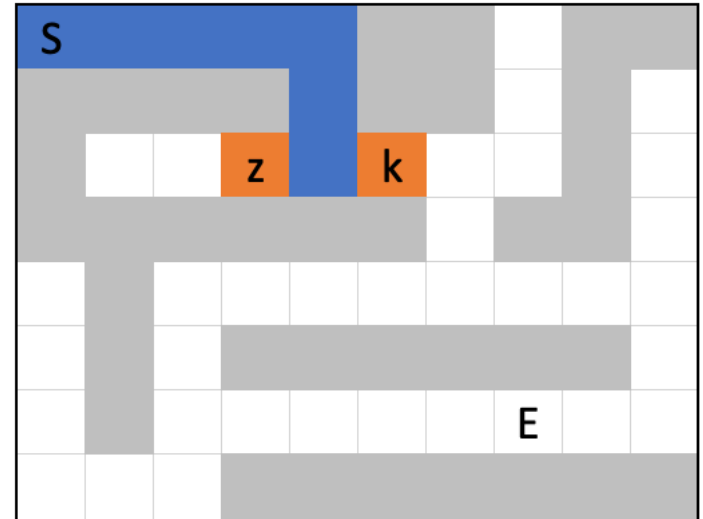
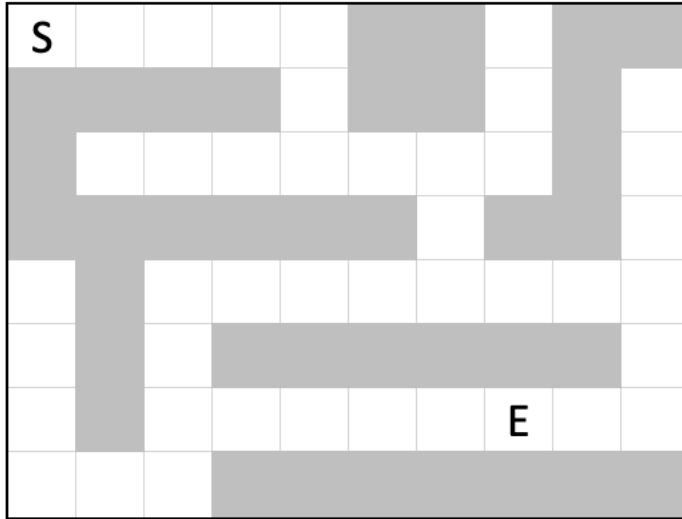


# BFS example

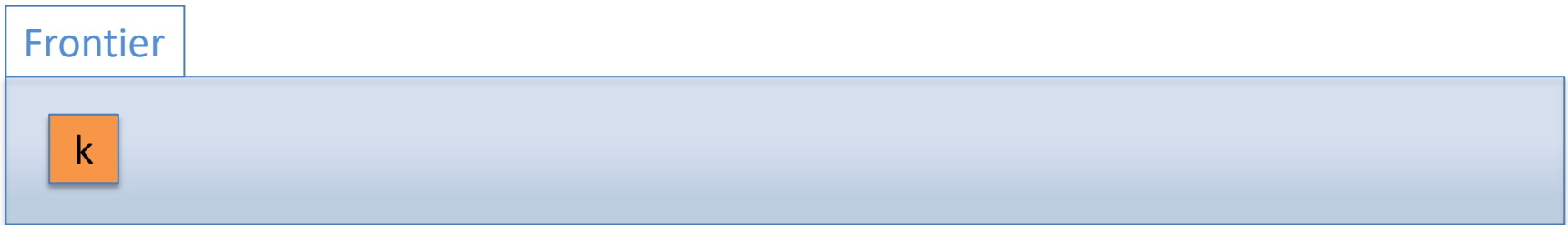


\* Explored Set not shown for simplicity; assuming nodes are added as follows: right, down, left, top.

# BFS example

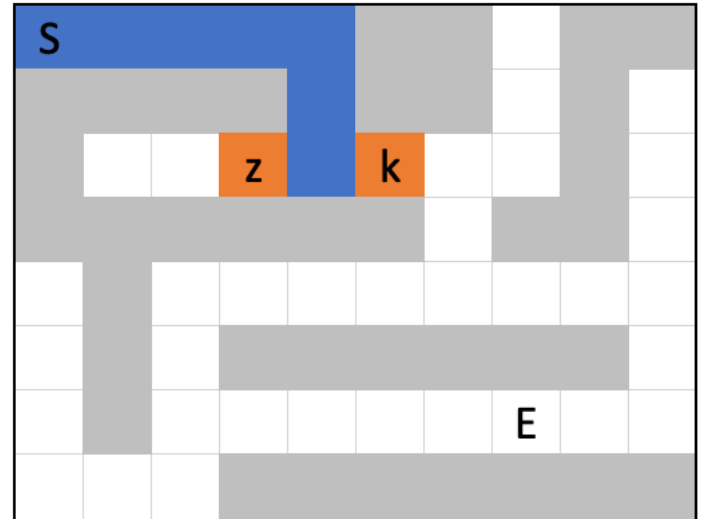
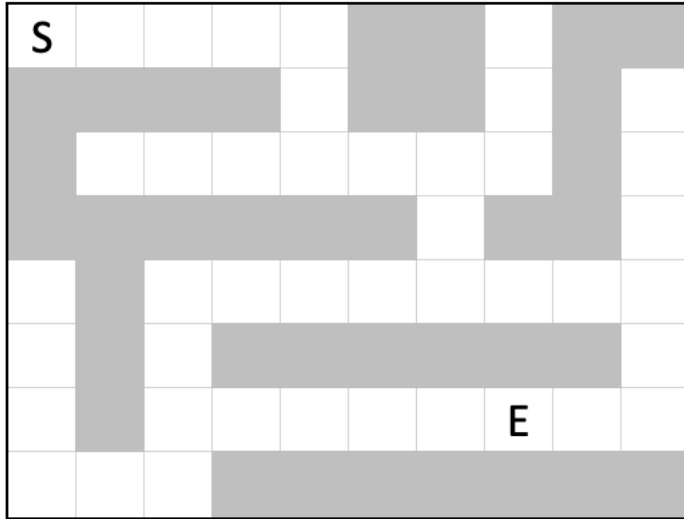


Adding **k** to the **Frontier**

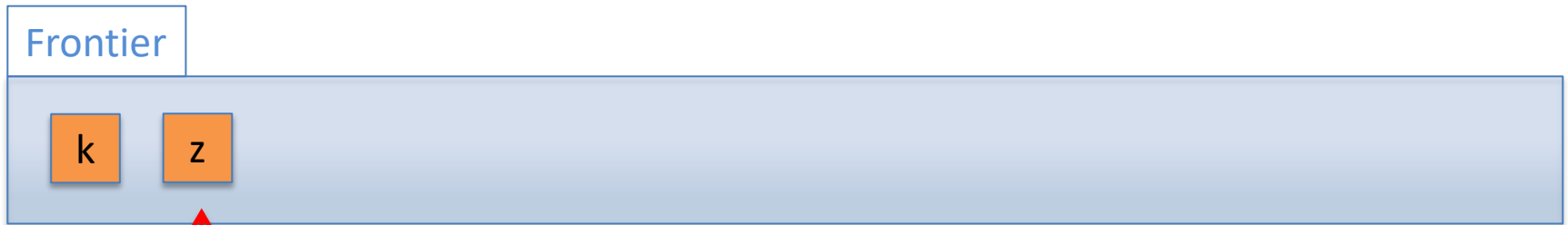


\* Skipping the first trivial steps (i.e. only one path); assuming nodes are added as follows: right, down, left, top.

