

# SWEN 423 Mock Term Test 1 Model Answers

## Extending FJ with Generics

[..]

### Section 1: Grammar and general considerations

```
e ::= x | e.<Ts>m(es) | new C<Ts>(es) | e.x
T ::= C<Ts> | X
GD ::= X1 extends Ts1..Xn extends Tsn
cd ::= class C<GD> implements Ts{ Fs K Ms }
    | interface C<GD> extends Ts{ MH1; .. MHk; }
K ::= C(T1 x1 .. Tn xn){this.x1=x1;;this.xn=xn;}
F ::= T x;
M ::= MH{return e;}
MH ::= <GD> T m(T1 x1 .. Tn xn)
v ::= new T(vs)
Ev ::= [] | Ev.<Ts>m(es) | v.<Ts>m(vs, Ev, es) | new T(vs, Ev, es) | Ev.x
Γ ::= x1 : T1 .. xn : Tn
```

In addition to the FJ well formedness criteria, we add the following:

- The main expression does not contain any X or any x
- All generic type names X contained in the left hand side of a generic declaration GD are unique.
- All T in the Ts contained in the right hand side of a generic declaration GD can not be of form X (but can contain some X, as in "List<X>").
- The types Ts in the extends or implements list can not be of form X.
- In a class or interface C<GD>, all generic type names X in the methods GD' are disjoint from the generic type names introduced in GD.
- For all the 'X extends Ts' in a GD, all T in Ts are of form C<\_>, where C is an interface declaration in cds.

We assume the conventional notation  $\text{dom}(\text{cds}, C) = \text{ms}$ , returning the list of names directly defined in C.

[Q1; 10 points]:

Consider the following examples of regular Java with generics:

```
class Sorter<T extends Comparable<T> & Serializable>{
    public <L extends List<T>> L sortAndSave(L l){
        l=this.<L>sort(l);
        l=this.save(l);
        return l;
    }
    public <L> L save(L l){/**/} public <L> L sort(L l){/**/} }
```

This is correct Java code. Explain in the detail the meaning of such code, focus on the role of '&' and the role of 'this.<L>sort('

& allows generic constraints to bound on multiple interfaces, so that only a T that implement both Comparable<T> and Serializable can be used.

[Q2; 10 points]:

The code above is correct Java but does not respect the restriction of GFJ. Rewrite the code above so that it is a syntactically correct in GFJ.

```
class Sorter<T extends Comparable<T> & Serializable<>>{
    public <L extends List<T>> L sortAndSave(L l){return
    this.<L>save(this.<L>sort(l));}
    public <L> L save(L l){/**/} public <L> L sort(L l){/**/} }
```

Note how we can not declare local variables in GFJ.

[Q3; 5 points]:

In your words, describe the role of GD. How is  $C\langle GD \rangle$  different w.r.t.  $C\langle Ts \rangle$ ?

What is the role of X?

Use correct terminology.

GD is the generic declarations: it is similar to formal parameter declaration, where a type and a variable name are introduced. Here the X correspond to the variable name, while Ts corresponds to the type name.

In  $C\langle Ts \rangle$ , Ts is similar to passing actual parameters in a method call, and each individual T corresponds to a value that satisfy an individual parameter.

## Section 2: Small step reduction

We now show the small step reduction for GFJ. As you will notice, it is very similar to FJ reduction

[Q4; 5 points]: There are two minor typos in the conventional rule (ctx). What are they?

$$\begin{array}{l}
 e_1 \rightarrow e_2 \\
 \text{(ctx)} \text{-----} \\
 \varepsilon v[e_1] \rightarrow \varepsilon v[e_2]
 \end{array}$$

[Q5; 5 points]: There are three minor typos also in (f-access). What are they?

$$\begin{array}{l}
 \text{class } C\langle\_ \rangle \{ T_1 x_1; \dots T_n x_n; K Ms \} \text{ in cds} \\
 \text{(f-access)} \text{-----} \\
 \text{new } C\langle Ts \rangle(v_1..v_n).x_i \rightarrow v_i
 \end{array}$$

