

Time Allowed: 90 minutes
$\begin{array}{ll}\text { Instructions: } & \text { There are four (4) questions. } \\ \text { Each question is worth twenty-five (25) marks. } \\ \text { Answer all the questions. } \\ \text { Show all your working. }\end{array}$

## Question 1.

The following while-program takes as input an integer $x$ and a sequence $a[0 . . n]$ of integers. Subject to certain constraints on the inputs, it will return an integer $i$ such that $a[i]=x$, if such an $i$ exists, and $i=-1$ otherwise.

```
procedure Find (in \(x, a\); out \(i\) );
    begin
\(\left\{I_{1}\right\} \quad i:=0\);
\(\left\{I_{2}\right\} \quad\) while \(a[i]<x\) do
\(\left\{I_{3}\right\} \quad i:=i+1\)
\{ \(\left.I_{4}\right\}\) od;
\(\left\{I_{5}\right\} \quad\) if \(a[i]=x\) then
\(\left\{I_{6}\right\} \quad\) skip
    else
\(\left\{I_{7}\right\} \quad i:=-1\)
    fi
\(\left\{I_{8}\right\}\) end
```

The program may be proved correct using the loop invariant

$$
I_{2} \triangleq(\forall j \in 0 . . i-1) a[j]<x
$$

(a) State what properties $a$ and $x$ must have in order that the program behaves as described.
$a$ is non-descending; $x$ is less than or equal to the value of the last element of $a$.
(b) Write a formal specification (signature, precondition, and postcondition) that this program satisfies.
[6 marks]
Input: integer $x$, integer sequence $a$
Output: integer $i$
Precondition: $I_{1} \triangleq(\forall i \in 0 . . n-1)(a[i] \leq a[i+1]) \wedge x \leq a[n]$
Postcondition: $I_{8} \triangleq x=a[i] \vee(i=-1 \wedge(\forall j \in 0 . . n) a[j] \neq x)$
(c) State an assertion $I_{5}$ that may be used to verify the program. Hint: What property of $i$ does the if statement assume? What does the loop guarantee about the values of $a[j]$ for $j<i$ ? $j>i$ ?
$I_{5} \triangleq x \leq a[i] \wedge(\forall j \in 0 . . i-1) a[j]<x$
(d) Show that the if statement is correct: that is, find assertions $I_{6}$ and $I_{7}$ such that $I_{6}$ implies $I_{8}$ and $I_{7} \wedge i=-1$ implies $I_{8}$.
[6 marks]
$I_{6} \triangleq I_{5} \wedge a[i]=x$, which implies $I_{8}$.
$I_{7} \triangleq I_{5} \wedge a[i] \neq x$, which implies $(\forall j \in 0 . . n) a[j] \neq x$ because $a$ is in non-descending order. Together with $i=-1$, this implies $I_{8}$.
(e) State the three things that must be proved to verify the loop.
loop invariant holds initially: Assume $i=0$; show $I_{2}$.
[[Proof: Trivial $\forall$ ]]
loop invariant maintained by body: Assume $I_{2}$ and $a[i]<x$; show $I_{2}$ with $i+1$ in place of $i$.
[[Proof: $(\forall j \in 0 . . i-1) a[j]<x \wedge a[i]<x$ implies $(\forall j \in 0 . . i) a[j]<x]]$
postcondition holds on termination: assume $I_{2}$ and $a[i] \geq x$; show $I_{5}$.
[[Proof: immediate]]

## Question 2.

(a) Let $\Sigma=\{a, b\}$. Give regular expressions which describe the following languages over $\Sigma$ :
(i) All strings $(\mathbf{a}+\mathbf{b})^{*}$ or $\left(\mathbf{a}^{*} \mathbf{b}^{*}\right)^{*}$ amongst others
(ii) All strings of length less than $3 \triangle \Lambda+\mathbf{a}+\mathbf{b}+(\mathbf{a}+\mathbf{b})(\mathbf{a}+\mathbf{b})$ is one solution
(iii) All strings which contain either $b b$ or $a a(\mathbf{a}+\mathbf{b})^{*}(\mathbf{a a}+\mathbf{b b})(\mathbf{a}+\mathbf{b})^{*}$
(b) State Kleene's Theorem.