# BFS example

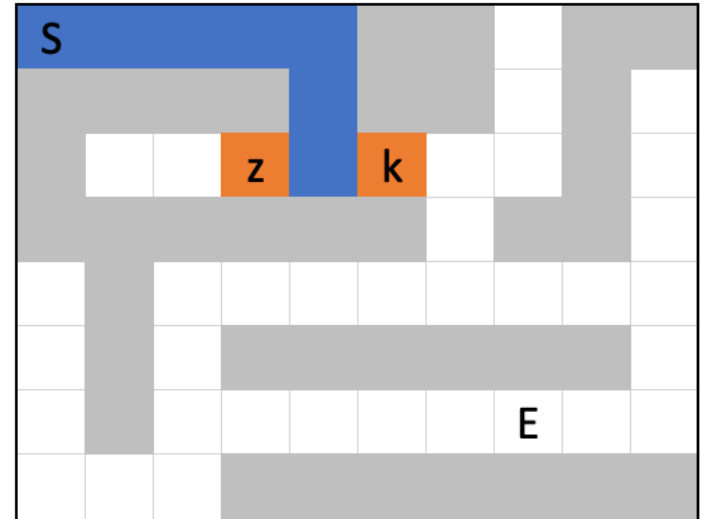
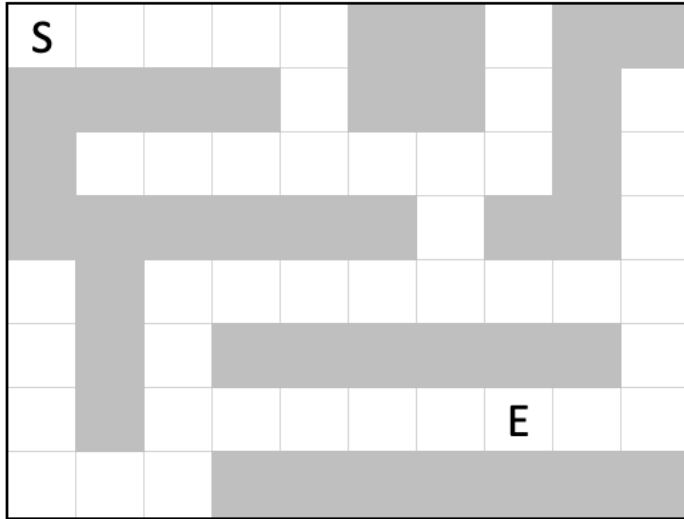


Adding z to the **Frontier**

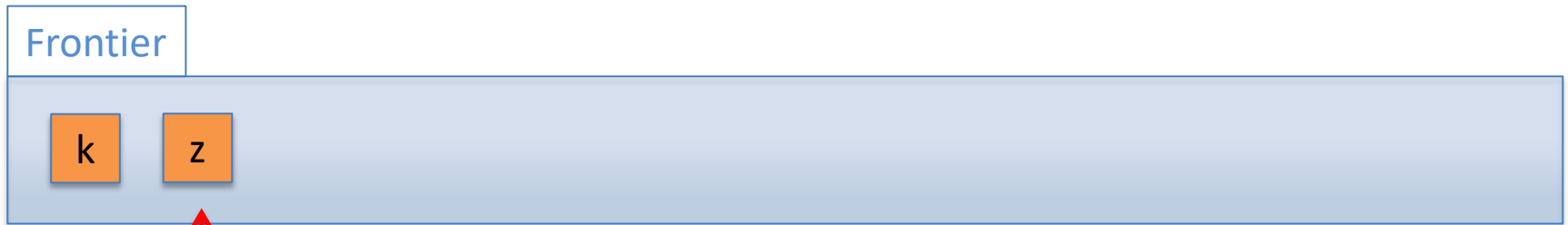


Added to the back of the **Queue**

# BFS example



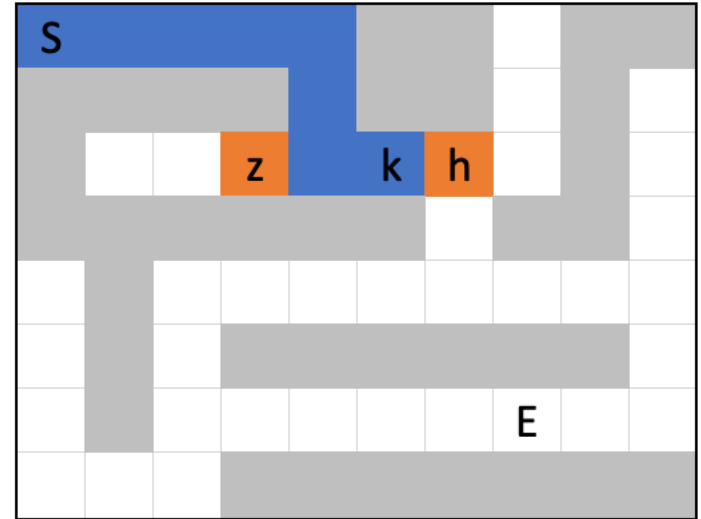
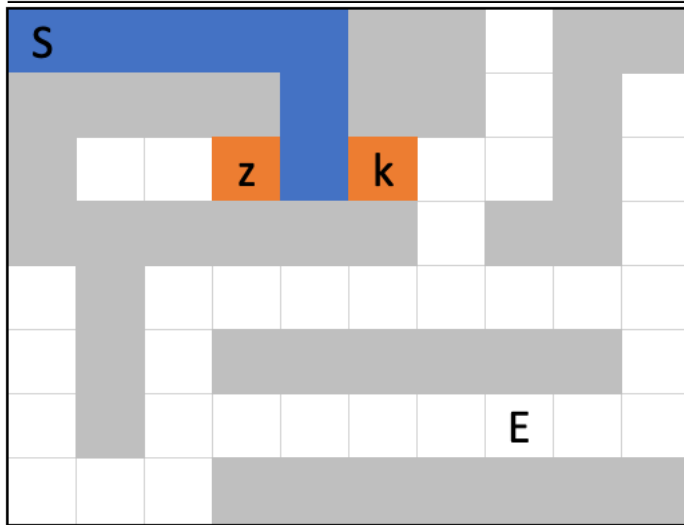
Adding z to the **Frontier**