Note, It is ok for Ts in new  $C\langle Ts \rangle$  to be unused

$$\begin{array}{l}
 \text{class } C\langle GD \rangle \{ \_ \langle GD' \rangle T_0 m(T_1 x_1..T_n x_n)\{return e;\} \_ \} \text{ in cds} \\
 e' = e[GD=Ts][GD'=Ts'][this=new C\langle Ts \rangle(vs)][x_1=v_1]..[x_n=v_n] \\
 \text{(m-call)} \text{-----} \\
 \text{new } C\langle Ts \rangle(vs).\langle Ts' \rangle m(v_1..v_n) \rightarrow e'
 \end{array}$$

#Define  $e[GD=Ts] = e'$        $e[X=T] = e$        $T[X=T'] = T'$   
 $e[X_1 \text{ extends } Ts_1 \dots X_n \text{ extends } Ts_n = T_1..T_n] = e[X_1=T_1]..[X_n=T_n]$

$x[X=T] = x$   
 $e.\langle T_1..T_n \rangle m(e_1..e_k)[X=T] = e.\langle T_1[X=T]..T_n[X=T] \rangle m(e_1[X=T]..e_k[X=T])$   
 $\text{new } T(e_1..e_n)[X=T'] = \text{new } T[X=T'](e_1[X=T']..e_n[X=T'])$   
 $e.x[X=T] = e[X=T].x$

$C\langle T_1..T_n \rangle [X=T] = C\langle T_1[X=T]..T_n[X=T] \rangle$   
 $X[X=T] = T$   
 $X[X'=T] = X \text{ with } X \neq X'$

[Q6; 5 points]: Define the conventional variable substitution  $e[x=e']$  for GFJ.

#Define  $e[x=e'] = e''$   
 $x[x=e] = e$   
 $x[x'=e] = x \text{ with } x \neq x'$   
 $e_0.\langle Ts \rangle m(e_1 \dots e_k)[x=e] = e_0[x=e].\langle Ts \rangle m(e_1[x=e].. e_k[x=e])$   
 $\text{new } C\langle Ts \rangle(e_1 \dots e_n)[x=e] = \text{new } C\langle Ts \rangle(e_1[x=e]..e_n[x=e])$   
 $e_0.x[x=e] = e_0[x=e].x$

Ts need to be mentioned and not captured with '\_' because we need to keep it the same to the left and the right of the '='

[Q7; 10 points]:

Since the main expression must not contain any X,

also the T used with the notation  $e[X=T]$  will never contain any X.

The current definition of  $e[X=T]$  works well under this assumption, but it would produce strange results in case there was some X inside of T that is also present inside of e.

According to the former definition of  $e[X=T]$ , what is the result of the following operations:

(A)  $\text{-new } C\langle W, D\langle Y\rangle\rangle(\text{new } K\langle Z\rangle()) [W=K\langle Z\rangle] [Z=J\langle\rangle]$

$\text{==new } C\langle K\langle Z\rangle, D\langle Y\rangle\rangle(\text{new } K\langle Z\rangle()) [Z=J\langle\rangle]$

$\text{==new } C\langle K\langle J\langle\rangle\rangle, D\langle Y\rangle\rangle(\text{new } K\langle J\langle\rangle\rangle())$

(B)  $\text{-new } C\langle W, D\langle Y\rangle\rangle(\text{new } K\langle Z\rangle()) [Z=J\langle\rangle] [W=K\langle Z\rangle]$

$\text{==new } C\langle W, D\langle Y\rangle\rangle(\text{new } K\langle J\langle\rangle\rangle()) [W=K\langle Z\rangle]$

$\text{==new } C\langle K\langle Z\rangle, D\langle Y\rangle\rangle(\text{new } K\langle J\langle\rangle\rangle())$

Note how the order of replacements was relevant because Z was both at the left ( $Z=J\langle\rangle$ ) and at the right ( $W=K\langle Z\rangle$ ):

$\text{new } C\langle K\langle J\langle\rangle\rangle, D\langle Y\rangle\rangle(\text{new } K\langle J\langle\rangle\rangle())$  //first replace W, then Z

$\text{new } C\langle K\langle Z\rangle, D\langle Y\rangle\rangle(\text{new } K\langle J\langle\rangle\rangle())$  //first replace Z, then W