A language is regular iff it is accepted by a FA.
A language is regular iff it is accepted by an NFA.
A language is regular iff it is described by a regular expression.
A language is regular iff it is generated by a regular grammar.
(c) Let $L_{1}$ and $L_{2}$ be regular languages. Show that the following languages are also regular:
(i) $L_{1}^{*}$

If $L_{1}$ is regular then by Kleene's theorem there is a regular expression $\mathbf{r}$ such that $L_{1}=$ Language (r). Then $L_{1}^{*}=$ Language $\left(\mathbf{r}^{*}\right)$. So $L_{1}^{*}$ is described by a regular expression, so, by Kleene's theorem $L_{1}^{*}$ is regular. A similar argument involving machines would do.
(ii) $L_{1} L_{2}$

If $L_{1}$ and $L_{2}$ are regular then by Kleene's theorem there are regular expressions $\mathbf{r}$ and s such that $L_{1}=$ Language $(\mathbf{r})$ and $L_{2}=$ Language(s). Then $L_{1} L_{2}=$ Language(rs). So $L_{1} L_{2}$ is described by a regular expression, so, by Kleene's theorem $L_{1} L_{2}$ is regular. A similar argument involving machines would do.
(iii) $L_{1}+L_{2}$

If $L_{1}$ and $L_{2}$ are regular then by Kleene's theorem there are regular expressions $\mathbf{r}$ and s such that $L_{1}=\operatorname{Language}(\mathbf{r})$ and $L_{2}=\operatorname{Language}(\mathbf{s})$. Then $L_{1}+L_{2}=\operatorname{Language}(\mathbf{r}+\mathbf{s})$. So $L_{1}+L_{2}$ is described by a regular expression, so, by Kleene's theorem $L_{1}+L_{2}$ is regular. A similar argument involving machines would do.
(d) Let $M_{1}$ and $M_{2}$ be NFA's which accept the languages $L_{1}$ and $L_{2}$. Explain how to construct an FA which accepts the language consisting of strings which are in neither $L_{1}$ nor $L_{2}$.

We are asked to construct a FA which accepts some language, given some NFA's. First, what is the language? Strings which are in neither $L_{1}$ nor $L_{2}$ are in the complement of the union of $L_{1}$ and $L_{2}$, i.e. we are being asked to find an FA which accepts $\overline{L_{1}+L_{2}}$.
Given the NFA's $M_{1}$ and $M_{2}$ we can construct NFA's with $\Lambda$ transitions $M_{1^{\prime}}$ and $M_{2^{\prime}}$ which accept $L_{1}$ and $L_{2}$ respectively. The only change is a trivial one to the transition function.
Next we create a new NFA with $\Lambda$ transitions $M_{3}$ which accepts $L_{1}+L_{2}$. The machine $M_{3}$ is created from $M_{1^{\prime}}$ and $M_{2^{\prime}}$, by adding a new start state, with $\Lambda$ transitions to the start states of $M_{1^{\prime}}$ and $M_{2^{\prime}}$. Accepting states of $M_{1^{\prime}}$ and $M_{2^{\prime}}$ become accepting states of $M_{3}$.
Then we turn $M_{3}$ into an FA $M_{4}$, probably by converting it to an intermediate NFA. We are careful to check that the transition function for $M_{4}$ is total. (It will be unless we deliberately remove the "black hole" state.) So $M_{4}$ is an FA which accepts $L_{1}+L_{2}$. Now we construct $M_{5}$ from $M_{4}$ by making the accepting states of $M_{5}$ be the complement of the accepting states of $M_{4}$. So $M_{5}$ an FA which accepts $\overline{L_{1}+L_{2}}$

## Question 3.

(a) A finite automaton (FA) is a 5-tuple $\left(Q, \Sigma, \delta, q_{0}, F\right)$. Describe

- $Q$, A finite set of states.
- $\Sigma$, A finite alphabet of symbols.
- $\delta$,

A function $Q \times$ Sigma $\rightarrow Q$. The transition function. Describes how to move from state to state on reading a symbol.

- $q_{0}$, and An element of $Q$, the start state.
- $F$ A subset of $Q$, the accepting states.

| (b) Explain how an FA defines a language. | [5 marks] |
| :--- | :--- |
| [3 marks] |  |

The set of strings accepted by the FA is the language it defines. A string is accepted if it labels a path from the start state to an accepting state of the machine.
(c) A generalised non-deterministic finite automaton (GNFA) is a 5-tuple ( $Q, \Sigma, \delta, q_{s}, q_{f}$ ). Describe

- $Q$, A finite set of states.
- $\Sigma$, A finite alphabet of symbols.
- $\delta$, A function $Q-q_{f} \times Q-q_{s} \rightarrow$ RegularExpression. The transition function. Describes how to move from state to state on reading a string.
- $q_{s}$, and An element of $Q$, the start state.
- $q_{f}$ An element of $Q$, the accepting state.
(d) Explain how a GNFA defines a language.