Added to the back of the **Queue**



# BFS example

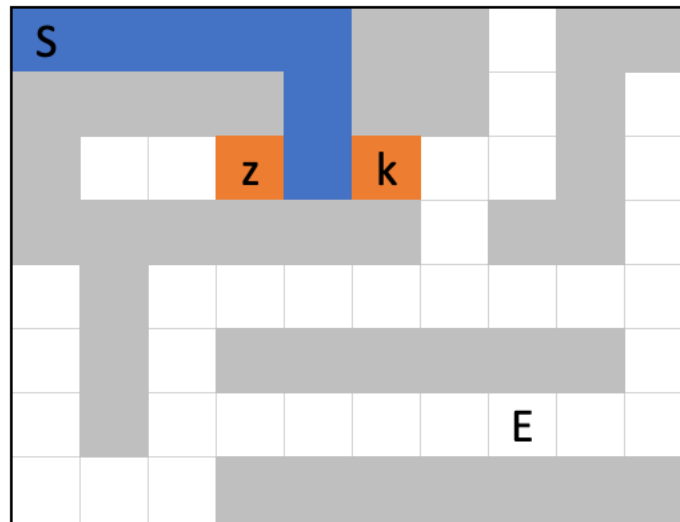


Adding **h** to the **Frontier**

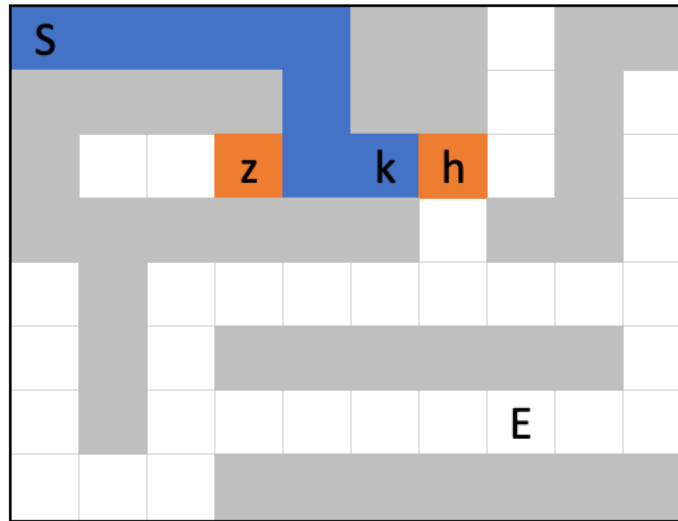


Added to the back of the **Queue**

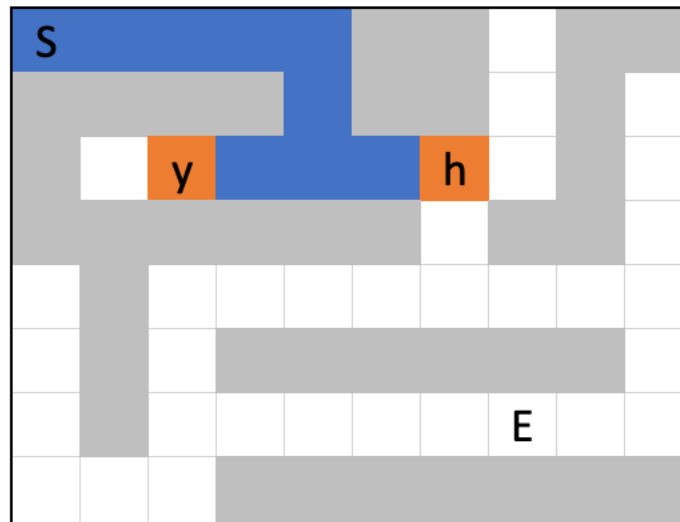
# BFS example



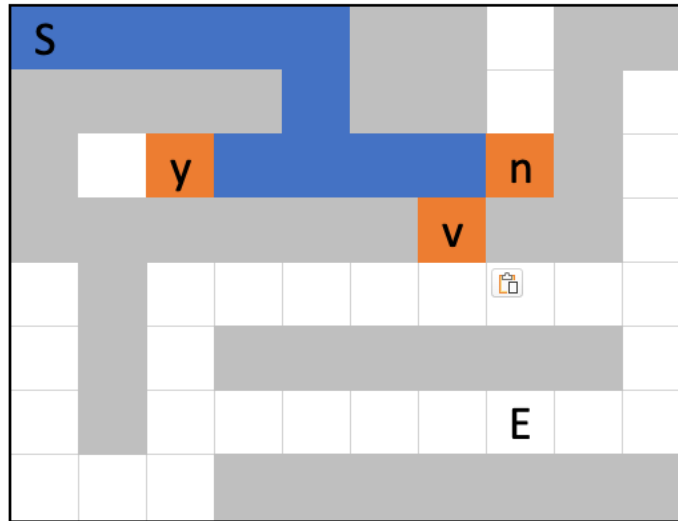
# BFS example



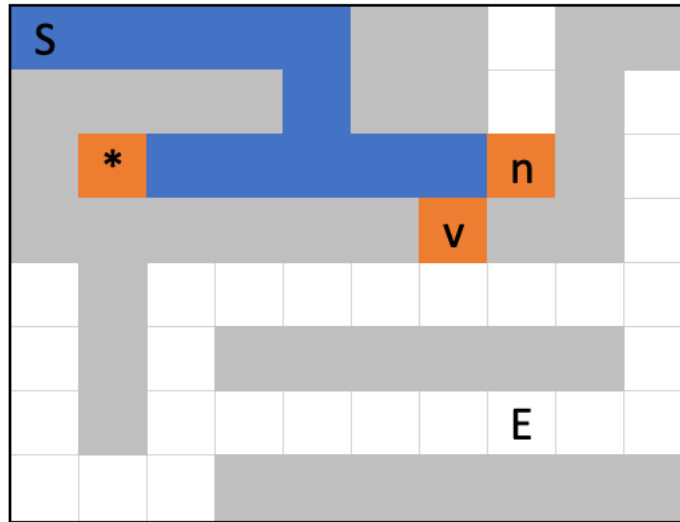
# BFS example



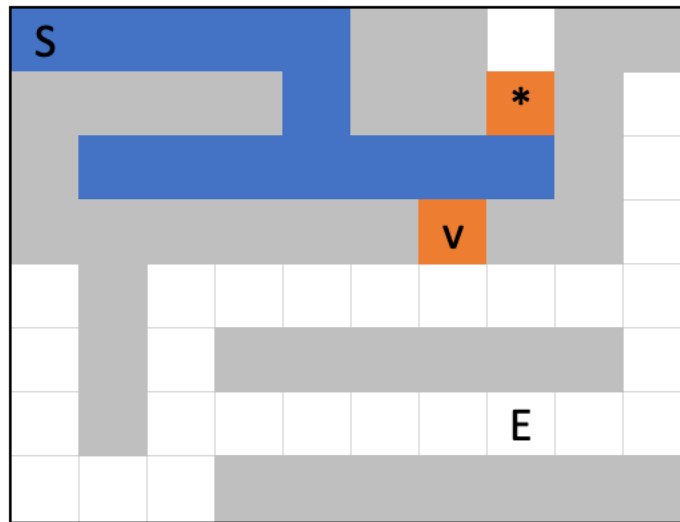
# BFS example



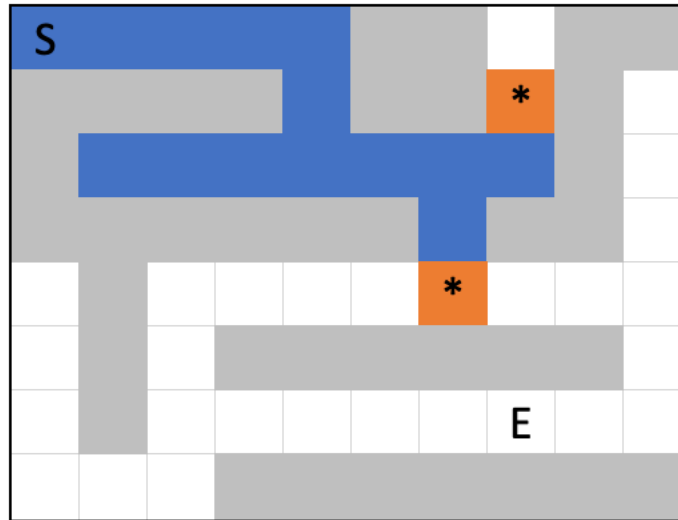
# BFS example



# BFS example

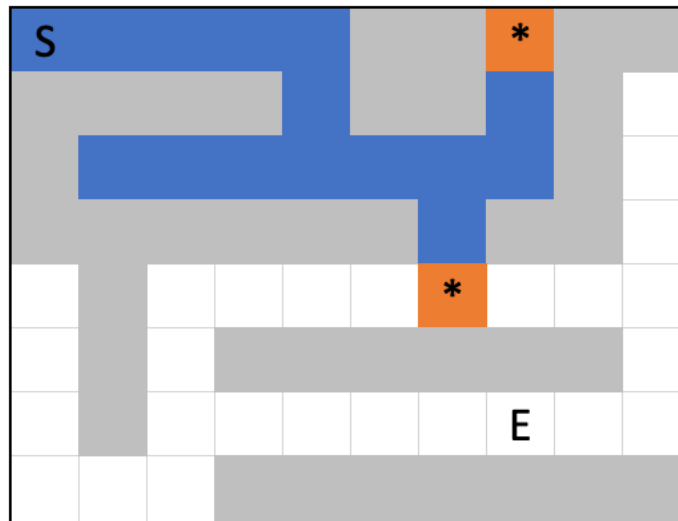


# BFS example

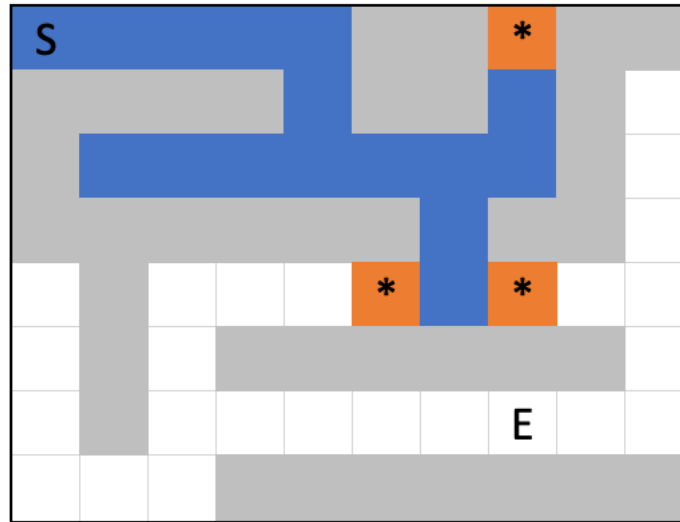