## Section 3: Class Type system

```
#Define canonical(cds, GD) = Ts; cds'
  canonical(cds,  $\emptyset$ ) =  $\emptyset$ ;  $\emptyset$ 
  canonical(cds, X extends Ts GD) = C<> Ts'; cd cds'
  cd = interface C<> extends Ts[X=C<>]{Ms}
  forall T in Ts[X=C<>] cds cd |- T : ok
  Ts'; cds' = canonical(cds cd, GD[X=C<>])
  overrideOk(C<> Ts[X=C<>])
  forall m in dom(cd, C<>) exists T in Ts m in dom(cds, T)
```

Note how thanks to last two premises of canonical all M in Ms must be the same of one of the of the methods in one of the extended interfaces Ts.

```
#Define cds|-overrideOk(Ts)          cds|-overrideOk(T, T', m)
  cds|-overrideOk(Ts)
  forall T, T' in Ts, forall m in dom(cds, T) n dom(cds, T')
  cds|-overrideOk(T, T', m)
  cds|-overrideOk(C0<Ts01<Ts10<GD0> { _ <GD'> T0 m(T1 x1..Tn xn) _ } in cds
  - C1<GD1> { _ <GD'> T'0 m(T'1 x1..T'n xn) _ } in cds
  forall i in 0..n Ti[GD0=Ts0]=T'i[GD1=Ts1]
```

```
#Define GD[X=T]=GD'      Ts[X=T]
  (X1 extends Ts1..Xn extends Tsn)[X=T] = X1 extends Ts1[X=T]..Xn extends Tsn[X=T]
  (T1..Tn)[X=T] = T1[X=T]..Tn[X=T]
```

Rules (T-ok), (GD-T) and (GD-E) check that a type is well formed with respect to the declared bounds. For example if C is declared as

“class C<X extends Shape<>>{\_}”

then the type “C<String<>>” will not be ok, and

“String<> okWith X extends Shape<>” will not hold.

```
cds|- Ts okWith GD
_ C<GD> { _ } in cds
(T-ok)-----
cds|-C<Ts> : ok
```

```

    cds|- Ts okWith GD[X=C<Ts'>]
    cds|- C<Ts'> ≤ Ti forall i in 1..n
    cds|- Ti : ok forall i in 1..n
(GD-T)-----
    cds|- C<Ts'> Ts okWith X extends T1..Tn GD

(GD-E)-----
    cds|- ∅ okWith ∅

```

[Q8; 10 points]:

Note in the formal definition of canonical the line “Ts'; cds' = canonical(cds cd, GD[X=C<>])”. What would change if that line was instead just “Ts'; cds' = canonical(cds cd, GD)”? Provide a minimal call to canonical where this different definition would produce a different result.

canonical(., X extends A<>, Y extends B<X>) creates interface X1<> extends A<> {} interface Y1<> extends B<X1<>> {} in the original definition, but it would instead create interface X1<> extends A<> {} interface Y1<> extends B<X> {} if we removed the X=C<> part.

Rule (id) is quite involved, in addition of the checks already present in FJ, we also check that all the type mentioned in the interface are parameterized correctly, using cds |- T : ok and cds|- Ts okWith GD

```

    dom(cds,C') ⊆ dom(cds,C) forall C'<_> in Ts
    canonical(cds,GD) = Ts';cds'
    cds cds'|-overrideOk(C<Ts'>,Ts[GD=Ts'])
    forall T in Ts    cds cds'|- T[GD=Ts'] : ok
    forall MH in MHS  cds cds'|- MH[GD=Ts']: ok
(id)-----
    interface C<GD> extends Ts{MHS} : ok

    canonical(cds,GD)=Ts;cds'
    forall i in 0..n cds cds'|- Ti[GD=Ts] : ok
(MH-ok)-----
    cds|-<GD> T0 m(T1 x1..Tn xn) : ok

```

```

#Define MH[GD=Ts]    MH[X=T]
MH[X1 extends Ts1 .. Xn extends Tsn = T1..Tn] = MH[X1=T1]..[Xn=Tn]
<GD> T0 m(T1 x1..Tn xn)[X=T] = <GD[X=T]> T0[X=T] m(T1[X=T] x1..Tn[X=T] xn)

```

[Q9; 5 points]:

Describe how the rule (id) uses canonical and overrideOk. In particular, the formal definition of canonical check for overrideOk internally. How this impact rule (id)?