The set of strings accepted by the GNFA is the language it defines. A string is accepted if it labels a path from the start state to the accepting state of the machine.
(e) Let $M$ be a finite automaton. Explain how to find a regular expression which describes the language accepted by $M$.
[9 marks]

Two steps:

1. convert $M$ to a GNFA $N$ which accpets the same language as $M$
2. reduce $N$ to a two state GNFA which accpets the same language as $N$
3. Construct $N$ from $M$. Add two new states $q_{s}$ and $q_{f}$. Add transitions labelled $\Lambda$ from $q_{s}$ to the start state of $M$ and from the accepting states of $M$ to $q_{f}$. If there is any state in $M$ with transitions to any state of $M$ on more than one symbol replace this with a single transition labelled with the sum of the symbols. Now add sufficient transitions to ensure that there is a transition from every state in $Q-q_{f}$ to every state in $Q-q_{s}$. Label these new transitions with $\emptyset$.
4. Remove states from $N$ until only two are left. Pick a state $S_{\text {rem }}$ (not $q_{s}$ or $q_{f}$ ) to remove. For every pair of states $S_{i}$ and $S_{j}$ in the reduced machine the transition between them will be labelled $\delta\left(S_{i}, S_{j}\right)+\delta\left(S_{i}, S_{\mathrm{rem}}\right) \delta\left(S_{\mathrm{rem}}, S_{\mathrm{rem}}\right)^{*} \delta\left(S_{\mathrm{rem}}, S_{j}\right)$, where $\delta$ is the transition function of the machine being reduced.
When only two states remain the regular expression accepted by the original FA is $\delta\left(q_{s}, q_{f}\right)$

## Question 4.

(a) Let $M_{3}$ be an NFA with $\Lambda$ transitions $\left(Q, \Sigma, \delta, q_{0}, F\right)$, where:

$$
\begin{aligned}
Q & =\left\{S_{0}, S_{1}, S_{2}, S_{3}\right\} \\
\Sigma & =\{a, b\} \\
q_{0} & =S_{0} \\
F & =\left\{S_{3}\right\}
\end{aligned}
$$

| $\delta$ | $a$ | $b$ | $\Lambda$ |
| :--- | :--- | :--- | :--- |
| $S_{0}$ | $\left\{S_{0}, S_{1}\right\}$ | $\left\{S_{2}\right\}$ | $\left\{S_{3}\right\}$ |
| $S_{1}$ | $\left\{S_{1}, S_{2}\right\}$ | $\}$ | $\left\{S_{1}\right\}$ |
| $S_{2}$ | $\left\{S_{3}\right\}$ | $\left\{S_{1}, S_{2}\right\}$ | $\}$ |
| $S_{3}$ | $\left\{S_{2}\right\}$ | $\left\{S_{1}\right\}$ | $\left\{S_{0}\right\}$ |

(i) Draw $M_{3}$.
(ii) Find $M_{4}=\left(Q^{\prime}, \Sigma^{\prime}, \delta^{\prime}, q_{0}^{\prime}, F^{\prime}\right)$, an NFA which accepts the same language as $M_{3}$.

Key points: $M_{4}$ has same states, alphabet, start state as $M_{3}$. Accepting states of $M_{4}$ are those of $M_{3}$ plus $S_{0}$, as there is a $\Lambda$ transition from $S_{0}$ to $S_{3}$ (i.e. $M_{3}$ accepts $\Lambda$. Transiton function altered to replace paths which consist of a combination of $\Lambda \mathrm{s}$ and single symbol $\sigma$ by arcs labelled only by $\sigma$.