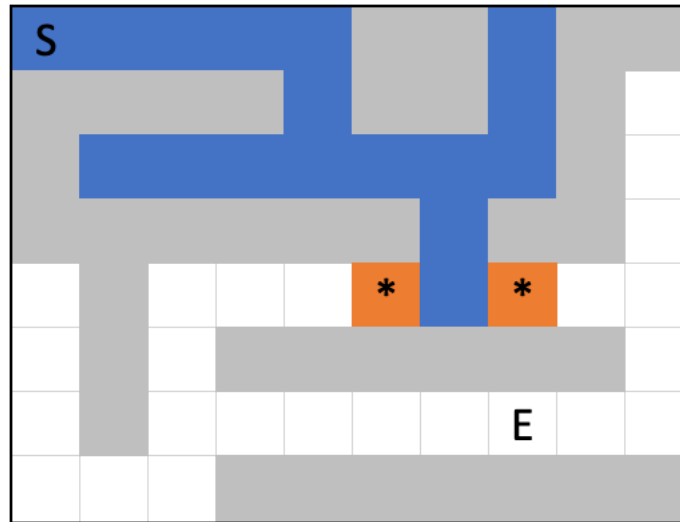
# BFS example



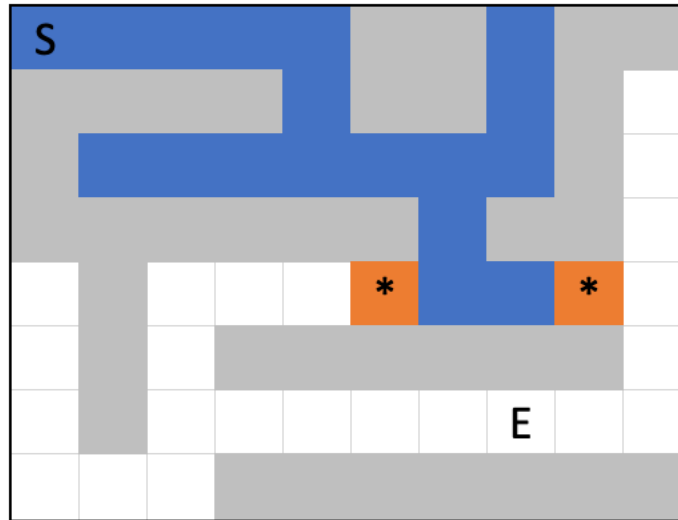
# BFS example



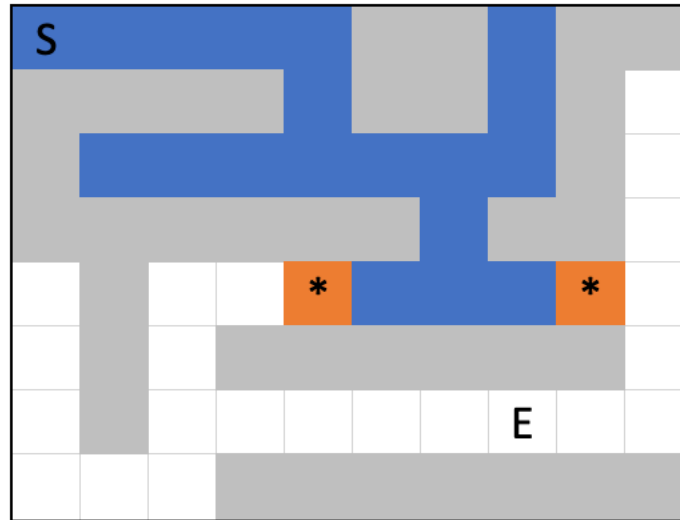
# BFS example



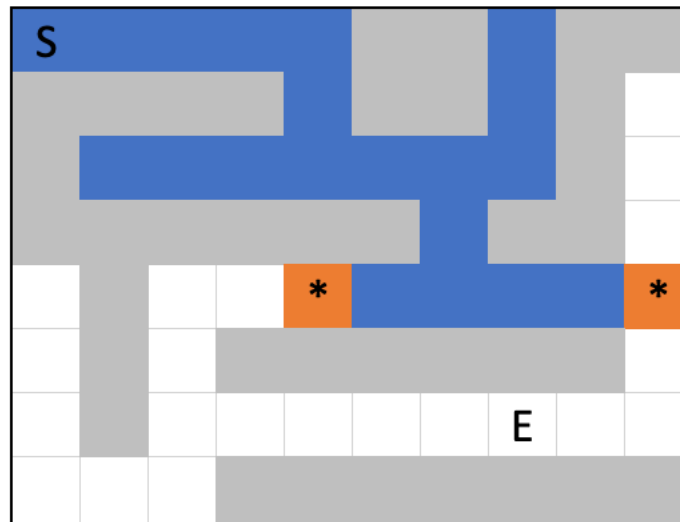
# BFS example



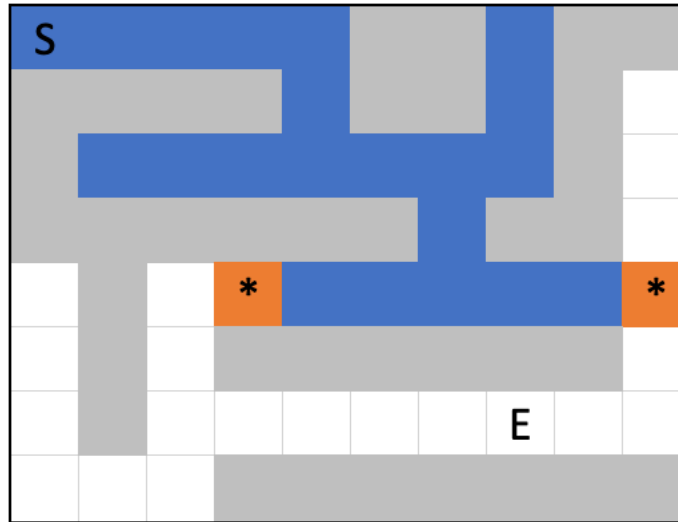
# BFS example



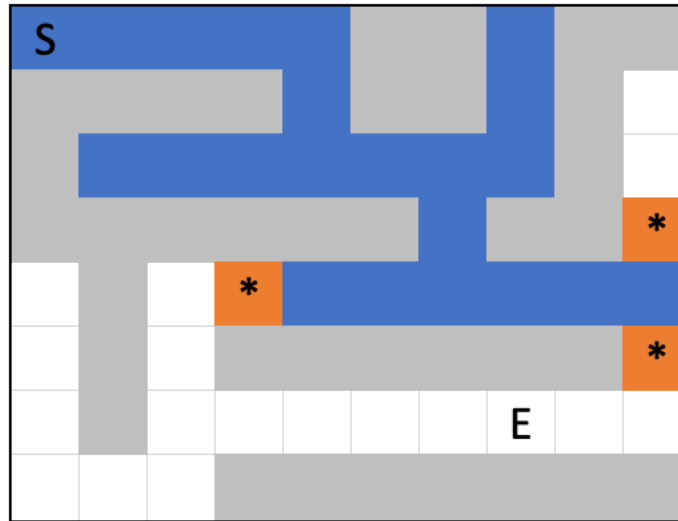
# BFS example



# BFS example

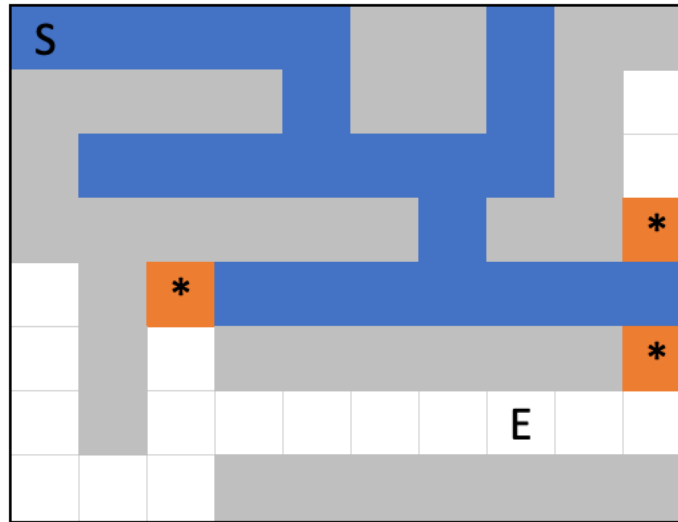


# BFS example

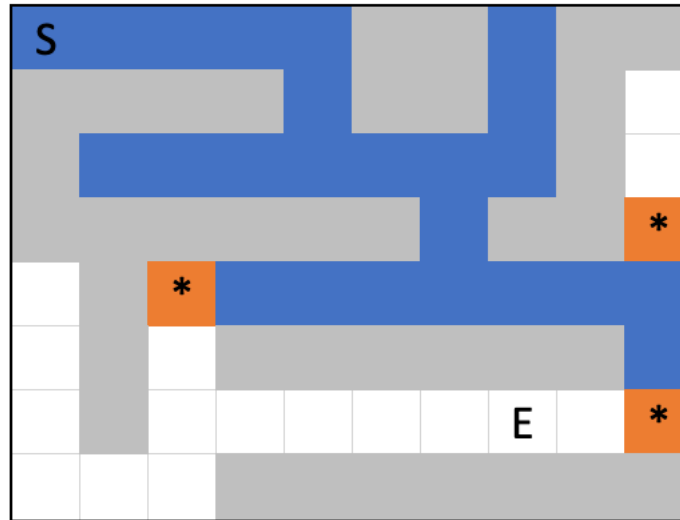




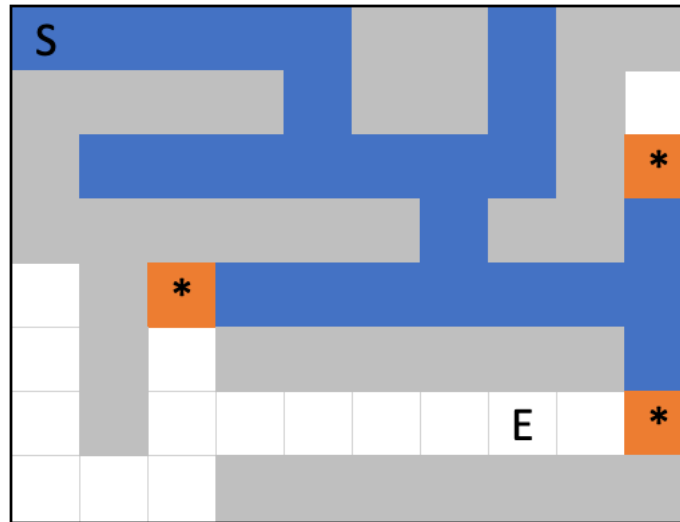
# BFS example



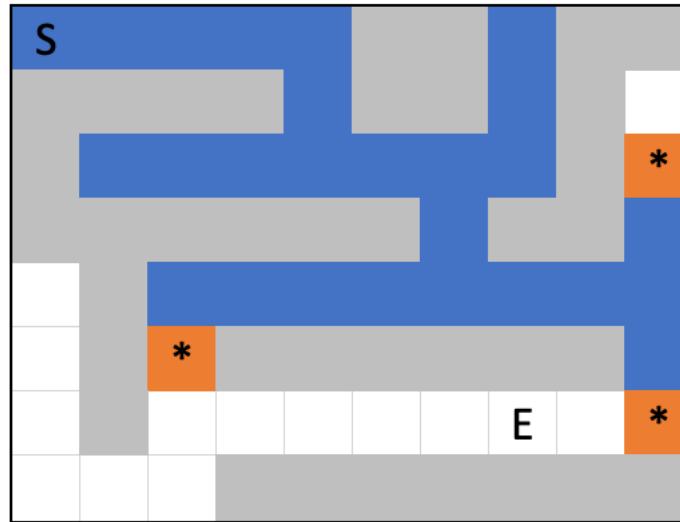
# BFS example



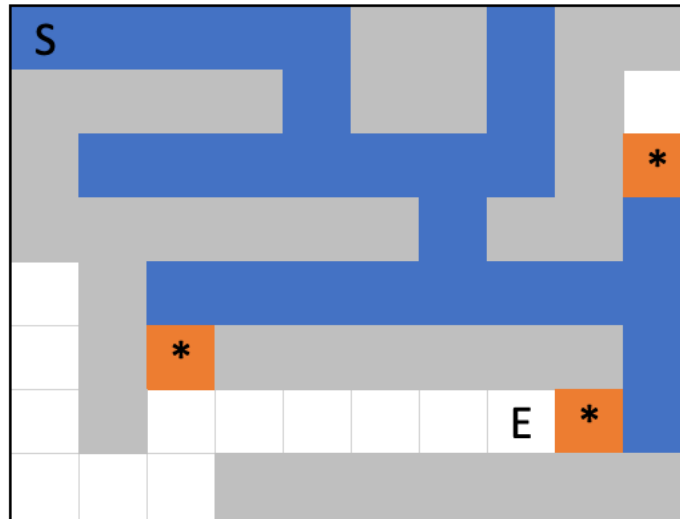