[Q10; 5 points]: consider the following program; is it accepted by GFJ? If not, what is that does not holds?

```

interface A{ A m();}
interface I<X extends A>{}
is it accepted by GFJ?
No, it does not even respect the syntax of GFJ. It should be
interface A<>{ A<> m();}
interface I<X extends A<>>{}
This version would be correct.

```

[Q11; 5 points]: consider the following program; is it accepted by GFJ? If not, what is that does not holds?

```

interface A<>{ A<> m();}
interface B<>{ B<> m();}
interface I<X extends A<> & B<>>{}

```

No, even the fixed version would not be accepted. Informally, the reason is that method m() is defined in both A and B but with different return types.

Formally, rule (id) does not hold because inside of canonical(., X extends A<> & B<>) overrideOk(..) does not hold: we locate two methods m() with different signature

[Q12; 5 points]: consider the following program; is it accepted by GFJ? If not, what is that does not holds?

```
interface A<>{ A<> m();}
interface B<>{ B<> m();}
interface I<X extends A<>> extends A<>{ B<> m();}
```

No, even the fixed version would not be accepted. Informally, the reason is that method m() is defined in both A and I but with different return types.

Formally, rule (id) does not hold because overrideOk(..) does not hold: we locate two methods m() with different signature. Note how overrideOk is called with ' $C<Ts'>, Ts[GD=Ts']$ ', thus also the methods of I are included in the check.

Rule (cd) is very similar to rule (id), but also requires for methods to be well typed.

```

C<GD> |- M1: ok .. C<GD> |- Mn: ok
dom(cds,C') ⊆ dom(cds,C) forall C'<_> in Ts
canonical(cds,GD) = Ts';cds'
cds,cds'|-overrideOk(C<Ts'> Ts[GD=Ts'])
forall T in Ts cds cds'|- T[GD=Ts'] : ok
forall MH e in M1..Mn cds cds'|- MH[GD=Ts']: ok
forall T x; in Fs cds cds'|- T[GD=Ts']: ok
(cd)-----
class C<GD> implements Ts{Fs K M1..Mn} : ok

forall i in 0..n T'i=Ti[GD GD'=Ts']
canonical(cds, GD GD') = Ts';cds'
GD= X1 extends Ts1 .. Xn extends Tsn
T=C<X1..Xn>[GD GD'=Ts']
cds cds';this:T x1:T'1..xn:T'n |- e[GD GD'=Ts'] : T'0
(meth)-----
C<GD>|- <GD'>T0 m(T1 x1..Tn xn){return e;} : ok
```

Rule (meth) is the crucial rule of the whole type system.

Remember that notation  $[GD=Ts]$  only works as expected when Ts does not contains any X.

[Q13; 5 points]:

Explain why we can be sure that there is no X in Ts'

Ts' is returned by canonical. Looking to the definition of canonical we can see that the resulting Ts are all of form C<>, thus without any X.

[Q14; 5 points]:

Can the result of  $e[GD \ GD'=Ts']$  contains an X? Can  $T'0$  contains an X?

If so, produce an example class declaration where this can happens, otherwise explain why we can be sure that there is no X in those terms.

No, neither the result of  $e[GD \ GD'=Ts']$  nor  $T'0$  can contain an X.

Otherwise, the rule (meth) would not be applicable: we do not have a way to type an expression that contains an X. For example if we have  $\text{new } C\langle X \rangle()$  this would not be well typed with rule (n-t) because " $\text{cds} \mid - \text{Ts } \text{okWith } GD$ " would fail with  $Ts=X$ : rule (GD-T) requires all T to be of form  $C\langle\_ \rangle$ .

This in turn enforces that 'e' must only contains the X that are defined in GD or GD', otherwise an X would be left inside the result.

Since we know that  $e[GD \ GD'=Ts']$  have no X, then the result of typing  $e[GD \ GD'=Ts']$  must also have no X, since the type system is not introducing fresh types.

## Section 4: Expression Type system

Rule (x-t) is standard

```
(x-t)-----
cds;Γ ⊢ x : Γ(x)

cds;Γ ⊢ e1 : T'1 .. cds;Γ ⊢ en : T'n
class C<GD> _ {T1 x1; .. Tn xn; K Ms} in cds
forall i in 1..n T'i=Ti[GD=Ts]
cds ⊢ Ts okWith GD
(n-t)-----
cds;Γ ⊢ new C<Ts>(e1 .. en) : C<Ts>
```

Rule (n-t) relies on  $\text{cds} \mid - \text{Ts } \text{okWith } GD$  to check that the type parameters satisfy the generic declaration.