$$
\begin{aligned}
Q & =\left\{S_{0}, S_{1}, S_{2}, S_{3}\right\} \\
\Sigma & =\{a, b\} \\
q_{0} & =S_{0} \\
F & =\left\{S_{0}, S_{3}\right\}
\end{aligned}
$$

| $\delta$ | $a$ | $b$ |
| :--- | :--- | :--- |
| $S_{0}$ | $\left\{S_{0}, S_{1}, S_{2}, S_{3}\right\}$ | $\left\{S_{1}, S_{2}\right\}$ |
| $S_{1}$ | $\left\{S_{1}, S_{2}\right\}$ | $\}$ |
| $S_{2}$ | $\left\{S_{0}, S_{3}\right\}$ | $\left\{S_{1}, S_{2}\right\}$ |
| $S_{3}$ | $\left\{S_{0}, S_{1}, S_{2}\right\}$ | $\left\{S_{1}, S_{2}\right\}$ |

(b) Let $M_{5}$ be an NFA $\left(Q, \Sigma, \delta, q_{0}, F\right)$, where:

$$
\begin{aligned}
Q & =\left\{S_{0}, S_{1}, S_{2}, S_{3}\right\} \\
\Sigma & =\{a, b\} \\
q_{0} & =S_{0} \\
F & =\left\{S_{0}\right\}
\end{aligned}
$$

| $\delta$ | $a$ | $b$ |
| :--- | :--- | :--- |
| $S_{0}$ | $\left\{S_{1}, S_{2}\right\}$ | $\}$ |
| $S_{1}$ | $\left\{S_{3}\right\}$ | $\left\{S_{0}, S_{2}\right\}$ |
| $S_{2}$ | $\left\{S_{3}\right\}$ | $\}$ |
| $S_{3}$ | $\left\{S_{3}\right\}$ | $\left\{S_{0}\right\}$ |

(i) Draw $M_{5}$.
(ii) Find $M_{6}=\left(Q^{\prime}, \Sigma^{\prime}, \delta^{\prime}, q_{0}^{\prime}, F^{\prime}\right)$, an FA which accepts the same language as $M_{5}$.

Key points: Alphabet remains the same. States of $M_{6}$ will be sets of states of $M_{5}$. Start state of $M_{6}$ will be set containing just $S_{0}$. Accepting states of $M_{6}$ will be states which contain an accepting states of $M_{5}$. Construct the states of $M_{6}$ and its transition function in parallel by staring from $\left\{S_{0}\right\}$, and generating new states from $\delta\left(S_{0}, \sigma\right), \sigma \in$ $\Sigma$. Continue the process until no new states are generated.

|  | $\begin{aligned} Q^{\prime} & =\{\{ \\ \Sigma^{\prime} & =\{a \\ q_{0}^{\prime} & =\{ \\ F^{\prime} & =\{\{ \end{aligned}$ | $\begin{aligned} & \left.S_{0}\right\},\left\{S_{1}, S_{2}\right\},\{ \\ & b\} \\ & b\} \\ & \left.\left.S_{0}\right\},\left\{S_{0}, S_{2}\right\}\right\} \end{aligned}$ |
| :---: | :---: | :---: |
|  | $a$ | $b$ |
| $\left\{S_{0}\right\}$ | $\left\{S_{1}, S_{2}\right\}$ | \{\} |
| $\left\{S_{1}, S_{2}\right\}$ | $\left\{S_{3}\right\}$ | $\left\{S_{0}, S_{2}\right\}$ |
| \{\} | \{\} | \{\} |
| $\left\{S_{3}\right\}$ | $\left\{S_{3}\right\}$ | $\left\{S_{0}\right\}$ |
| $\left\{S_{0}, S_{2}\right\}$ | $\left\{S_{1}, S_{2}, S_{3}\right\}$ | \{\} |
| $\left\{S_{1}, S_{2}, S_{3}\right\}$ | $\left\{S_{3}\right\}$ | $\left\{S_{0}, S_{2}\right\}$ |

The language involved is Language $\left(\left(\mathbf{a a}^{*} \mathbf{b}\right)^{*}\right)$.
The minimal FA (with a total transition function) which accepts this language is:

$$
\begin{aligned}
Q^{\prime \prime} & =\left\{T_{0}, T_{1}, T_{2}\right\} \\
\Sigma^{\prime \prime} & =\{a, b\} \\
q_{0}^{\prime \prime} & =T_{0} \\
F^{\prime \prime} & =\left\{T_{0}\right\}
\end{aligned}
$$

| $\delta$ | $a$ | $b$ |
| :--- | :--- | :--- |
| $T_{0}$ | $T_{1}$ | $T_{2}$ |
| $T_{1}$ | $T_{1}$ | $T_{0}$ |
| $T_{2}$ | $T_{2}$ | $T_{2}$ |