# BFS example



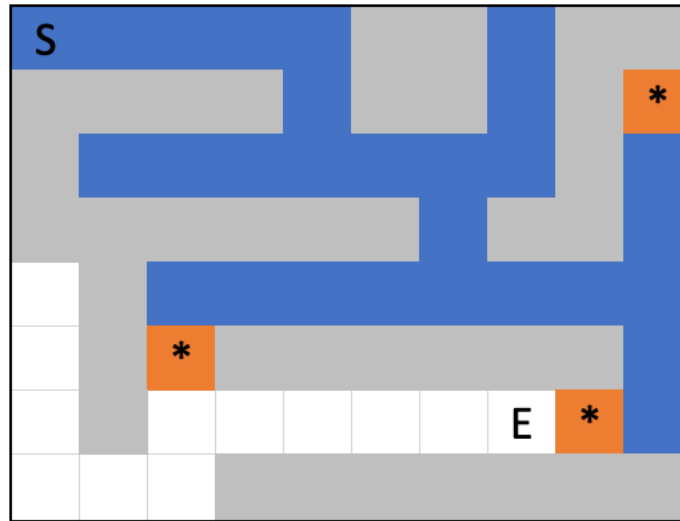
# BFS example



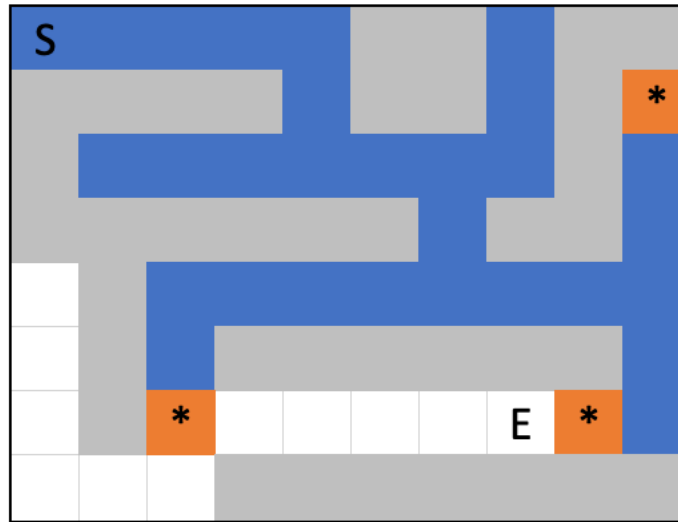
# BFS example



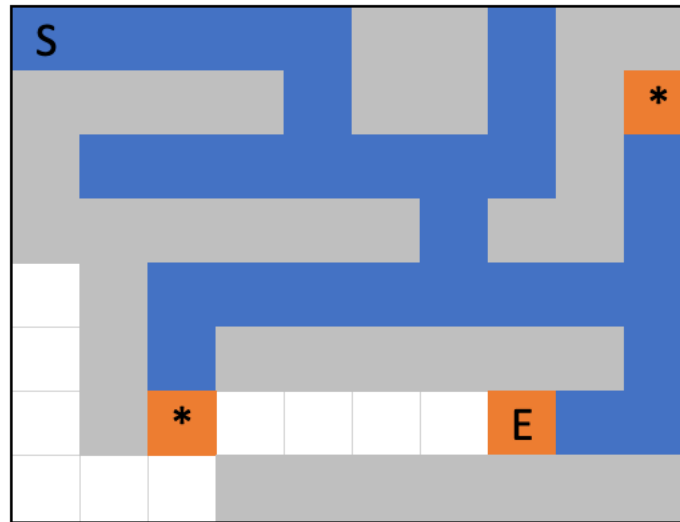
# BFS example



# BFS example

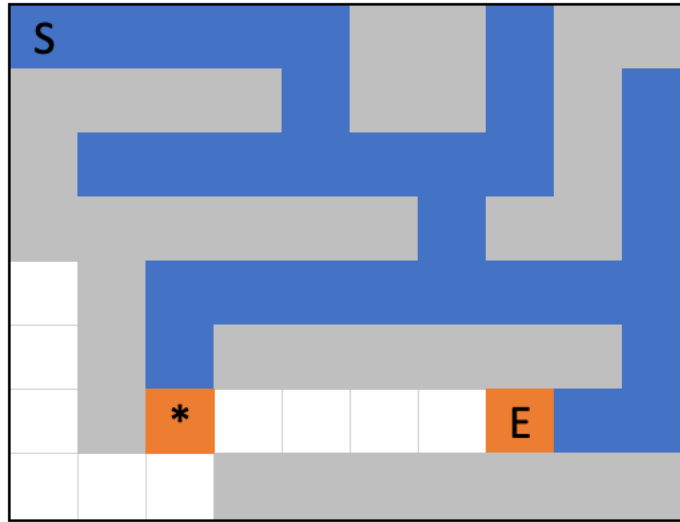


# BFS example

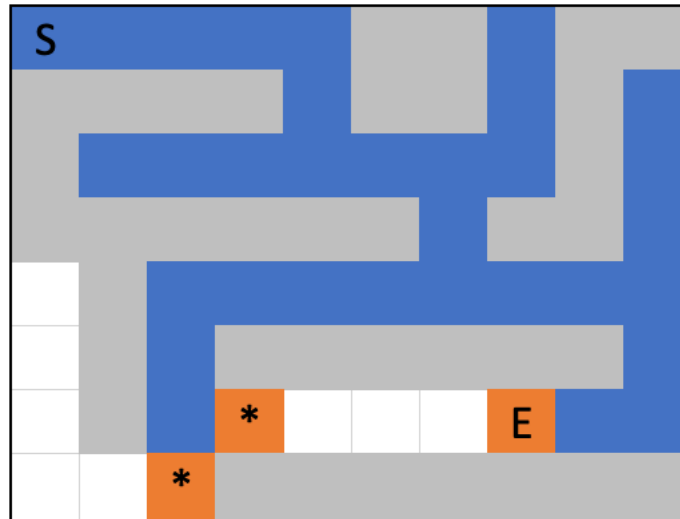




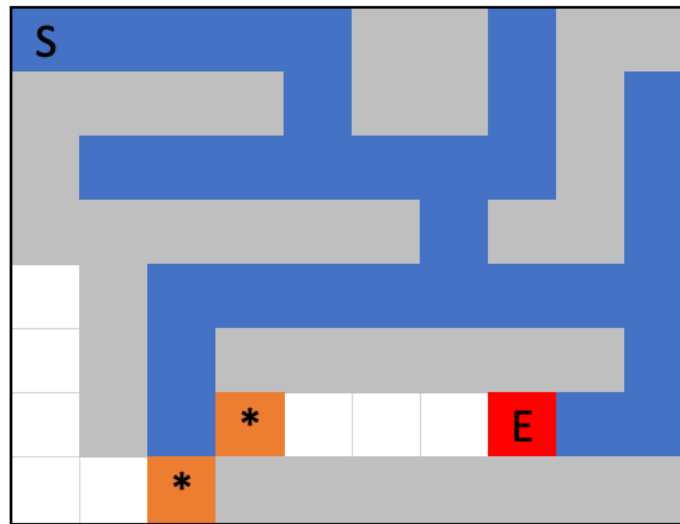
# BFS example



# BFS example



# BFS example



We did it! We removed a node from the Frontier and it was the **Goal**

# Assessing Search Strategies

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# Assessing Search Strategies