[Q15; 5 points]:

Provide an example cds, GD and Ts where  $\text{cds} \mid - \text{Ts } \text{okWith } GD$  holds, and one example where it does not holds.

The simpler examples will have Ts and GD of size 1, so we avoid the recursive calls.  
Holds example:  $Ts=C\langle \rangle$  and  $GD= X \text{ extends } \text{emptySet}$

```
-----
cds ⊢ C<> okWith X extends emptySet
```

Non holds example:  $Ts=C\langle \rangle$  and  $GD= X \text{ extends } \text{Foo}\langle \rangle$  where  $\text{class } C\langle \rangle \{ \}$  in cds

```
cds ⊢ C<> ≤ Foo<>
```

```
-----
cds ⊢ C<> okWith X extends Foo<>
```

In this rule (instantiated from (GD-T) the premise does not hold since  $C\langle \rangle$  is not a subtype of  $\text{Foo}\langle \rangle$ .

$$\begin{array}{l}
\text{cds}; \Gamma \vdash e_0 : C_0 \langle Ts_0 \rangle \dots \text{cds}; \Gamma \vdash e_n : C_n \langle Ts_n \rangle \\
\frac{\text{C}_0 \langle GD \rangle \quad \{ \_ \langle GD' \rangle T \ m(T_1 \ x_1 \dots T_n \ x_n) \_ \_ \}}{\text{forall } i \text{ in } 1..n \ C_i \langle Ts_i \rangle = T_i [GD = Ts_0] [GD' = Ts']} \\
\text{cds} \vdash Ts' \text{ okWith } GD' \\
\text{(m-t)} \text{-----} \\
\text{cds}; \Gamma \vdash e_0 \langle Ts' \rangle m(e_1 \dots e_n) : T [GD = Ts_0] [GD' = Ts']
\end{array}$$

[Q16; 10 points]:

Using correct terminology, explain the rule (m-t) in English.

In particular, carefully describe the role of “ $C_i \langle Ts_i \rangle = T_i [GD = Ts_0] [GD' = Ts']$ ”.

Would the variation “ $C_i \langle Ts_i \rangle = T_i [GD \ GD' = Ts \ Ts']$ ” behave identically?

Explanation in English in the class.

Yes, such variation will behave identically, just look to the definition of  $[GD = Ts]$

[Q17; 10 points]:

Similarly to rule (m-t), define rule (f-t) to type field access expressions.

ANSWER:

$$\begin{array}{l}
\text{cds}; \Gamma \vdash e_0 : C \langle Ts \rangle \\
\frac{\text{C} \langle GD \rangle \quad \{ \_ T \ x \_ \_ \}}{\text{cds} \vdash Ts \text{ okWith } GD} \\
\text{(f-t)} \text{-----} \\
\text{cds}; \Gamma \vdash e_0.x : T [GD = Ts]
\end{array}$$

In the following you can find the conventional subsumption and subtyping rules.

$$\begin{array}{l}
T \leq T' \quad \Gamma \vdash e : T \\
\text{(sub)} \text{-----} \\
\Gamma \vdash e : T'
\end{array}$$

$$\begin{array}{l}
\text{(sub-refl)} \text{-----} \\
T \leq T
\end{array}$$

$$\begin{array}{l}
i \text{ in } 1..n \\
\frac{\text{C} \langle GD \rangle \quad \_ T_1 \dots T_n \ \{ \_ \}}{\text{C} \langle Ts \rangle \leq T_i [GD = Ts]} \\
\text{(sub-direct)} \text{-----}
\end{array}$$

//Note: there is correctly no  $T_0$  here, so  $i \text{ in } 1..n$ ;  
//that is why we had to add rule (sub-refl)

$$\begin{array}{l}
T_1 \leq T_2 \quad T_2 \leq T_3 \\
\text{(sub-trans)} \text{-----} \\
T_1 \leq T_3
\end{array}$$

[Q18; 5 points]:

There is a minor typos in of those last 3 rules. What is it?