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- **Optimality:** Whether the strategy finds the highest-quality solution when there are several solutions
- **Time complexity:** the time it takes to find a solution
- **Space complexity:** the memory the strategy needs to perform the search

# Depth-First Search Analysis

- DFS is **complete** **if** the state space is **finite**
- DFS is **not optimal**
- In general, DFS is more efficient than BFS
  - Time Complexity: In the worst case\*, DFS can explore every node, resulting in a time complexity of  $O(b^d)$ , where  $b$  is the **branching factor** and  $d$  is the **maximum depth**.
  - Space Complexity: depends on the maximum depth of the state space. In the worst case, uses space proportional to the maximum depth  $m$ , so it is  $O(bm)$



# Breadth First Search Analysis

- BFS is **complete** even if the state space is infinite\*
- BFS is **optimal** if not weighted, i.e. shallowest solution
- In general, BFS can be very expensive
  - Time Complexity: Similar to DFS\*, in the worst case BFS will explore all nodes, so  $O(b^d)$ .
  - Space Complexity: The space complexity of BFS is also  $O(b^d)$  because it needs to keep track of all the nodes on the current level in memory, and the number of nodes at each level grows exponentially with the depth.

# BFS vs DFS

- **Important!** The time complexity of **BFS is typically higher than that of DFS**, especially if the **branching factor** is high and the **goal** node is located **near the bottom** of the state space.

# BFS vs DFS

- **Important!** The time complexity of **BFS** is typically higher than that of **DFS**, especially if the **branching factor** is high and the **goal** node is located **near the bottom** of the state space.
- **Why?** BFS needs to explore all nodes at a given depth before moving on to the next depth, whereas DFS can quickly move down a path until it reaches a dead end (leaf)

# Depth Limited Search (DLS)

- Variant of DFS that **limit the maximum depth during exploration**
- DLS is **complete** if the **limit is greater than or equal to** the depth of the shallowest solution node
- DLS is not optimal

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- DLS is **complete** if the **limit is greater than or equal to the depth of the shallowest solution node**
- DLS is not optimal
- **Disadvantage 1:** it **may not** be able to **find solutions** that are **deeper** than the **maximum depth limit**, even if they exist
- **Disadvantage 2:** it **may repeat** the **same path** if the **limit is not adequate (too low)**, leading to inefficiency

# Iterative Deepening Search (IDS)

- Combines the benefits of BFS and DFS
- **Repeatedly** performs **DFS with increasing depth limits**
- IDS has the same time complexity as BFS ( $O(b^d)$ ), but its space complexity is closer to DFS ( $O(bd)$ ) only stores current path
- IDS is **complete** and **optimal** (if path cost is non-decreasing with depth)
- IDS is useful when space is large and goal depth is unknown

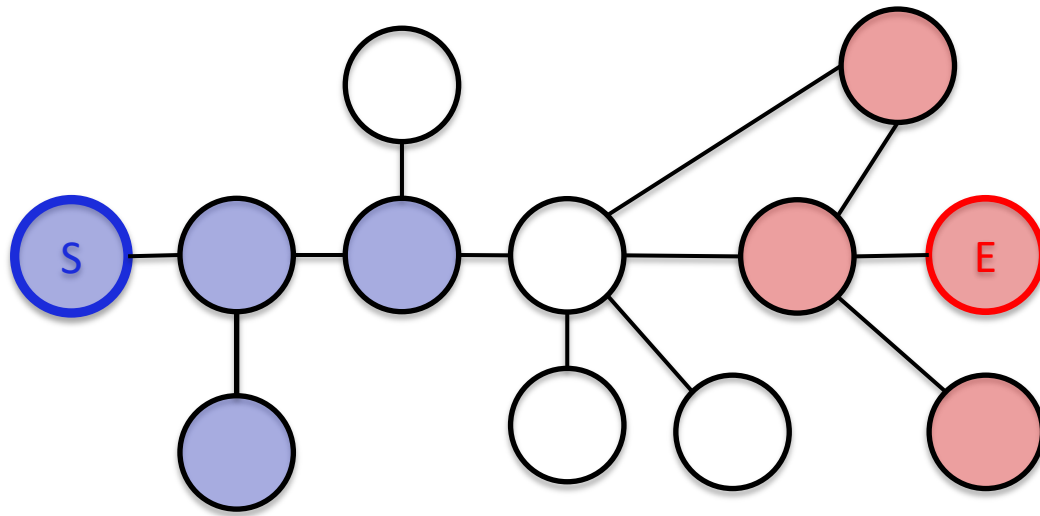
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**Try the maze example using IDS!**

# Bidirectional Search

- One BFS from the **initial state** and one BFS from the **goal**
- **The searches proceed until their frontiers meet in the middle.**

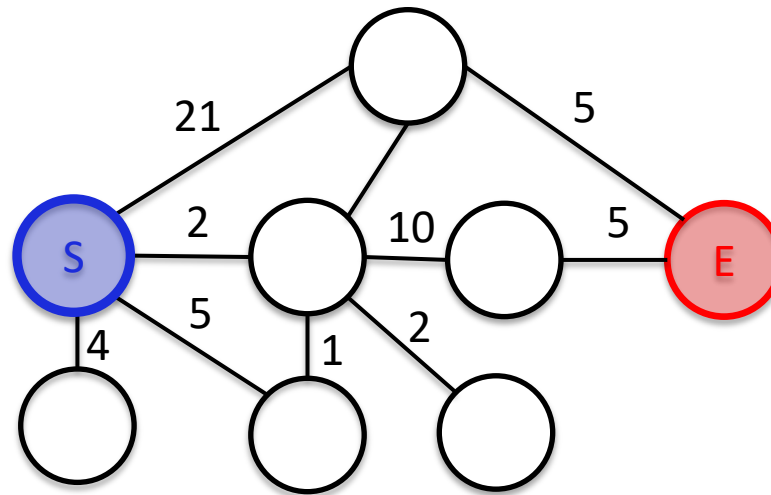


- Time complexity:  $O(b^{d/2})$
- Space complexity:  $O(b^{d/2})$
- Issues? We need to know where is the goal state



# Uniform Cost search

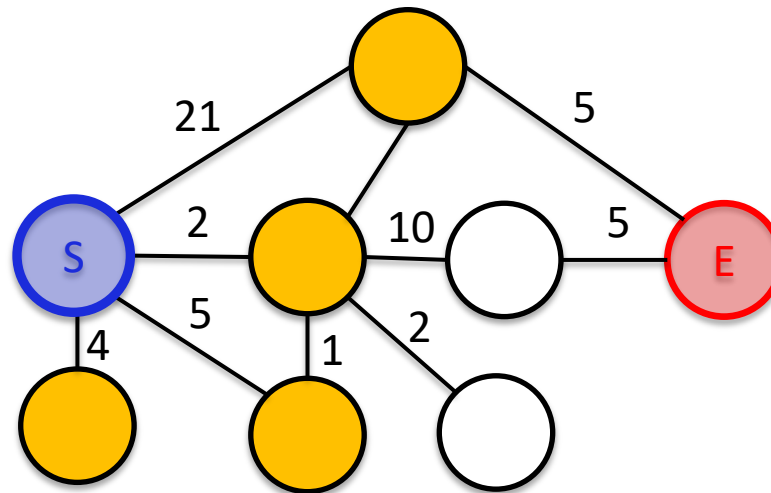
- Behaves as BFS if the actions have the same cost (no weights)
- Expand first nodes with lowest cost; Remember **Dijkstra's algorithm**?



- Time complexity:  $O(b^d)$
- Space complexity:  $O(b^d)$
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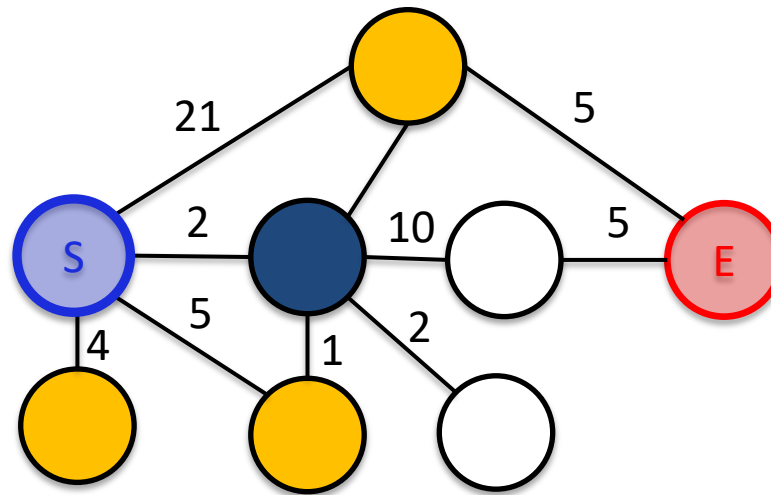
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# Uninformed & Informed

- **Uninformed Search:** The algorithm does not consider specific knowledge related to the problem
  - DFS, DLS, IDS, BFS, Bidirectional Search, Uniform Cost
- **Informed Search:** Exploit knowledge specific to the problem (e.g. Greedy BFS, A\*)

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**Question: What is the disadvantage of using an Explored Set?**

# Informed Search

A heuristic func  $h(n)$  estimates the cheapest **cost** from **node**  $n$  to the **goal**

$h(n)$  must be admissible, i.e. never overestimates the cost

- $h(n)$  is defined by relaxing the problem
- E.g. Ignoring walls and using the **Manhattan distance** in a maze

Why/When do we need heuristics?

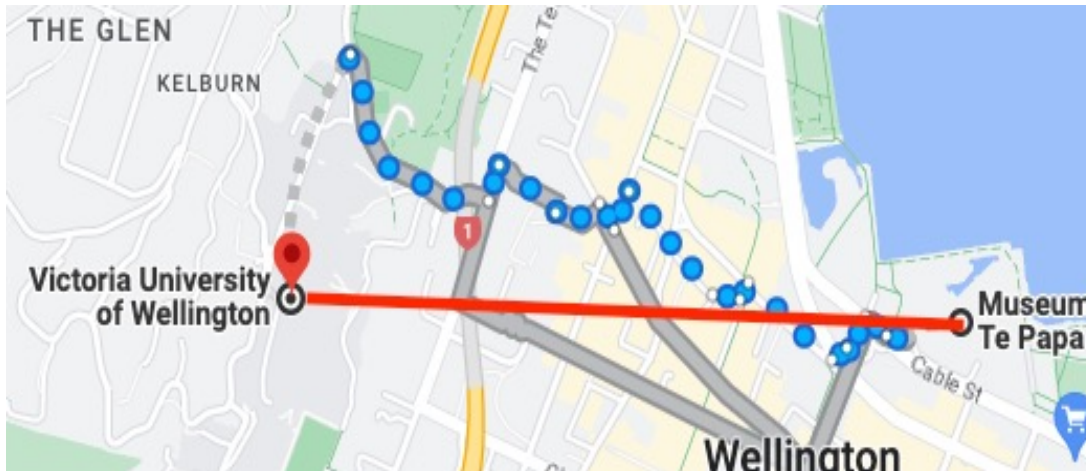
- When the **search space (state space) is too large!**
- Example: chess has a branching factor of 35...

# Greedy (Best First) Search

- Always expand node whose state appears to be closer to the goal state
- The data structure is a *priority queue*
  - Priority is given by  $f(n)$ , such that  $f(n) = h(n)$

# Greedy (Best First) Search

- Always expand node whose state appears to be closer to the goal state
- The data structure is a *priority queue*
  - Priority is given by  $f(n)$ , such that  $f(n) = h(n)$
- **Example:** *Route finding in a map*



At each node,  $h(n)$  gives the estimated dist.

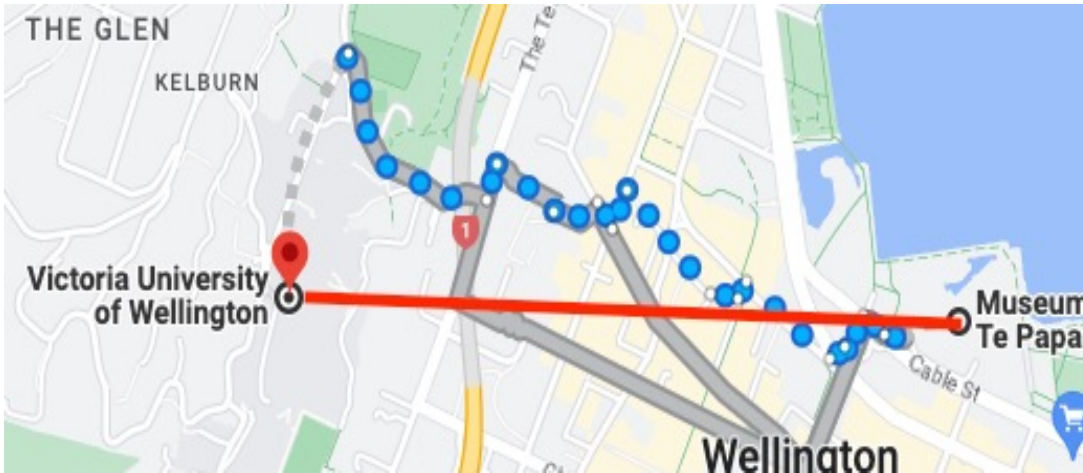
**Initial state:** Te Papa

**Goal state:** VuW

$h(\text{Te Papa})$  = straight line distance, e.g. **1km**



# Greedy (Best First) Search



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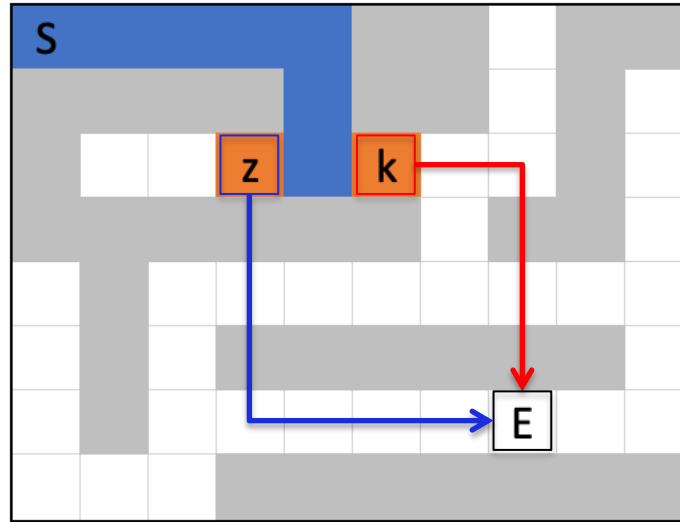
**Initial state:** Te Papa

**Goal state:** VuW

$h(\text{Te Papa})$  = straight line distance, e.g. **1km**

- Actual path is longer (1.6km)
- **$h(n)$  informs** the algorithm to avoid unnecessary actions:
  - Reaching Victoria Street (in Hamilton) during the search (DFS)
  - Exploring the whole CBD first (BFS)

# Greedy (Best First) Search - Maze



$$h(z) = 8$$

$$h(k) = 6$$

- *Which node should the algorithm explore next?*

# Greedy (Best First) Search - Maze

- *Not optimal and not complete!*
- *May explore 'false' paths*
- *Time and Space Complexity:  $O(b^m)$*

Crucial issue: Ignores the path cost  $g(n)$ , which is the cost from the initial state up to node  $n$

# Greedy (Best First) Search - Maze

- *Not optimal and not complete!*
- *May explore 'false' paths*
- *Time and Space Complexity:  $O(b^m)$*

**Can you think of a Maze where this algorithm would explore a "false" path?**

Crucial issue: Ignores the path cost  $g(n)$ , which is the cost from the initial state up to node  $n$

# A\*

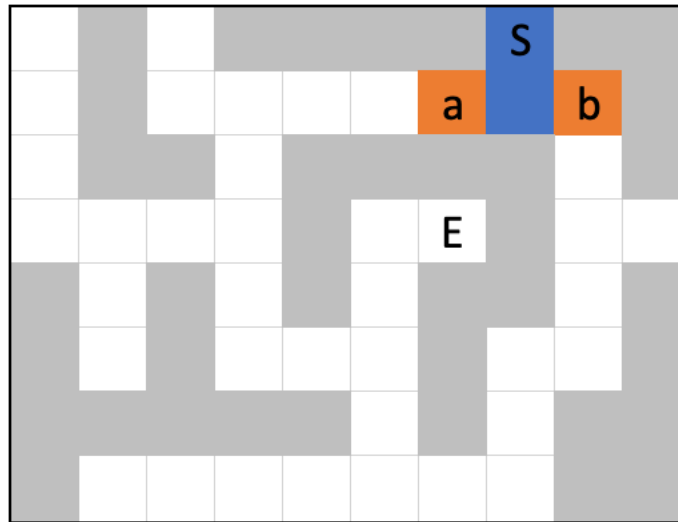
Estimates the **total path cost**  $f(n)$

- $f(n) = g(n) + h(n)$
- $g(n)$ : from the initial node to node  $n$
- $h(n)$ : estimated cost of “relaxed” path from  $n$  to goal

$f(n)$  represents the estimated cost of the cheapest solution through  $n$

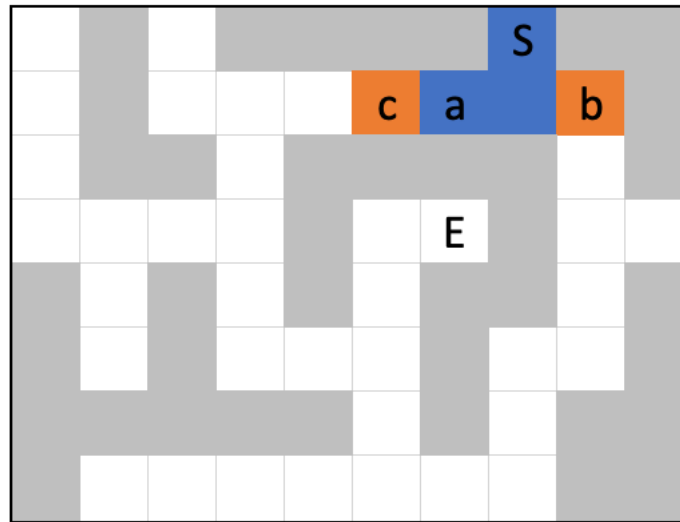


# A\* - maze



	f(n)	g(n)	h(n)
a	4	2	2
b	6	2	4

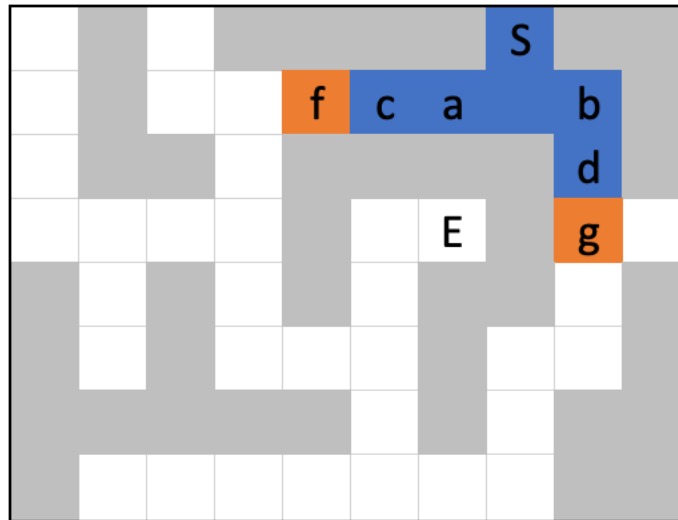
# A\* - maze



	f(n)	g(n)	h(n)
a	4	2	2
b	6	2	4
c	6	3	3

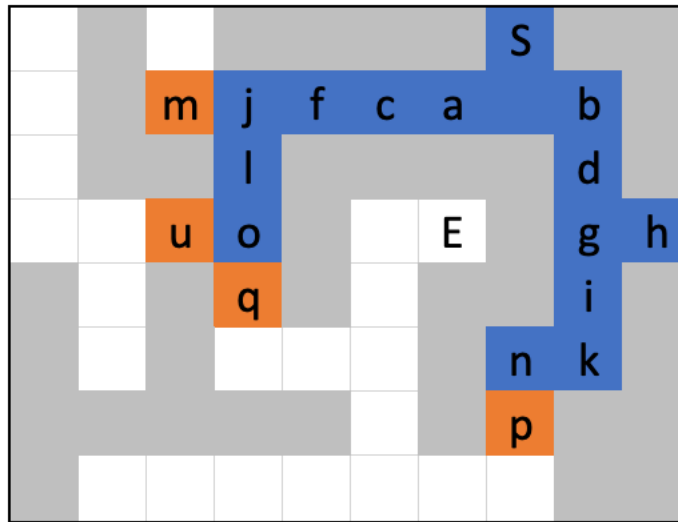


# A\* - maze



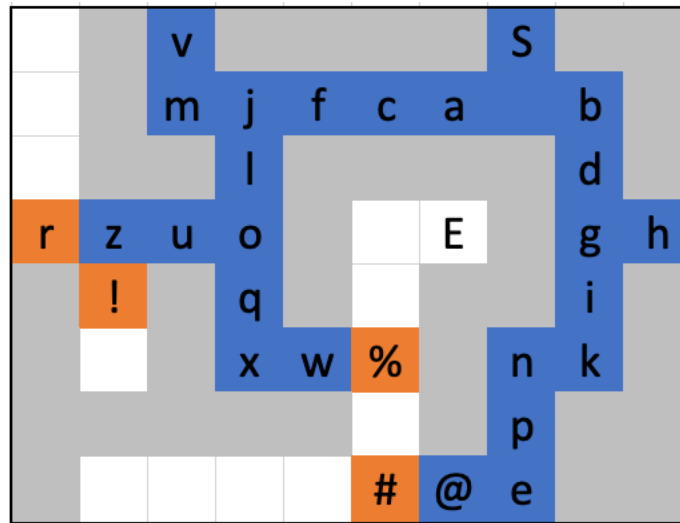
	f(n)	g(n)	h(n)
a	4	2	2
b	6	2	4
c	6	3	3
d	6	3	3
g	6	4	2
f	8	4	4

# A\* - maze



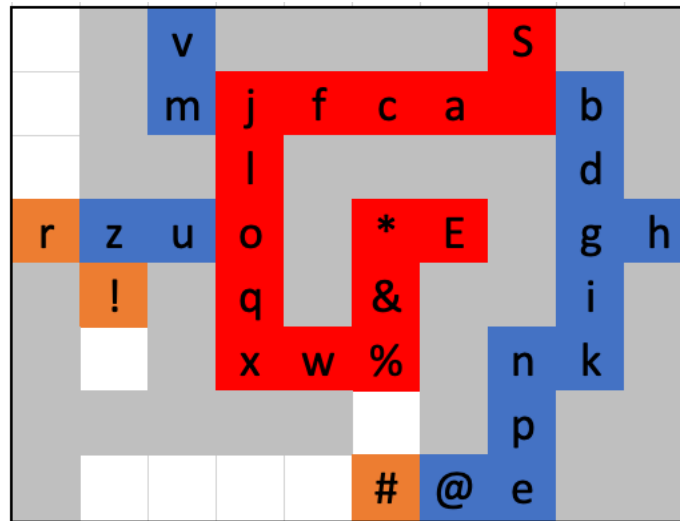
	f(n)	g(n)	h(n)
a	4	2	2
b	6	2	4
c	6	3	3
d	6	3	3
g	6	4	2
f	8	4	4
...	...	...	...
o	10	7	3
m	12	6	6
p	12	8	4
q	12	8	4
u	12	8	4

# A\* - maze



	f(n)	g(n)	h(n)
a	4	2	2
b	6	2	4
c	6	3	3
d	6	3	3
...	...	...	...
m	12	6	6
p	12	8	4
...	...	...	...
w	14	10	4
%	14	11	3
!	16	10	6
r	16	10	6
#	16	11	5

# A\* - maze



	f(n)	g(n)	h(n)
a	4	2	2
b	6	2	4
...	...	...	...
%	14	11	3
!	16	10	6
r	16	10	6
#	16	11	5
...	...	...	...
*	14	13	1
<b>E</b>	<b>14</b>	<b>14</b>	<b>0</b>
!	16	10	6
r	16	10	6
#	16	11	5

# Summary

- Search is an important part of several other algorithms
- **Abstracting** the problem is fundamental
- **Selecting** an appropriate Search algorithm
- **Defining**  $h(n)$  may not always be trivial
- We were focusing **on finding the *path* from S to E**

# Coming up next...

- Search 2 (next lecture)
- History AI (Friday Tutorial) – Prof Mengjie Zhang
- Tip: Try out the Search algorithms!